

FLIGHT SAFETY FOUNDATION

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# FLIGHT SAFETY

SPECIAL DOUBLE ISSUE

# Human Error Cited as Major Cause of U.S. Commercial EMS Helicopter Accidents

Data Show That More Than Half of EMS Airplane Accidents Occur During Approach and Landing



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# **Flight Safety Digest**

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### In This Issue

#### Human Error Cited as Major Cause of U.S. Commercial EMS Helicopter Accidents

A study of 87 accidents from 1987 through 2000 found that human error was the primary causal factor in 76 percent. The greatest concentration of human error occurred during the en route phase of the flight and often involved faulty in-flight planning and decision making or inadequate evaluation of weather information.

#### Data Show That More Than Half of EMS Airplane Accidents Occur During Approach and Landing

From 1983 through 2000, 42 accidents occurred involving U.S. commercial EMS airplanes; of these, 23 occurred during approach and landing.

#### Accident Rates Decrease Among U.S. Commuter Airlines in 2000

Preliminary data compiled by the U.S. National Transportation Safety Board for 2000 show that the accident rates for on-demand operations increased.

#### Circular Describes Methods of Showing 58 Compliance With Turbine Engine Bird-ingestion Standards

Requirements described in the FAA document reflect the results of an analysis of bird-strike risks.

#### Engine Ice Blamed for BAE 146 Power Loss

61

The airplane was flown between storm cells at Flight Level 260, and then an uncommanded thrust reduction occurred in the no. 4 engine.

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55

<u>39</u>

## Human Error Cited as Major Cause of U.S. Commercial EMS Helicopter Accidents

A study of 87 accidents from 1987 through 2000 found that human error was the primary causal factor in 76 percent. The greatest concentration of human error occurred during the en route phase of flight and often involved faulty in-flight planning and decision making or inadequate evaluation of weather information.

Patrick R. Veillette, Ph.D.

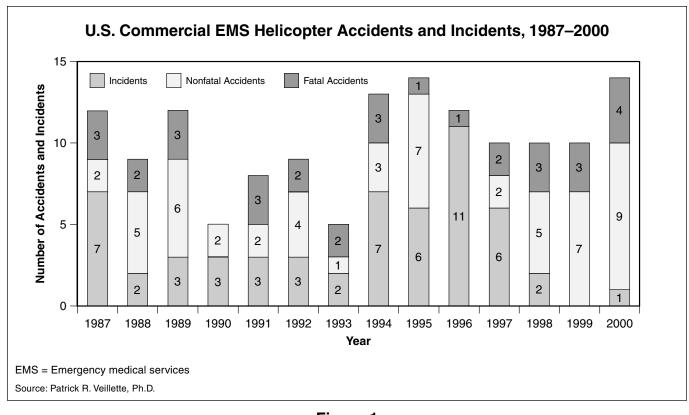
From January 1987 through December 2000, there were 87 accidents and 56 incidents involving commercial emergency medical services (EMS) helicopters in the United States (Figure 1, page 2). The accidents included 32 fatal accidents (37 percent of the total) and 17 serious-injury accidents (20 percent). Human error was associated with 66 accidents (76 percent) and 27 fatal accidents (84 percent); in some phases of flight, the percentage of accidents associated with human error was more than 90 percent. Of the 275 people aboard the helicopters, 96 people were killed, 33 people were seriously injured and 31 people received minor injuries. Thirty-six of the 87 aircraft were destroyed, and 51 were damaged substantially.<sup>1,2</sup>

EMS helicopters are flown day and night, in all types of weather, usually by a single pilot. EMS operations often involve flights scheduled with little advance notice to and from unimproved, confined landing areas surrounded by obstacles. The typical EMS flight involves a demanding pilot workload, substantial communication requirements, time pressure, distractions and stressful flight and duty conditions.

To identify the trends involved in the accidents, the author conducted an extensive 2001 study of EMS accidents, including

an analysis of U.S. National Transportation Safety Board (NTSB) reports on the 87 accidents and U.S. Federal Aviation Administration (FAA) reports on the 56 incidents (see Appendix, page 27). Additional accidents or incidents might be included in other databases maintained by the helicopter industry; this 2001 study involves only official government accident reports and incident reports, along with the following:

- An analysis of reports submitted to the U.S. National Aeronautics and Space Administration (NASA) Aviation Safety Reporting System (ASRS) involving 218 EMS helicopter operations between January 1988 and December 1999;<sup>3</sup>
- Observations of more than 400 hundred EMS helicopter flights from 1995 to 2000;
- Interviews with accident investigators, accident witnesses, EMS pilots and EMS flight physicians, flight nurses and flight paramedics;
- Examinations of helicopter operating specifications and operating manuals;





- Inspections of accident sites; and,
- Inspections of hospital heliports.

The 2001 study found that:

- Forty-one of the 87 accidents (47 percent), including 26 of the 32 fatal accidents (81 percent), and 25 of the 56 incidents (45 percent) occurred during the en route phase of flight (Figure 2, page 3). Of the en route accidents, 68 percent resulted from human error (Figure 3, page 3);
- Twenty-two accidents (25 percent), including three fatal accidents (9 percent), and 21 incidents (38 percent) occurred during approach and landing. Of the approach-and-landing accidents (ALAs), 91 percent resulted from human error, and 41 percent involved collisions with obstacles;
- Twenty-two accidents (25 percent), including three fatal accidents (9 percent), and six incidents (11 percent) occurred during takeoff. Of the takeoff accidents, 82 percent resulted from human error, and 50 percent involved collisions with obstacles;
- Twenty-six percent of the accidents and 53 percent of the fatal accidents — occurred during low visibility or instrument meteorological conditions (IMC);

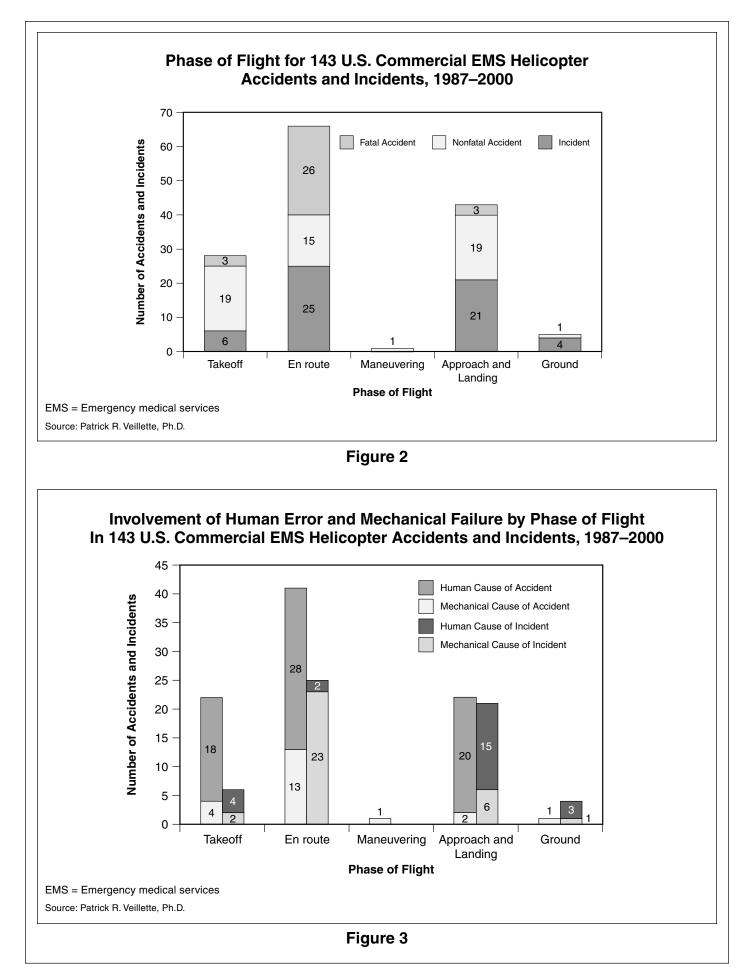
- Thirty-one percent of the accidents involved collisions with obstacles; and,
- Twenty-four percent of the accidents resulted from mechanical failure.

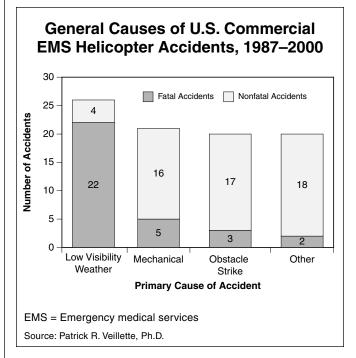
Of the 56 incidents, 38 incidents resulted in minor damage to the helicopter; 18 helicopters were undamaged. No injuries were reported among the 165 occupants of the incident helicopters.

The 2001 study used some of the parameters of a 1988 NTSB study of commercial EMS helicopter accidents and the parameters of several subsequent studies, which grouped EMS accidents into four categories: weather/low visibility or spatial disorientation accidents, mechanical malfunction accidents, obstacle-strike accidents and "other" accidents.<sup>4</sup> Figure 4 (page 4) shows the classification of the 87 accidents (and the 32 fatal accidents) into the four categories. A majority of the 32 fatal EMS accidents (22 accidents, or 69 percent) were weather/low visibility or spatial disorientation accidents.

In 23 accidents, including 12 fatal accidents, a patient was on board. Forty-nine accidents, including 18 fatal accidents, occurred during on-site responses; the 18 fatal accidents accounted for 56 percent of all fatal accidents studied (Table 1, page 4).

continued on page 4







#### Table 1 Types of Flights Involving U.S. Commercial EMS Helicopter Accidents, 1987–2000

Type of Flight	All Accidents	Fatal Accidents
On-site	49	18
Positioning	22	7
Inter-hospital transfer	7	4
Maintenance	5	1
Public relations	3	2
Training	1	0
Total	87	32
EMS = Emergency medic	al services	
Source: Patrick R. Veillette, F	h.D.	

When surveyed in 1997 about the risks to flight safety in EMS operations, 59 percent of EMS pilots said that on-site operations constituted the greatest safety risk.<sup>5</sup> On-site responses historically account for about 30 percent of EMS helicopter flights, and inter-facility transports account for about 70 percent.<sup>6</sup>

#### Forty-seven Percent of Accidents Occurred En Route

Typically, 40 percent to 50 percent of all helicopter accidents occur during the en route phase of flight.<sup>7</sup> In the 2001 study, 47 percent of accidents occurred during en route flight.

Sixty-three percent of the accidents in en route flight resulted in fatalities. Sixty-nine percent of those fatal accidents occurred in darkness, and 54 percent occurred in IMC.

Twenty-eight en route accidents were caused by human error, and 13 en route accidents were caused by mechanical malfunctions. Eighteen en route accidents involved IMC or low-visibility-related phenomena, such as spatial disorientation. Of the 18 accidents, 14 were fatal. Five en route accidents, including four fatal accidents, involved wire strikes; four of the wire strikes occurred in darkness and in IMC.

Two of the 25 en route incidents involved human error; the remainder involved mechanical malfunctions. Of the 23 en route mechanical incidents, 22 occurred in visual meteorological conditions (VMC), and 14 occurred in daylight; there were no injuries among occupants of those helicopters.

Typically, 14 percent to 20 percent of helicopter accidents occur during takeoff.<sup>8</sup> In the 2001 study, 22 accidents (25 percent) occurred during the takeoff phase. Eighteen takeoff accidents and four takeoff incidents resulted from human error. Eleven takeoff accidents, including one fatal accident, and four takeoff incidents involved collisions with obstacles. Seven accident aircraft and three incident aircraft struck wires; the remainder struck buildings, fences, lighting structures and trees. All but one obstacle strike occurred in VMC; eight obstacle strikes occurred in daylight, and six occurred in darkness.

ALAs typically account for 20 percent to 30 percent of accidents in helicopter operations.<sup>9</sup> In the 2001 study, 25 percent of accidents occurred during approach and landing. Twenty ALAs and 15 incidents resulted from human error. Seven ALAs — none of them fatal — involved hard landings and/or settling with power.<sup>10</sup> All of these accidents involved approaches into confined areas with high obstacles and a lack of suitable reference lights in unimproved landing zones. Ten ALAs and 18 incidents involved striking obstacles. Wire strikes occurred in three ALAs and eight incidents; in six ALAs and eight incidents, helicopters truck trees, lighting structures or fences; in one ALA, a helicopter struck terrain. VMC prevailed in nine obstacle-strike ALAs and 14 incidents. Four obstacle-strike ALAs and six incidents occurred in daylight, and six obstacle-strikes ALAs and six incidents occurred in darkness.

One nonfatal accident occurred during maneuvering as a result of engine failure.

One nonfatal accident and four incidents occurred during ground operations. Two of the incidents involved collisions between the main-rotor system and ground vehicles in daylight VMC.

#### Faulty In-flight Decision Making Was Frequent Accident Cause

Human error was the primary causal factor in 66 of the 87 accidents (76 percent) in the 2001 study, including 27 fatal

accidents (84 percent). Causal factors common throughout the accident database include in-flight decision making (17 accidents), preflight planning (15 accidents), risk-taking tendencies (14 accidents), inadequate crew coordination (eight accidents), failure to follow standard operating procedures (seven accidents), delayed remedial actions (seven accidents), and misinterpretation of environmental cues (seven accidents).

The following principle concentrations of human error were involved:

- The largest concentration of human error occurred during the en route phase of flight. Of the 41 en route accidents, 28 accidents (68 percent), including 21 fatal accidents, were a result of human error. Faulty in-flight planning and decision making were cited in 24 en route accidents, faulty in-flight weather evaluation was cited in 11 en route accidents, and spatial disorientation was cited in eight en route accidents (six of which occurred in darkness and six of which occurred because of a lack of visual cues). Four accidents resulted from a loss of control in IMC;
- The second-largest concentration of human error occurred during approach and landing. Of the 22 ALAs, 20 accidents (91 percent), including three fatal accidents, resulted from human error. Darkness, confined-space operations and obstacles were common in many ALAs. (Darkness prevailed in 45 percent of ALAs in this review, and confined areas and obstacles each were present in 85 percent. Other environmental factors also were common: Adverse winds were present in 22 percent of ALAs, and mountainous terrain was present in 33 percent.) Of the 21 approach-and-landing incidents, 15 resulted from human error; the environmental factors present were similar to those involved in ALAs. The environmental conditions present in the landing environment typically are conducive to sensory errors and perceptual errors and account for 93 percent of ALAs.

Ten ALAs and 18 approach-and-landing incidents involved collisions with obstacles. Most of these involved the inability of the pilot to see wires, fences and lighting structures before the helicopter struck them. Three accidents and eight incidents involved wire strikes in the landing environment; six accidents and eight incidents involved fences, trees and lighting structures. About one-third of the obstacle strikes involved tail rotors that struck obstacles not in the pilots' view. Darkness prevailed in 60 percent of the obstacle-strike ALAs and 33 percent of incidents; other factors included the conspicuity of the wires, the lack of visual warning aids and the lack of contrast between the obstacles and the surrounding terrain.

In eight accidents, the pilot was faulted for inadequate evaluation of the landing site. Many EMS operators

attempt to solicit information about the landing zone from emergency response technicians at the site. In five accidents, the emergency response technicians gave the pilots incorrect information about obstacles near the landing zone.

Inadequate crew coordination was cited in eight accidents, all of which occurred during landing or takeoff and resulted in collisions with obstacles. Other factors cited in these accidents included incorrect information, untimely information or distracting comments or movements by medical crewmembers during a critical phase of flight.

Lack of visual cues (as a result of terrain, darkness, poor visibility or the absence of appropriate lighting) caused pilots in eight ALAs to misinterpret environmental cues. Five ALAs that resulted from human error involved hard landings, partly because of misinterpretation of environmental cues and subsequent delays in corrective actions; and,

The third largest concentration of human error among accidents in the 2001 study occurred during takeoff. Of the 22 takeoff accidents, 18 accidents (82 percent), including three fatal accidents, resulted from human error. Combinations of adverse conditions such as inadequate visual cues, darkness, confined areas, poor visibility and obstacles were common throughout reports on takeoff accidents. Eleven accidents and four incidents involved obstacle strikes; six obstacle strikes involved the tail rotor in an area where the pilot was unable to see obstacles because of the viewing angle. Ten obstacle-strike accidents and four incidents (and no incidents) occurred in darkness. Unseen wires were involved in seven accidents and three incidents.

Because many of the 87 EMS helicopter accidents were fatal and sufficient information about the accidents was not available (because the pilots were killed and the accident helicopters did not carry cockpit voice recorders or flight data recorders), the 2001 study examined the ASRS database for additional information about human factors in EMS operations.

Earlier studies of EMS operations showed that many factors can influence pilot judgment, such as the urgency of the mission, program competition and management pressure (real or perceived).<sup>11</sup> A 1995 NASA study that analyzed EMS reports submitted to ASRS between 1986 and 1991 said that EMS operations required pilots to cope with substantial communication requirements, time pressure, distractions, demanding workloads and stressful flight conditions and duty conditions.<sup>12</sup>

The 1995 NASA study said, "These demands can erode positive efforts towards good communication, thorough

planning, cooperative teamwork and safe flight during patient transport. ... Efforts need to be directed toward improving communication and transfer of information, decreasing distractions and decreasing time pressure to realistic levels and assisting in workload management, thereby increasing safety."<sup>13</sup>

James Reason's study of human error found that time shortages would increase by a factor of 11 the probability of human error; the probability of human error also would be increased by a factor of eight in circumstances involving poor human-system interface or the irreversibility of errors, by a factor of six in circumstances involving information overload and by a factor of four in circumstances involving misperception of the risk.<sup>14</sup> These critical error-causing factors are predominant in EMS operations, and their role in EMS helicopter accidents and incidents is apparent throughout the analysis of the events.

In the 1997 survey of EMS pilots, 43 percent said that program complacency was a significant risk to safety, 25 percent said that flight-related stress was a significant risk, and 21 percent said that pilot fatigue was a significant risk.<sup>15</sup>

Of the 218 ASRS reports analyzed for this study, most (62 percent) involved non-adherence to U.S. Federal Aviation Regulations (FARs) (Figure 5). Other reports involved unauthorized flight in controlled airspace (34 percent), near-midair collisions (24 percent), in-flight encounters with IMC

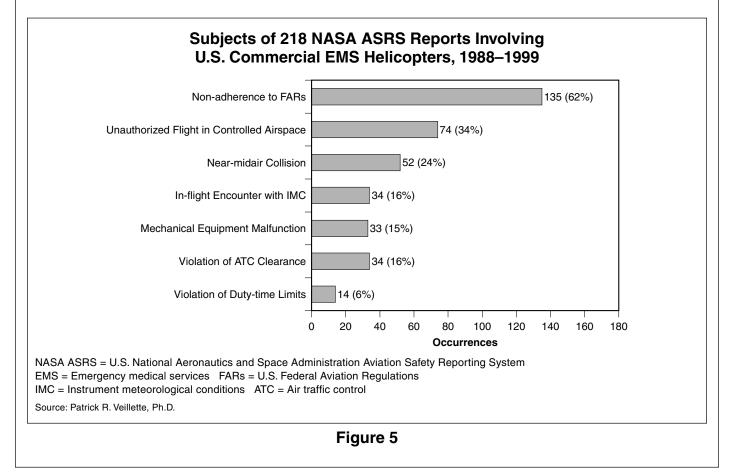
(16 percent), violations of air traffic control clearances (16 percent), mechanical equipment malfunctions (15 percent) and violations of duty-time limits (6 percent). Eighty-six percent of the reports were submitted by pilots of single-pilot operations. Eighty percent of the reports involved incidents that occurred in twin-engine helicopters. Nearly 53 percent of the reports involved events that occurred during the en route phase of flight; 24 percent involved events that occurred during approach and landing.

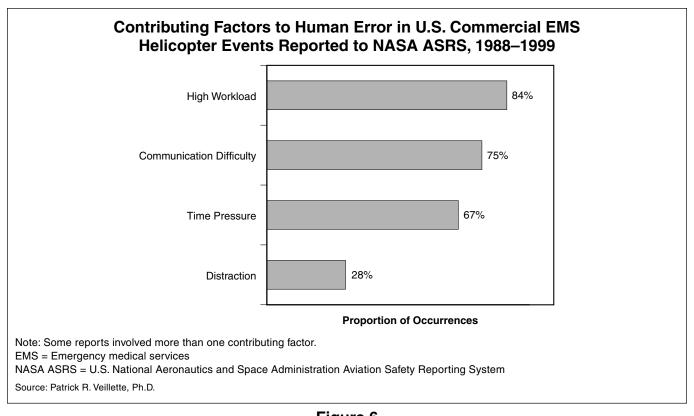
#### EMS Pilots Describe Workload as Substantial

Pilot workloads are substantial during EMS operations, and task saturation commonly is cited as a contributing factor in NTSB accident reports and ASRS reports (Figure 6, page 7).<sup>16</sup>

In one ASRS report, an EMS pilot described the flight as follows:

I was flying an EMS helicopter dispatched from XYZ hospital, in City A, to recover a patient at the mall, City B. The coordinates provided were incorrect and took me five nautical miles [nine kilometers] south of the City B airport before I recognized the error and reversed course. I was coordinating with dispatcher, medic command (flight following/status reports) and emergency vehicle







on scene and broadcasting position reports and intentions on Unicom. ... [T]he Approach Supervisor advised me that I entered his airspace and did not properly coordinate with his controller. ... I was working four frequencies and receiving conflicting coordinates from the ground while searching for the landing zone. I was aware of my close proximity to the airport traffic area. I was preoccupied with the traffic avoidance while coordinating with the ground vehicles during the search for and subsequent approach and landing at the landing zone.<sup>17</sup>

The ASRS reports showed indications of pilot multi-tasking (performing multiple tasks involving such actions as aircraft control, navigation and monitoring multiple radio frequencies) in 183 reports (84 percent). Of these, 97 percent were single-pilot operations. Pilots specifically mentioned overload in 35 reports.

Within the ASRS data, 74 reports indicated unauthorized flight in controlled airspace, all by pilots of single-pilot helicopters. The typical workload for the EMS helicopter pilot, especially when working as a single pilot, increases substantially when navigating near controlled airspace and attempting to obtain clearances for operating within adjacent sectors of controlled airspace. The pilot also must monitor hospital radio frequencies, must communicate with medical crewmembers and often must monitor the radio frequency of emergency medical services on the scene. The multiple challenges facing EMS pilots are shown in the following narrative from an ASRS report:

We received a request to fly to Hospital A to transfer a cardiac patient to Hospital B. We departed the home base hospital and headed towards Hospital A, which I had programmed into our Loran using information provided by our dispatchers and a directory. As I got closer to the metropolitan area, I relied more heavily on the Loran to keep me on track. Just as I started to realize that my nav was in error, I crossed over what turned about to be ZZZ [an airport] at 2,500 feet MSL [above mean sea level]. I was approximately five nm [nautical miles (nine kilometers)] from my destination, so I started my descent when I was well clear of the airport. I arrived at the coordinates and found nothing but a football field that had been listed as an alternate landing site for the hospital. I quickly discovered that I was 25 nm [46 kilometers] southeast of my destination. Very quickly, several VFR [visual flight rules] reporting points for the TCA [terminal control area, now called Class B airspace, which typically is the airspace from the surface to 10,000 feet MSL around the nation's busiest airports] came into view. I continued on to my destination and completed the mission without further incident. Several factors contributed to my situation. I am not as familiar with the area as I should be, and I had never been that close to the metropolitan area at night. I blindly trusted the information provided to me, and in my haste to get airborne, I failed to make an accurate

map study of the area. I should not have accepted the mission with as little information as I had when I initially took off. I was very fortunate that the weather was as good as it was and that there is not a lot of traffic that late on this night. Because there were so many flashing lights and Christmas decorations being displayed, I did not recognize the airport's beacon, and I had trouble picking out the hospital's beacon. It also made seeing normal VFR checkpoints difficult to see or even recognize."<sup>18</sup>

Fifty reports involved flights to or from hospitals that were adjacent to controlled airspace or beneath controlled airspace, and 32 reports involved flights to on-site responses that were beneath Class B airspace or adjacent to Class B airspace. In other instances, 29 reports discussed attempts to navigate around Class B airspace at the time of an airspace incursion, 24 reports said that pilots were distracted while monitoring multiple radio frequencies, and 20 reports indicated that pilots had difficulty obtaining clearances to penetrate the airspace. Eighteen reports were filed by pilots who were unfamiliar with airspace boundaries, and 16 reports were filed by pilots who lost positional awareness because of heavy workloads.

Fourteen pilots reported confusion caused by the navigational equipment and the location of data points, which conflicted with other information. Several reports indicated concerns by EMS pilots that some aeronautical charts did not include landmarks that would have helped them maintain positional awareness, especially near controlled airspace. Others cited darkness and a profusion of lights, which led to confusion as they attempted to navigate in controlled airspace.

Nineteen reports said that EMS pilots were unable to contact ATC from the helipad, even though the airspace above the helipad required a clearance. One ASRS report said:

After patient drop-off, which took 30 minutes, I was unable to contact ZZZ approach or tower from the hospital helipad. ([I]t is down in a hole surrounded by buildings.) I departed without clearance into ... [controlled airspace surrounding a major airport] and immediately contacted ZZZ approach. I circled around the hospital while he gave me a transponder code and got me in radar contact. When he gave me the code, he told me to stay clear of the ... [controlled airspace] until radar contact was established. The problem was that I was already in the ... [controlled airspace] on the pad at the hospital.<sup>19</sup>

EMS pilots reported 52 near-midair collisions; 30 near-midair collisions occurred in high-traffic areas. Nineteen reports said that near-midair collisions occurred where an airport traffic pattern adjoined a hospital helipad, 12 near-midair collisions occurred at uncontrolled airports, and five near-midair collisions occurred above hospital helipads. Forty-five events occurred when neither aircraft was in contact with ATC, and 43 events occurred in daylight VFR conditions.

#### Noise, Heavy Workload Contribute to Communication Problems

Seventy-five ASRS reports directly indicated problems with communication, including 60 reports (80 percent) that involved difficulty in communication between a pilot and ATC. Of the 60 reports, 29 were filed by pilots who inadvertently violated an ATC clearance, including 23 pilots who misunderstood the clearance. Radio-frequency congestion was cited in nine reports.

Radio-monitoring responsibilities add to task saturation in EMS cockpits, especially in single-pilot operations. One ASRS report said:

#### History of U.S. Helicopter EMS

The U.S. military initiated the use of helicopters to move injured soldiers during the Korean War, when helicopters transported more than 20,000 wounded soldiers to emergency care facilities. During the Vietnam War, that number increased to more than 200,000.<sup>1</sup> The mortality rate was reduced from 2.5 deaths per 100 casualties during the Korean War to one death per 100 casualties during the Vietnam War.<sup>2</sup>

Public safety departments began to use helicopters in the 1960s and 1970s for multiple purposes, including emergency medical transportation. The early public-use systems were called "scoop-and-run" operations and provided only basic life support in the helicopter during transport.<sup>3</sup>

The Aviation Law Enforcement Association said in 1988 that about 25 percent of its members' 470 helicopters were involved in some type of emergency medical services (EMS) activity, but only a small portion conducted EMS operations full time. Today, most U.S. EMS operators are commercial helicopter contractors, although some hospitals also own and operate EMS helicopters. The need for advanced life support (including administering medication, using cardiac defibrillators and establishing intravenous lines) while en route to the hospital led to the concept of present-day hospital-based EMS helicopter service.

The first U.S. commercial EMS helicopter service to offer advanced life support began in Denver, Colorado, in October 1972. The service was unique because it was affiliated with a hospital, received no special funding, was dedicated to patient transfer and was operated by the hospital in conjunction with a commercial helicopter operator.<sup>4</sup>

In 1980, 42 commercial EMS helicopter programs flew an estimated 20,750 flight hours in the United States.<sup>5</sup> By 1986, the number of commercial EMS helicopter programs had more than tripled, and about 95,000 patients were

transported by commercial EMS helicopters.<sup>6</sup> By 1995, about 25 percent of the 5,000 acute care medical centers in the United States had a licensed heliport that satisfied regulatory criteria, and by 1997, 103 organizations — both commercial and public-use agencies — were listed by the Helicopter Association International as providers of EMS helicopter services.<sup>7</sup>

In the early years of commercial EMS helicopter operations, the accident rates were more than three times higher than the accident rates for other helicopter operations. In 1986, the U.S. commercial EMS helicopter accident rate was 17.08 accidents per 100,000 flight hours, and the fatal accident rate was 5.26 accidents per 100,000 flight hours. For all turbine-powered helicopters, the 1986 accident rate was 5.47 accidents per 100,000 flight hours, and the fatal accident rate was 1.55 fatal accidents per 100,000 flight hours.

A 1988 study by the U.S. National Transportation Safety Board (NTSB) found that, between May 11, 1978, and Dec. 3, 1986, there were 45 commercial EMS helicopter accidents during patient-transport flights.<sup>8</sup> During that period, the commercial EMS helicopter accident rate of 12.34 accidents per 100,000 flight hours was nearly twice as high as the accident rate of all U.S. Federal Aviation Regulations Part 135 unscheduled air taxi helicopter operations. The commercial EMS helicopter fatal accident rate was 5.40 fatal accidents per 100,000 flight hours, about 3.5 times higher than the fatal accident rate for Part 135 unscheduled air taxi helicopter operations.

Based on the study, NTSB recommended a review of pilot training requirements, pilot workloads, shift lengths and sleep requirements and other safety issues. The industry subsequently implemented stricter weather minimums, upgraded pilot training and reduced EMS duty-time requirements.

A 1994 Flight Safety Foundation study found that accident rates declined from Jan. 1, 1987, through Dec. 31, 1993, when the commercial EMS helicopter operations accident rate was 3.14 accidents per 100,000 flight hours, compared with 4.28 accidents per 100,000 flight hours for all turbine-powered helicopters.<sup>9</sup>

I was returning to my hospital at 1,300 feet MSL (650 feet AGL [above ground level]). Approach control called with traffic. I never heard the call because I am also required to monitor my company frequency at all times. The second time he called, I heard him. He gave me traffic at 12 o'clock and one mile (1.6 kilometers) at 1,000 feet MSL and told me to climb to 1,500 feet immediately. The reason I didn't hear the first call was that my hospital's second aircraft was out on a flight, and our dispatcher was talking to them. That noise, plus my medical crew talking in the back cabin, made me miss the first call. Now I insist on a sterile cockpit in ... [controlled airspace near an airport with an operating control tower]. I also inform dispatch that I will be turning them off while transitioning ... [controlled

Accident rates have not been calculated for more recent years because data are not available for the number of hours flown by EMS helicopters. From 1998 through 2000, there were 31 commercial helicopter accidents, including 11 fatal accidents.♦

- Patrick R. Veillette, Ph.D.

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airspace near an airport with an operating control tower] and tell them when I will re-establish contact."<sup>20</sup>

Delayed or missed communication between the pilot and ATC, as a result of interference from medical crew or dispatcher communication, was cited in 25 ASRS reports. Eighteen resulted from monitoring multiple frequencies, and seven resulted from the lack of a sterile cockpit.<sup>21</sup>

Problems with communication between pilots and FAA flight service stations (FSSs) were cited in eight ASRS reports involving obtaining updated weather information or an IFR clearance. Problems with communication between pilots and Unicom frequencies were cited in six reports, all involving near-midair collisions.

#### Patients' Conditions Add to Pilots' Sense of Urgency

The 2001 study found 146 ASRS reports of time pressure during EMS operations.

The 1988 NTSB study said that the urgency of the EMS flights, the critical medical conditions of the patients and the on-call nature of EMS operations contributed to time pressure and pilot fatigue. The 1995 NASA study said that time pressure involved four factors: the patient's condition, rapid flight preparation, flight to the patient pick-up location and low fuel.<sup>22</sup> That report said that the patient's condition was the most important factor in time pressure (cited by 44 percent of pilots surveyed) during EMS operations.

The following ASRS report describes how a patient's condition influenced the decision-making process:

We were dispatched to an accident scene ... to pick up a patient who was trapped underneath an overturned tractor. Once on the scene, an EMS person was injured and knocked unconscious. The medical personnel at the scene requested that I fly both people to the hospital. We do not carry a second litter normally. I asked the medical personnel if they felt it would be life-or-death situation, and they said yes. I elected to fly the second patient strapped to a backboard, and with the use of cargo straps, I fastened the backboard to the aircraft both front and rear. This is a non-approved litter device. We returned to the hospital without further event. Lessons learned: Have medical crew consider installing the second litter on the aircraft at all times. Although I do not regret having to be put in a situation such as this, it is another exercise in getting involved in the medical situation at the scene and how it can affect a pilot's judgment. We can never let the medical necessity override our good judgment and prevent us from being ... safe.<sup>23</sup>

FARs Part 91.5 says that the pilot-in-command has full authority for aircraft operations. This includes the authority to refuse a flight or to cancel a flight because of weather conditions or any other factor that the pilot believes would adversely affect the safe operations of the aircraft. The National Flight Nurses Association (NFNA) *Practice Standards for Flight Nursing* says that "the flight nurse will make no attempt to influence the pilot's decision to accept or turn down a transport request."<sup>24</sup> Nevertheless, flight nurses are responsible for patient safety, and this responsibility inevitably leads to conflict and pressures.

In some instances, a patient's condition deteriorates so much that a pilot conducts a precautionary landing. Seven percent of those questioned in the 1997 survey of EMS pilots said that they conducted at least one forced landing or precautionary landing at a location other than their primary site during the previous year because of a change in patient condition.<sup>25</sup>

In the 2001 study, the patient's medical condition contributed to time pressure in 29 of the reports evaluated. One of the recommendations of the 1988 NTSB study was to develop procedures to isolate flight operation decisions from medical decisions. In the 1995 NASA study, EMS pilots indicated that they did not consider themselves isolated from medical decisions; nevertheless, the study said, isolation may not be realistic when a pilot is faced with anxiety and expressions of urgency, both in speech and nonverbal signs, from medical staff.<sup>26</sup>

NASA researchers suggested the application of crew resource management (CRM) principles, prevalent in air carrier operations, as an aid in EMS operations. In a 1999 survey of members of the National EMS Pilots Association (NEMSPA), 37 percent said that CRM training was useful, and 44 percent said that such training should be required. Nevertheless, 19 percent said that CRM training was not necessary or that they had not heard of CRM training.<sup>27</sup>

The 1988 NTSB study and the 1995 NASA study said that the second-most frequent cause of time pressure was rapid flight preparation, which was a contributing causal factor in 15 accidents and was cited in 18 ASRS reports. Many programs have self-imposed time limits to launch the aircraft. In several instances, these time limits have led to hasty preparations and time pressure.

In one ASRS report, the pilot said:

I arrived at work for a shift change. After parking the car, I heard one of our hospital helicopter's [rotors] turning on the hospital helipad. I ran to the pad so I could relieve the night pilot and take the flight. The pilot at the controls was at the time looking over maps of the area while the aircraft turned at ground idle. We exchanged places with the [rotors] still turning. When I got into the helicopter cockpit, the aircraft was not ready for flight. The throttles were not out of ground idle, and I had to dial in a few radio frequencies applicable for the mission. We were responding to a multiple car accident with serious injuries incurred. I do remember pushing the throttles forward. I also remember glancing at my instrument gauges before liftoff. Everything looked good. I made the appropriate calls and began the takeoff process. I first came to a hover, turned on the spot and then began my transition to forward flight and climb. As we moved forward, my warning lights and horns for lowrotor rpm [revolutions per minute] came on. My rotor rpms began to drop, and the aircraft slowly began to settle. We were past the west end of the pad, over a very steep hill, which extended down to a commuter-hour freeway. My no. 1 concern was to reach the nearest spot to land, which was back at the helipad. I turned and was able to settle back on the pad and appeared to land without incident. I looked at the gauges and around the cockpit. Everything was normal again, except I noticed that my engine throttles were not full forward. I assumed

that was the problem. I pushed the throttles forward completely, lifted off again and flew the flight to the accident scene as if everything was normal. Upon landing and shutting down at the scene, I discovered that approximately two [inches] to three [inches] [five centimeters to eight centimeters] of each tail-rotor blade ... was chopped off. I gave the remaining rotors a detailed inspection, checked the drive train from the engines to the rotors and found everything in place. The patient was brought to the aircraft, dying, and placed inside. I made the decision that I could make the five-minute flight back to the hospital safely. The flight went back without incident. Problem areas: The quick EMS helicopter responses, the numerous interruptions of the EMS pilot during start-up and the pilot allowing this to happen. Plus, the added pressure of a dying person causing the pilot to make emotional decisions instead of safe ones.<sup>28</sup>

Another factor that contributed to time pressure was changing weather conditions, especially when pilots attempted to complete flights as the weather deteriorated.

In 60 of the 218 ASRS reports, pilots said that they had been distracted. Pilot performance was compromised in 57 of the 60 reports; in each instance, a single-pilot operation was involved. The most common causes of distractions were monitoring multiple radio frequencies (24 reports), aircraft equipment problems in flight (nine reports), radio-frequency congestion (nine reports), traffic-avoidance in high-density traffic areas (seven reports) and poor cockpit organization (seven reports). The relative contributions of each distraction may be under-reported, and other factors such as airspace complexity, communication, navigation and traffic avoidance may be more frequent than reported here.

#### Pilots Cite Fatigue, Stress as Safety Risks

Most pilots recognize that fatigue and stress jeopardize flight safety. In the 1997 survey of EMS pilots, 25 percent cited flightrelated stress and 21 percent cited pilot fatigue as significant factors in EMS helicopter safety.<sup>29</sup> The 1988 NTSB study said that pilot fatigue could be a primary cause of the EMS industry's poor safety performance.<sup>30</sup>

EMS pilots are regulated by FARs Part 135, "Commuter and On-demand Operations," which says that a pilot must have a minimum of eight hours to 10 hours of uninterrupted rest within the previous 24-hour period. Flight nurses and other air medical crewmembers are not protected by this regulation, and the NFNA said that flight nurses who work 24-hour shifts frequently encounter difficulties in obtaining adequate rest.

The 1988 NTSB study found that, of 59 commercial EMS helicopter accidents, fatigue was cited as a factor in one. Nevertheless, NTSB said, "This does not mean that [fatigue] was not a factor in [other] accidents. It simply means that the

evidence was not clear enough for the investigator to cite it [fatigue] as a causal factor."

The 2001 study found no accidents in which fatigue was a factor. Nevertheless, the ASRS reports included seven pilot references to fatigue, six references to fatigue caused by long duty cycles and 14 statements by pilots that they had violated duty-time limits because of unplanned delays. Patient medical conditions were responsible for five of the delays, and unforecast weather or changing weather conditions were responsible for five other delays.

The role of fatigue in previous accident analyses, as well as in the 2001 study, may be underestimated because of underreporting. One previous analysis of the ASRS database found that 4 percent of reports were fatigue-related, but indirect references to fatigue were included in 21 percent of reports.<sup>31</sup> The latter figure may be more representative of the role of fatigue in aircraft mishaps. The analysis also found that fatigue-related incidents occurred most frequently between midnight and 0600.<sup>32</sup>

NEMSPA guidelines, cited in the 1988 NTSB study, say, "[F]atigue cannot always be self-determined, and in most cases, it may not be apparent until serious errors are made. It is necessary to avoid the environment that would promote these conditions.

NTSB said that EMS helicopter pilots, with their extended shifts and rotating shifts, "work in an environment and operate on a schedule ... conducive to acute [fatigue] and chronic fatigue that can influence the pilot's ability to operate the aircraft safely."<sup>33</sup>

When NTSB interviewed pilots for the 1988 study, most pilots said that, other than combat flying, the EMS flight environment is the most stressful and most challenging.

The 2001 study found 14 ASRS reports of pilots who violated crew duty limits, six reports that complained about long duty shifts and seven reports that mentioned being fatigued. Some extended duty days were caused by patients whose medical conditions required unplanned delays while in transport.

Emergency medical services are provided 24 hours per day, 365 days per year — a factor that introduces fatigue into EMS operations. About 37 percent of commercial EMS helicopter flights take place in darkness,<sup>34</sup> and Table 2 (page 12) shows that 41 of 87 accidents and 21 of 32 fatal accidents in this study occurred in darkness. Figure 7 (page 13), which shows the approximate time of day of accidents and incidents, indicates that the proportion of fatal accidents increased during late-night operations and early-morning operations. Twenty-seven percent of the ASRS reports analyzed in this study were about events that occurred at night.

Because of management concerns about providing a fair distribution of various working hours, managers traditionally

have rotated day shifts, evening shifts and night shifts among employees. Nevertheless, in many instances, rotating schedules have negative effects on individual performance.

Sleepiness also is influenced by the body's internal timing system. People tend to fall asleep most quickly at two distinct — but consistent — times in a 24-hour period.<sup>35</sup> Both long-haul pilots and short-haul pilots in sleep research studies exhibited a gradual increase in sleepiness throughout the day, reaching a maximum during the late afternoon, and followed by a gradual decline into the evening. Maximum daytime sleepiness occurs around 1530, and the body reaches peak alertness between 1930 and 2130. The body experiences another peak in alertness at 0930 after a night's sleep.<sup>36</sup> During the early morning hours (0400-0600), crewmembers in cruise flight displayed various brain wave patterns characteristic of sleep or extreme drowsiness.<sup>37</sup>

The stability of the sleepiness pattern suggests that some crewmembers may be able to use that information to predict when they could fall asleep more readily and thereby to develop better strategies for sleeping or napping.<sup>38</sup> Nevertheless, the changing schedules characteristic of most EMS operations limit the applicability of this option.

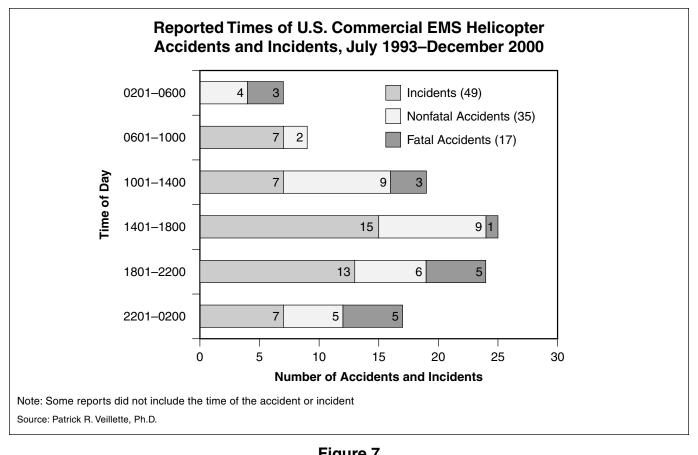
Research has found that the number of daily flight segments is consistently related to sleep quality. The intensity of the duty day has more influence on sleep quality than does the length of the duty day. As the number of daily flight hours — not duty hours — increased, the quality of sleep increased. Poorer sleep was associated with increases in the amount of en route stopover time. Less demanding duty days were followed by shorter periods of sleep and poorer sleep in the evenings.<sup>39</sup> The timing of trips — not necessarily the length of the duty day or the number of segments flown — appears to have contributed more to the development of fatigue. In EMS operations, pilots are unable to control the timing of trips and the number of segments flown, and the effectiveness of fatigue-prevention programs and stress-management programs is compromised.

#### Most Pilots Report Flying At Least 200 Hours a Year

The 1997 survey of EMS pilots found that the average lead pilot (chief pilot) in EMS helicopter operations has accumulated 6,530 flight hours in helicopters, 2,140 hours of twin-engine flight experience, 329 hours of instrument flight time and 1,305 hours of night flight time. The average line pilot has 5,894 hours of total helicopter flight experience, 2,071 hours of twin-engine flight time, 281 hours of instrument flight time and 1,120 hours of night flight time.<sup>40</sup> Of pilots responding to the 1999 NEMSPA survey, more than 44 percent had more than five years of experience flying helicopters in EMS operations.<sup>41</sup>

Of the commercial EMS pilots who submitted reports to ASRS, 50 percent held commercial pilot certificates, 24 percent held

All         Fatal         All         Fatal         All         Fatal         Others         All         Fatal         Including         All         Fatal         Including         All         Fatal         All         All         Fatal         All         All         All         All         All         All         All         All	TakeoffEn routeApproach and LandingFatalAllFatalApproach and LandingFatalAcidentsAcidentsAcidentsAcidentsAcidents1510716122142122189101721221891017-36412625223212ervices		Lightin	g Condit	Lighting Conditions During U.S.		mmercia	I EMS Hel	Commercial EMS Helicopter Accidents and Incidents, 1987–2000	cidents ¿	ind Incide	nts, 1987-	-2000	
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1       5       10       7       16       12       2       14       1         2       1       22       18       9       10       1       7       1         -       -       9       10       1       7       -       1       7         3       6       41       26       25       22       3       21       2         ervices       3       5       25       22       3       21       2       1	1       5       10       7       16       12       2       14       1         2       1       22       18       9       10       1       7       1       1         -       -       9       1       -       -       -       1       7       1       1         3       6       41       26       25       22       3       21       2       1       1         ervices       -       -       -       -       -       -       -       1       1       2       2       2       1       1       1       -       1       1       1       -       -       1		AII Accidents	Fatal Accidents	Incidents	AII Accidents	Fatal Accidents	Incidents	All Accidents	Fatal Accidents	Incidents	All Accidents	Fatal Accidents	Incidents
2 1 22 18 9 10 1 7 - 9 1 1 1 7 3 6 41 26 25 22 3 21 2 ervices	2 1 22 18 9 10 1 7 9 1 1 1 7 3 6 41 26 25 22 3 21 1 1 ervices	Daylight	12	-	5	10	7	16	12	5	14	-	ı	e
9 1 - 1 - 1 <b>3 6 41 26 25 22 3 21 2</b> ervices	9 1 - 1 26 25 22 3 21 - 1 ervices	Darkness	6	0	-	22	18	o	10	÷	7	I	I	-
<b>3 6 41 26 25 22 3</b> ervices	<b>3 6 41 26 25 22 3</b> ervices	Unspecified	÷	I	I	6	-	I	I	I	I	-	I	I
EMS = Emergency medical services Source: Patrick R. Veillette, Ph.D.	EMS = Emergency medical services Source: Patrick R. Veillette, Ph.D.	Total	22	3	9	41	26	25	22	3	21	2	0	4
Source: Patrick R. Veillette, Ph.D.	Source: Patrick R. Veillette, Ph.D.	EMS = Emer	gency medica	l services										
		Source: Patrick	R. Veillette, Ph.	.D.										





airline transport pilot certificates, and 26 percent held certified flight instructor certificates.

Nearly 60 percent of those questioned in the 1997 survey of EMS pilots reported flying a minimum of 200 hours per year in rotary-wing aircraft. More that 63 percent of lead pilots and 52 percent of line pilots had completed factory training school for the specific model of helicopter in which they were assigned.<sup>42</sup> Survey results indicate that 54 percent of the pilots received recurrent training annually, with an average of 22 hours of recurrent ground school and nine hours of recurrent flight training a year. Sixty-three percent of those surveyed said that other company pilots conducted their recurrent training; 19 percent said that the company's lead pilot conducted their recurrent training; and 12 percent said that their recurrent training was conducted at a factory school. Ninety-two percent said that instrument training and/or instrument refresher training would be advantageous for pilots if the training were funded by their company.

In the 1999 NEMSPA survey, 20 percent of pilots said that they received no inadvertent-VFR-into-IMC flight-recovery training during their recurrent training, 6 percent said that they performed unusual-attitude recoveries, 20 percent said that they performed unusual-attitude recoveries and air work, and 10 percent said that they performed IFR maneuvers and approaches in a simulator. Forty-four percent of the pilots said

that a full approach to an airport was required.<sup>43</sup> In the 1997 survey of EMS pilots, 12 percent said that inadequate training was a risk to flight safety.44

#### **Pilots Average Unplanned Entry** Into IMC 1.3 Times a Year

The 1988 NTSB study said that the most common factor in fatal EMS helicopter accidents was unplanned entry into IMC and that most such accidents occurred in darkness. Spatial disorientation, weather information and interpretation of weather information, and pilot judgment frequently were associated factors in reduced-visibility accidents. That study said that, despite the high experience level of most EMS pilots, unplanned flight in IMC was common.

Another survey of EMS helicopter pilots, published in 1986, reported that they experienced unintentional flight into IMC an average of 1.3 times per year.45 The 1997 survey of EMS pilots found that one-third of EMS pilots said that deteriorating weather was to blame for at least one forced landing or precautionary landing at a location other than their primary site during the previous year.<sup>46</sup>

The 2001 study's review of the NTSB database of EMS accidents between January 1987 and December 2000 (Table 3, page 14) shows that 23 accidents, including 17 fatal accidents, occurred in marginal VFR (MVFR) conditions or IMC.

The ASRS database includes 34 reports of inadvertent encounters with IMC by commercial EMS helicopter pilots. Thirty events occurred during flights in MVFR conditions, 22 events occurred in darkness, and 26 events occurred in weather conditions that had not been forecast. Twenty-nine pilots said that they were unable to safely continue VFR flight, 22 pilots flew their helicopters into IMC without an IFR clearance, 16 pilots climbed to avoid terrain, 22 pilots attempted to contact ATC for assistance (21 pilots made contact), and four pilots said that they temporarily lost control of their helicopters.

Flying into weather that obscures visibility is usually the first step in developing spatial disorientation. FAA's *Aeronautical Decision Making for Air Ambulance Helicopter Pilots* says, "The real killer lurking in the night sky is the unseen cloud. Clouds disappear easily in the dark, and you can fly into one without seeing it coming."<sup>47</sup>

One pilot who submitted an ASRS report about an unplanned flight into IMC said:

Called for patient flight to ZZZ. Weather was marginal but acceptable. Landing at ZZZ with no problem. Upon departure and climb-out, flew into clouds at about 1,000 feet MSL. Due to rising terrain in vicinity and limited visual references anyway, I performed a maximumperformance climb and came to a heading that would avoid terrain. Just as I was calling ZZZ approach for an IFR clearance, I broke out at 1,800 feet MSL. Continued flight with no further incident. Contributing factors to entering IMC were the darkness, low-light illumination and ragged ceiling."<sup>48</sup>

FAA testing found that, even when the pilot is qualified for instrument flight, some pilots took as long as 35 seconds after loss of visual contact with the ground to establish full control of the aircraft by reference to instruments. (FAA said that the tests were conducted with fixed-wing aircraft, which are inherently more stable than helicopters.)<sup>49</sup>

FAA's Aeronautical Decision Making for Air Ambulance Helicopter Pilots says that, "even on the clearest night with VFR conditions, a pilot can come close to IFR (instrument flight rules, i.e. inadvertent IMC) operations if there is no moon and/or no ground lights to establish a horizon reference. Or, on the other hand, a profusion of ground lights below and stars above can merge into a continuous sweep of pinpoints that deprive a pilot of any horizon reference."<sup>50</sup>

FAA's *Spatial Disorientation* advisory circular says, "Surface references and the natural horizon may at times be obscured, although visibility may be above VFR minimums. Lack of natural horizon or surface reference is common on overwater

	Weath	ner Condi	Weather Conditions During U.S.		ommerci	Table 3 al EMS He	Table 3 Commercial EMS Helicopter Accidents and Incidents, 1987–2000	ccidents	and Incid	ents, 1987	7–2000	
		Takeoff			En route		Appro	Approach and Landing	ding		Others	
	All Accidents	Fatal Accidents Incidents	Incidents	All Accidents	All Fatal Accidents Accidents Incidents	Incidents	All Accidents	All Fatal Accidents Accidents Incidents	Incidents	AII Accidents	Fatal Accidents Incidents	Incidents
VMC	18	0	9	17	12	23	20	-	20	-	I	e
MC, MVFR	ო	-	I	18	14	0	0	0	-	I	I	-
Jnspecified	<del></del>	I	I	9	I	I	I	I	I	-	I	I
Total	22	ო	9	41	26	25	22	ო	21	2	0	4

flights, at night, and especially at night in extremely sparsely populated areas or in low visibility conditions."<sup>51</sup>

The 1988 NTSB study said, "Tests and experience have shown that non-instrument-trained pilots or nonproficient pilots are rarely successful in overcoming spatial disorientation. Most helicopters require some form of autopilot system in addition to appropriate navigation equipment and instrumentation in order to be approved and certificated for single-pilot flight into instrument conditions. Without this help, even if the helicopter has appropriate instrumentation, pilots will have a difficult time controlling the helicopter if they lose visual reference, since helicopters are unstable in flight and require constant input from the pilot to remain under control."<sup>52</sup>

In the 1999 NEMSPA survey, when pilots were asked which technologies would help them most, 50 percent said that they wanted equipment to aid them in IFR operations: Twenty-nine percent said that a fully coupled autopilot would be the greatest aid, and 21 percent said that global positioning system (GPS) approaches and improved access to the IFR en route structure would be most helpful. Thirty-four percent of EMS pilots said that night-vision goggles would be the greatest technological aid to their work.<sup>53</sup>

#### Official Weather Reports Often Are Unavailable

Commercial EMS helicopter operators are subject to the rules contained in FARs Part 135 and FARs Part 91, "General Operating and Flight Rules." When only the flight crew and EMS medical personnel are on board, the flight may be conducted under Part 91 rules; when a patient is on board, Part 135 rules apply. Under Part 91, the flight may be conducted without a flight plan and in weather that does not comply with Part 135 takeoff minimums and landing minimums. Under Part 135, a pilot may not begin an instrument approach unless there is an approved weather-reporting source, and the reported ceiling and visibility are higher than IFR landing minimums.

NTSB said, in its 1988 study, that there was a need for better weather information and additional training for pilots in interpreting weather information. Of the 15 pilots in the 1988 NTSB study who were involved in reduced-visibility accidents, 13 pilots had received some form of weather briefing before the accident.<sup>54</sup>

"[I]n some cases," the 1988 NTSB study said, "the pilots did not wait to receive a full weather briefing, and departed in haste, further increasing their chances of encountering poor weather, especially at night."

In the 2001 study, pilots in 14 of the IMC-related accidents had obtained a weather briefing. In 11 of the 14 accidents, NTSB faulted the pilot's weather evaluation. (Eight NTSB accident reports did not say whether the pilot had obtained a weather briefing.) Pilots in five of the seven inter-hospital transport accidents and seven of the 22 positioning flights used terminal area forecasts and routine aviation weather reports from nearby airports.

The 2001 study found that official weather reports for the destination were unavailable in some instances and that pilots relied on area forecasts, which may not reflect localized weather conditions.

Weather briefings typically include qualifiers such as "chance of IMC" or "occasional MVFR." The words "chance of" or "occasional" IMC or MVFR were used in weather briefings in 11 of the 14 accidents in which pilots obtained weather briefings.

In 34 ASRS reports of inadvertent encounters with IMC, 30 reports involved mixed weather conditions or MVFR, and 26 involved unforecast weather.

One ASRS report described the following inadvertent encounter with IMC:

Departed the hospital in town. ... The weather was thin scattered, with about six-mile [10-kilometer] visibility, and my base was reporting clear and five-mile [eightkilometer] visibility, temperature 16 degrees C [Celsius, 61 degrees Fahrenheit (F) ], dew point 15 degrees C [59 degrees F], and wind 200 degrees at 10 knots. My initial plan was to stay below the scattered layer, which was about 1,500 feet MSL, and return to my base. I expected the weather to improve as we flew east. It did not, so I turned south to follow lower terrain out of the town's area. I began to encounter thin scattered clouds at 1,200 feet MSL. With the weather at my base still reporting clear, I elected to climb through the thin scattered layer of clouds rather than continue low-level. The scattered layer bases were at 1,500 feet and tops at 1,800 feet. I climbed to 3,500 feet MSL and flew east towards my base and continued to check on the weather below. It was thin scattered, with lights visible through it. At about 22 nm [41 kilometers] out, the level below turned solid to broken. I looked behind me, and that was solid, too. I called approach, and the tower said my base was still clear. At eight miles [13 kilometers] out, I asked for the weather again, because I could only see a glow and occasionally some ground lights. The tower reported the weather was still clear, then stopped and said that weather was moving in from the west rapidly. The weather then went to 700 feet broken and five miles in mist. I continued to occasionally see ground lights through the layer. I was concerned the weather would deteriorate further. I declared an emergency and was cleared for an ILS [instrument landing system approach] to runway 4R. After requesting approach information, I asked to be vectored back out to reintercept the localizer due to high ground speed and not comfortable with the approach. I entered the cloud layer at about 1,800 feet and broke out at about 800 feet MSL. The cabin crew reported ground lights visible throughout the descent. I stayed on the instruments in order to not become disoriented or get vertigo. In retrospect, I should have stayed below the cloud layer and continued to my base, even though the reported and forecasted weather there indicated the climb would be a better course of action.<sup>55</sup>

A report in the *American Journal of Emergency Medicine* said that a two-year study found that 3.5 percent of all EMS flights were conducted on IFR flight plans.<sup>56</sup> Nevertheless, ASRS reports from EMS pilots indicate that the ATC system sometimes is unable to allow pilots of EMS helicopters to enter the IFR system easily. EMS helicopters frequently depart from off-airport sites such as hospitals or on-site locations and seldom proceed to their destination via the federal airway system, as this ASRS report illustrates:

IFR flight plan was filed by operations to depart a hospital helipad and proceed IFR to my destination. I called XYZ FSS to pick up my IFR clearance. XYZ FSS Radio requested a clarification of my departure location. I informed XYZ Radio that I would be departing from a hospital helipad located in ZZZ, and the geographical location was filed in the form of latitude/longitude coordinates in the flight plan. Also, I gave an estimate of the ... [distance] and direction from AB County Airport. XYZ FSS relayed the following short-range clearance: 'ATC clears EMS helicopter direct to XXX NDB, climb and maintain 4,000 feet. Contact XXZ Approach on 119.2, squawk XXXX, clearance void if not off by AB40.' I repeated the clearance to XYZ Radio. I contacted XXZ Approach on departure and was immediately queried on my departure point. IFR was completed without incident. Upon landing, I received phone calls from XYZ Radio and XXZ Approach concerning confusion over my departure point. Evidently, the clearance was obtained and issued based upon an IFR departure from ZB County Airport, which is controlled by XXZ Approach. The actual departure point was ZZZ, which is controlled by center. My clearance never mentioned a departure location. I should have clarified the missing departure point in my readback. The ability of helicopters to depart from locations other than airports seems to have created a source of confusion among various ATC facilities. With an increasing number of GPS approaches [being] approved into hospital helipads and the ability of helicopters to depart remote locations would indicate a strong need for the aviation community to address this issue.57

In uncontrolled airspace, usually found below 1,200 feet AGL, FARs Part 135.205(b) and Part 205(b) say that helicopters may be operated VFR if visibility is at least 0.5 statute mile (805 meters) during the day and at least one mile at night. FARs Part 135.203 says that the helicopter must remain above congested areas by 300 feet; FARs Part 135.207 says that the helicopter pilot must maintain visual reference with the surface (or with surface lights at night).

In the 2001 study, 25 of the 27 low-visibility accidents occurred at low altitudes and in uncontrolled airspace.

#### Speed Is Often a Factor In Low-visibility Accidents

In the 2001 study, 17 commercial EMS helicopter accidents in low-visibility conditions, including 14 fatal accidents, occurred at cruise speeds, and nine accidents, including three fatal accidents, occurred at approach speeds.

NTSB, in its 1988 study, quoted a study that found that the average helicopter pilot required five seconds to recognize a hazard, to determine that corrective action was needed and to respond.<sup>58</sup> For example, if a pilot began a 30-degree banked turn away from an obstacle, a helicopter traveling at 120 knots would continue 3,220 feet (982 meters) toward the obstacle before moving away. A 30-degree banked turn in marginal visibility could induce spatial disorientation if a pilot relied on outside visual cues to control the aircraft. NTSB said that a helicopter being flown at cruise speed in marginal weather conditions could overfly the pilot's ability to see and avoid obstacles or deteriorating weather.

One EMS pilot described such a situation in the following ASRS report:

I departed medical center and picked up a patient to be transferred to a hospital. We left with a ceiling of 700 feet and visibility of four miles [6.4 kilometers]. About 23 miles [37 kilometers] from XYZ airport, I monitored ATIS [automatic terminal information service] and found the ceiling and visibility at the airport to be 600 feet and two miles [3.2 kilometers]. I discussed getting an IFR clearance with the medical crew, but decided I could make it VFR. I then contacted approach control and they had me squawk and ident 20 miles [32 kilometers] south of the airport. After another two minutes, I contacted the hospital and let them know we were about five minutes out at nine miles [14 kilometers]. I slowed the aircraft to 90 knots, and, as I was slowing, medcom called for a position report. I told them I didn't have time right now and looked down to set my selector switch back to approach control. While I looked up again, all I saw was a cloud for one [second] or two seconds. As I was getting ready to transmit for an IFR clearance, I broke out of the cloud to see a tower to my right front at approximately 500 feet to 1,000 feet [153 meters to 305 meters]. I immediately turned 20 degrees to the left and momentarily heard the main rotor strike one of the guy wires. I felt a slight lateral vibration and continued a left descending turn into a 10-knot southerly wind. I found an open field, and, after verifying my rotor [speed] was still in the green, did a power-on approach to the field. As I was landing, I gave a mayday call to approach. After landing and finding all passengers safe, I executed an

emergency shutdown. I knew the weather was marginal when I departed, so I reviewed my options from our company ops manual. These include land immediately, divert to another location, turn around and go home, go IFR. In retrospect I know I waited too long to [select] one of the four options. I should have either landed or asked for an IFR clearance. I let my attention divert to making radio calls instead of flying the aircraft. In the future, I will not hesitate to land or ask for a clearance much sooner in a deteriorating situation.<sup>59</sup>

#### **Obstacle Strikes Involved in 27 Accidents**

Table 4 shows the number of collisions with obstacles that occurred during each phase of flight and in different lighting conditions and visibility conditions. Twenty-seven accidents in the 2001 study, including eight fatal accidents, and 23 incidents involved collisions with obstacles. Fifteen accidents and 12 incidents involved wire strikes, and 10 accidents and nine incidents involved collisions with fences, trees or lights in the takeoff zone and the landing zone.

Table 4
Obstacle Strikes Involved in U.S. Commercial EMS Helicopter
Accidents and Incidents, 1987–2000

	Takeoff	En route	Approach and Landing	Ground
Type of Obstacle				
Wire				
Incidents	3	1	8	_
Nonfatal accidents	6	1	2	_
Fatal accidents	1	4	1	-
Fence, tree, light				
Incidents	1	_	8	_
Nonfatal accidents	4	_	6	_
Fatal accidents	-	-	-	-
Vehicle				
Incidents	_	_	2	_
Nonfatal accidents	-	-	_	-
Fatal accidents	-	-	-	-
Terrain				
Incidents	-	-	-	-
Nonfatal accidents	-	-	_	_
Fatal accidents	_	1	1	_
ighting Condition				
Daylight				
Incidents	4	1	9	2
Nonfatal accidents	4	1	3	_
Fatal accidents	-	1	1	-
Darkness				
Incidents	-	-	6	_
Nonfatal accidents	5	_	5	_
Fatal accidents	1	4	1	-
/isibility Condition				
VMC				
Incidents	4	2	14	2
Nonfatal accidents	9	-	7	_
Fatal accidents	1	1	2	_
IMC, MVFR				
Incidents	_	1	1	-
Nonfatal accidents	1	1	1	_
		4	_	_

Five of the six obstacle-strike accidents during the en route phase of flight involved MVFR conditions or IMC conditions. Four obstacle strikes during en route flight in IMC conditions resulted in fatalities. Three of the five en route wire strikes involved electrical transmission wires that were less than 100 feet above the ground; the other two en route wire strikes involved telecommunications towers. NTSB accident reports said that none of the helicopters was equipped with wire-strike detection equipment. (This gyroscopically stabilized equipment consists of a 35-gigahertz radar with a range of 40 nautical miles [74 kilometers].)<sup>60</sup>

One EMS pilot described his concern about unlighted towers in this report to ASRS:

Is there anything you can do about the quickly growing problem of unlit towers? Current Florida NOTAMS [notices to airmen] show 25 unlighted towers, including 11 over 500 feet AGL and three over 1,000 feet AGL. ([One tower at] 1,574 feet AGL is the highest.) This only includes towers that are reported. There also is a problem with cell phone towers. From what I've heard, they are below the height that either the FAA or FCC [U.S. Federal Communications Commission] requires lights, but low-flying aircraft such as EMS, law enforcement and media are operating around them. Is there any way to convince tower operators of the potential hazard? I've got to assume there is electrical power to all these towers, and it wouldn't be that hard to put lights on them. I've gotten closer than I would have liked. I saw the tower as I did a reconnaissance orbit over a landing zone at an accident scene. It was unlighted and painted dark gray. Thanks for anything you can do.<sup>61</sup>

#### Another EMS pilot wrote:

On takeoff from a night landing on an EMS scene, I swept my ... [light] along my flight path during the climb. My light ... fortunately illuminated a recently erected telephone tower. A nearby water tower, which was only slightly taller, was well illuminated. I suspect the cell phone towers were intentionally erected to less than 200 feet to avoid the FAA regulation requiring paint and hazard-illumination [on towers taller than 200 feet]. There are several now in the local area, all near roads on which we land, so they pose a particular hazard to EMS helicopters.<sup>62</sup>

Telecommunications industry specialists estimated that about 100,000 new towers were being constructed from 1997 to 2002, with more than 50,000 of them expected to be more than 200 feet AGL. The U.S. Federal Communications Commission requires FAA to review the safety of any tower that would be more than 200 feet tall, but helicopter industry officials say that some new towers are not being included on aeronautical charts and that some do not have proper lighting and proper marking.<sup>63</sup>

The 2001 study found that seven EMS helicopters struck obstacles while taking off from or landing at hospital heliports. One helicopter was destroyed, three helicopters were substantially damaged, and three helicopters received minor damage. Light structures were involved in four of the obstacle strikes; the other obstacle strikes involved an adjacent building structure, a fence and wires.

On-site landing zones typically are obstructed by vehicles and people, including both trained emergency response personnel and bystanders. During on-site takeoff operations and landing operations, pilots must assess the effects of adverse winds, debris on the heliport, obstacles and congestion from vehicles and bystanders.

In the following ASRS report, the pilot described a wire strike that occurred during on-site congestion:

An EMS helicopter landed on a dirt road near a seafood processing plant. [The pilot] was to pick up a worker who had suffered a major back injury from a falling 500-pound [227-kilogram] block of ice. His takeoff path was obstructed by telephone wires. He elected to take off beneath the wires rather than to try to climb over them. He had 35 hours in this particular model aircraft. He misjudged the height of his tail rotor, which cut the lowest of three phone wires. A 1/4[-inch] by 1/8[-inch] [6.4-millimeter by 3.2-millimeter] nick was made in one tail rotor blade.<sup>64</sup>

Twenty-one of the 27 obstacle-strike accidents in the 2001 study occurred during takeoff or landing, including seven that occurred at the operator's hospital helipad and 14 that occurred during on-site responses. In 11 of the 14 on-site accidents, pilots had attempted to obtain information regarding obstacles near the operating sites. In eight of the accidents, the pilots were warned about the existence of wires, but six of the eight warnings were vague, inadequate or nonspecific. The pilots had been warned of the obstacles in all seven hospital-helipad accidents. In five accidents, the pilot's attention was diverted to other aviation tasks (such as monitoring the aircraft instruments). Inadequate crew coordination was cited in five obstacle-strike accidents in the landing area. Inadequate visual lookout was cited in five accidents.

EMS pilots submitted 11 ASRS reports about events in which the rotor blades were damaged during on-site operations. Factors most common to these events included confined-area operations and night conditions. Rotor damage was caused not only by wires but also by debris and objects that were moved by the rotor's downwash.

The following ASRS report involved damage caused by debris:

My EMS helicopter, as well as another helicopter, were dispatched to the scene of an auto [accident on] the side of a highway embankment. The [other] helicopter landed first in a confined area next to the road. The [other] helicopter left the scene and, upon leaving, disturbed a large amount of debris. When my helicopter was landed in the same location shortly after the other helicopter, the debris was once again disturbed. This is not unusual in EMS helicopter operations, as we almost always are required to land adjacent to roadways where debris collects. After arrival at the hospital ... a post-flight [inspection] was conducted, and a one-inch (2.54-centimeter) void in the main-rotor blade tip cap was discovered. This void required temporarily grounding the helicopter and subsequent maintenance action. The blade sustained enough damage to require its removal and replacement.<sup>65</sup>

#### Pilots See Risks in 'Hot Loading'

During helicopter ground operations, turning rotors can cause serious injuries to personnel operating beneath the main rotors or near the tail rotors. When the main rotor is turning at sufficient speed, the pilot has considerable control of the rotor position through the cyclic control; the pilot can lift the rotor or tilt the rotor away from people who are moving beneath it.<sup>66</sup>

When the rotor turns at slower-than-normal operating speeds, however, such as when pilots are shutting down the rotor or when the rotor is accelerating during startup, the pilot has less control of the rotor position.

Personnel sometimes walk underneath rotors or near rotors without obtaining the pilot's attention and acknowledgment.

Some operators require helicopter rotors to be stopped during loading operations. This is termed "cold loading." Other operators allow "hot loading" while the rotors are turning, because hot loading can save time during an emergency response.

A study by Samaritan Air Evac in Phoenix, Arizona, U.S., showed that 73 percent of the 87 air medical programs surveyed conducted hot loading and hot unloading of patients under some circumstances. Eight percent of the programs surveyed had written policies for conducting the procedure safely.<sup>67</sup> Ninety percent of the surveyed pilots said that hot loading and hot unloading procedures should be conducted. Forty-five percent of the pilots said that hot loading and hot unloading are risky, but that, in certain situations, the benefits outweigh the risks. Nine percent of pilots said that they conduct hot loading and hot unloading only when the severity of the patient's condition warrants the procedure and when all safety requirements are met. Thirty-six percent said that the procedures create no additional risk.<sup>68</sup>

Preliminary studies have shown that hot loading a typical EMS helicopter requires an average of 3.59 minutes; cold loading the same helicopter requires an average of 5.45 minutes. The

total on-site time decreases from 15 minutes for cold loading the helicopter to 14.25 minutes for hot loading.<sup>69</sup>

NFNA said that safety would be improved if every EMS program that conducts hot loading operations had formal written policies and procedures. NFNA also said that safety would be enhanced if hot loading and hot unloading were not used routinely and if all personnel involved were required to receive initial training and recurrent training on correct performance of the procedures. Because ground personnel at on-site operations or at other hospitals may not be familiar with hot loading procedures, consideration should be given to limiting hot loading and hot unloading to those hospitals and crewmembers who are trained in the procedures, NFNA said.<sup>70</sup>

#### **Mechanical Failures Cited in 26 Accidents**

In the 1997 survey of EMS pilots, 18 percent reported at least one forced landing or a precautionary landing at a location other than their primary site during the previous year because of a mechanical malfunction.<sup>71</sup>

Of the 87 commercial EMS helicopter accidents in the 2001 study, 26 accidents involved mechanical failures. Thirty-four of the 56 EMS incident reports involved mechanical failures. Nine mechanical-failure accidents resulted in fatalities, and one mechanical-failure accident resulted in serious injuries. The nine mechanical-failure fatal accidents constituted 28 percent of all fatal EMS helicopter accidents in the sample.

Table 5 (page 20) shows the distribution of mechanical-failure accidents and mechanical-failure incidents to the component system and the phase of flight. Of the 26 mechanical-failure accidents, six were attributed to improper maintenance procedures. Seven accidents and 13 incidents were caused by engine failure. Six accidents occurred because of tail-rotor failure. Six accidents and five incidents were attributed to failure of the transmission system.

Twenty-two of the 23 en route mechanical incidents occurred in VMC. Fourteen of these occurred during daylight. Thirteen incidents resulted in precautionary landings or emergency landings with no further damage to the aircraft. None of the mechanical incidents involved injury to the aircraft occupants.

Cowlings and loose panels that separated from helicopters caused four accidents and six incidents. In five of these events, the cowlings had been closed improperly by medical crewmembers during preparation for the flight. In three events, worn latches were discovered.

Thirty-three ASRS reports involved mechanical problems. Successful precautionary landings were conducted in 20 of these events, all of which occurred in multi-engine helicopters. In six events, helicopters unintentionally were operated beyond mandatory inspection periods, and in eight events, pilots attempted flight with known mechanical discrepancies.

# Table 5Mechanical Failures Involved in U.S. Commercial EMS HelicopterAccidents and Incidents, 1987–2000

	Takeoff	En route	Maneuvering	Approach and Landing	Ground
Engine					
Incidents	_	11	-	2	_
Nonfatal accidents	2	2	-	1	-
Fatal accidents	-	2	-	-	-
Cowlings, doors					
Incidents	1	3	-	2	-
Nonfatal accidents	1	-	-	1	-
Fatal accidents	1	-	-	1	-
Flight control					
Incidents	_	4	_	2	1
Nonfatal accidents	1	2	-	2	-
Fatal accidents	-	1	-	-	-
Transmission					
Incidents	_	5	_	_	_
Nonfatal accidents	_	3	1	_	-
Fatal accidents	-	2	-	_	-
Other					
Incidents	1	2	_	-	_
Nonfatal accidents	_	_	_	1	_
Fatal accidents	_	2	-	_	_

Source: Patrick R. Veillette, Ph.D.

Helicopters used for EMS transport are complex, in part because they are modified for installation of medical components for advanced life support. During the modification process, the helicopter is stripped of all unnecessary furnishings, carpeting and equipment, then equipped with new seats for medical personnel, patient litters and medical equipment. Medical equipment includes such items as oxygen bottles, cardiac cylinders and hooks to support intravenous bottles. The modifications usually are conducted according to the contracting hospital's specifications and often are based primarily on the need for compatibility with other hospital equipment.<sup>72</sup>

Sometimes the modification of helicopters for EMS operations includes the addition of medical equipment that may interfere with the functioning of the helicopter, as shown by the following ASRS report:

In our EMS helicopter, we have been getting interference on 130.0 (VHF AM [amplitude modulation]), while using a patient heart monitor. The interference has been in the form of ... [a] tone received over the VHF radios. (We have two VHF radios in the aircraft.) During a short flight from a hospital just north of XXX, to our hospital 13 nm [24 kilometers] west, the interfering tone was practically continuous and quite distracting to pilot's thinking, as well to his reception of tower transmissions. Just after takeoff, I contacted XXX tower, received instructions to squawk XXXX and clearance to depart the TCA at or below 2,400 feet, which I acknowledged and complied with. Now, however, the interference was so loud on tower frequencies (135.0 and 120.0) that I inadvertently turned my tower volume control so low that I could not hear the tower at all.<sup>73</sup>

#### Study Finds Crashworthiness at Risk

In the 1988 study, NTSB said that the crashworthiness of EMS helicopters was jeopardized by interior modifications. *(Crashworthiness* is the ability of an aircraft design to withstand impact forces with minimal structural damage to living space and adequate absorption of impact energy so that occupants survive.) NTSB found that, in some EMS helicopters, the interior was not modified according to applicable FAA standards for crashworthiness or to sound engineering practices. NTSB found a scarcity of shoulder harnesses, seats that were attached improperly to the floor, seats that were constructed from unapproved materials, medical equipment that was not properly restrained, intravenous-bottle hooks that projected from helicopter interiors, and equipment — some of substantial weight — that was stored loosely or mounted improperly.<sup>74</sup>

Those factors may be considerations in the fatality rates in EMS helicopter accidents, compared with accidents involving helicopters that have not been modified for EMS operations. One study found that occupants of EMS helicopters were nearly three times more likely to be seriously injured in a survivable accident than occupants of non-EMS turbine helicopters involved in survivable accidents.<sup>75</sup>

In the 2001 study, 35 percent of occupants of the EMS accident helicopters received fatal injuries, 12 percent were seriously injured, 11 percent received minor injuries, and 42 percent were uninjured.

Four accidents in the 2001 study were caused by in-flight fires, which fatally injured four people. Patients were on board in one of these accidents. Three of the four in-flight-fire accidents involved engine fires, all of which occurred in multi-engine aircraft. Engine-fire warning systems activated in each of the three accidents and were accompanied by visible smoke and fire. In each accident, the pilot completed a single-engine autorotation without significant damage to the fuselage structure, enabling the medical crewmembers to exit the burning aircraft quickly.

Five accidents in this report involved post-crash fires, in which seven people were injured fatally. The ignition sources in four post-crash fires were not identified; exposure of the patient oxygen system to oil or grease was the cause of the other fire. In three of the five post-crash fires, EMS crews were unable to extricate themselves from the wreckage.

Escape from EMS helicopter wreckage is complicated by the position and condition of the stretcher and by debris from unsecured medical equipment. These factors explain, in part, the higher incidence of severe injuries resulting from EMS accidents. The injuries hinder the ability of EMS crews to extricate themselves and injured patients who are strapped to medical stretchers for in-flight transport.

U.S. Army research has shown that the degree and extent of thermal injuries received during escape from burning helicopter wreckage depends upon the thermal protection provided by clothing. The Army study found that an aviator wearing a standard, military-issue, summer-weight, cotton flight uniform must escape from the fire within 10 seconds of fuel-tank ignition for a reasonable chance of survival. After 20 seconds, the aviator would be exposed to temperatures greater than 927 degrees C (1,701 degrees F).<sup>76</sup>

#### Proper Seating Credited With Limiting Injuries

Because of their in-flight medical duties, medical crewmembers frequently are not seated in energy-absorbing seats with their seat belts and shoulder harnesses fastened.

Table 6 shows the results of field observations of on-site and positioning EMS flights. During takeoffs from on-site operations, 11 percent of EMS crewmembers were seated with a seat belt fastened, and 4 percent were wearing a shoulder harness. In every instance, the flight nurses and paramedics were busy with patient care. Results during patient transfer from a fixed-wing air ambulance to a rotary-wing EMS helicopter were similar: 10 percent of EMS crewmembers were seated with seat belts fastened and 3 percent were wearing shoulder harnesses. During repositioning flights without a patient on board, all EMS crewmembers were seated with seat belts fastened, and 45 percent wore shoulder harnesses.

A U.S. Department of Transportation analysis of injuries sustained in civil helicopter accidents found that 18 percent of

	Accident Scene	Repositioning	Inter-hospital Transfe
vents observed	128	247	58
Pilot wearing flight helmet	75%	69%	70%
Medical crew wearing			
flight helmet	0%	0%	0%
Pilot wearing flight suit	77%	75%	72%
Medical crew wearing flight suit	100%	100%	100%
Pilot wearing gloves	85%	86%	70%
Medical crew wearing gloves	27%	9%	7%
Medical crew wearing seat belt during takeoff	11%	100%	6%
Medical crew wearing shoulder harness during takeoff	4%	45%	4%

# Table 6Use of Protective Clothing by U.S. Commercial EMS Helicopter Crews, 1995–2000

fatal injuries and major injuries were head injuries and that 9 percent were attributed to face injuries and neck injuries.<sup>77,78</sup>

Military studies have found that a helmet that remained in place throughout an accident sequence was associated with a significant reduction of both the number of injuries and the severity of injuries, compared with individuals whose helmets came off.<sup>79,80,81</sup> Flight helmets specifically designed for use in helicopters provide greater sound protection, crash attenuation, increased movement, broader field of vision and increased comfort.

To determine the effectiveness of visor-equipped helmets, the U.S. Army Aeromedical Research Laboratory studied data from 1,035 U.S. Army helicopter accidents from October 1989 through September 1996. The study found that visors were used in 459 of the accidents and that visors prevented injury in 102 accidents and reduced the severity of injury in 13 accidents.<sup>82</sup> In some instances, the visors contributed to minor facial injuries, but data showed 18.2 percent fatalities among crewmembers who wore their visors down, compared with 53.5 percent fatalities among those who kept the visors up.<sup>83</sup>

Despite these advantages, flight-helmet use among EMS crewmembers varies. One earlier study found that 23 percent of the passengers injured in EMS helicopter accidents experienced serious head injuries, a level twice as high as that of other group in the study.<sup>84</sup>

During field observations for the 2001 study of more than 400 EMS helicopter crews at work, none of the EMS crewmembers was observed wearing the equivalent of an aviation flight helmet. Seventy percent to 75 percent of the EMS pilots, however, wore the equivalent of a military-aviation flight helmet. Because more than 75 percent of EMS pilots received their initial flight training in the military, most were familiar with the uses of aviation helmets.

Optimal clothing for EMS crews should be determined by the fabric's flammability, heat-transfer characteristics, comfort, launderability, abrasion resistance, fabric strength and durability, colorfastness, and predicted useful service length. No fabric has all of these desired qualities.

The U.S. Army, which compared protection available from 100-percent cotton, cotton/polyester blends and Nomex, found a significant reduction in thermal injury with the use of Nomex. (Nomex is a flame-resistant, heat-resistant material made from aramid fiber, which is similar to nylon but does not melt or drip when exposed to high heat.) Nomex does not prevent thermal injury to the skin, but may reduce the risk or severity of tissue damage.<sup>85</sup>

Nomex can withstand temperatures of up to 800 degrees F (427 degrees C). Nevertheless, some military aviators have been burned through Nomex flight suits because they were not wearing undergarments made of suitable fabrics and the

heat was transferred through the Nomex to the skin. Undergarments made of all-natural fibers can reduce the amount of heat transferred to the skin.<sup>86</sup>

EMS crews are exposed to sharp metals, broken glass and other hazards at on-site locations. Hazards from punctures, moving equipment, falling objects and slips are present at nearly every site. In the 1988 study, NTSB said that use of helmets, flame-resistant uniforms and protective footwear could reduce or could prevent serious injuries and deaths of EMS pilots and medical personnel in survivable accidents.<sup>87</sup>

Requirements for personal protective clothing vary throughout the industry. In the 1999 NEMSPA survey, 70 percent of EMS pilots said that they wore Nomex flight suits, and 60 percent said that they wore flight helmets and high-top leather boots. Forty-two percent of EMS pilots said that helmets, Nomex flight suits, leather boots and gloves should be mandatory safety equipment; 12 percent said that helmets and Nomex flight suits should be satisfactory; and 20 percent said that no safety protective clothing should be mandatory.<sup>88</sup>

In field observations for the 2001 study of more than 400 EMS flight crews at work, 72 percent to 77 percent of the pilots were observed wearing Nomex flight suits, and 70 percent to 85 percent were observed wearing flight gloves. All EMS medical crewmembers were observed wearing loose-fitting, long-sleeved flight suits with unit identifications, and 7 percent to 27 percent of EMS medical crewmembers were observed wearing gloves.

One aspect of EMS operations is the risk to flight safety posed by combative patients or potentially combative patients, including those with head injuries, patients under the influence of alcohol and/or drugs, patients with psychiatric disturbances, patients with a potential for seizure activity, patients with a potential for brain-tissue hypoxia, and patients who are prisoners.<sup>89</sup>

NFNA said that safe transport would be improved if a flight nurse was responsible for evaluating each patient for potential combativeness prior to transport. NFNA also said that patients with a potential for combativeness should have full-extremity restraints applied before being loaded on board an aircraft and that each program should have a formal policy on searches by law enforcement personnel for weapons on prisoners.<sup>90</sup>

#### **Typical EMS Helicopters Have Three-member Crews**

The typical EMS helicopter crew has a single pilot and two medical care professionals: a critical-care flight nurse and a paramedic. In most EMS programs, the flight nurse and paramedic are permanently assigned to the EMS helicopter unit. Some EMS flights are conducted with a physician, but this is less common. In some instances, medical care specialists from hospital neonatal units, respiratory units or burn units become the emergency medical care providers on flights. These specialists work with the helicopter operation in an adjunct status. In one study, briefings were instituted for all crewmembers to increase their knowledge of aircraft safety and emergency procedures. During a three-year period, variations of the briefings were used and their effectiveness was evaluated. The program did not increase the proficiency of nonpermanent crewmembers, and the study said that nonpermanent crewmembers may require extra attention and be less prepared to respond, particularly in an aircraft emergency.<sup>91</sup>

In contrast, flight crewmembers with previous safety training demonstrated increased confidence in describing and performing safety procedures in emergencies.<sup>92</sup>

#### Assessments of EMS Effectiveness Vary

Studies of the effectiveness of EMS helicopter operations have produced varying results, but estimates are that patients who receive medical treatment within 10 minutes of an accident have about an 80 percent chance of survival.<sup>93</sup>

The demanding conditions of EMS operations present pilots with an array of challenges and risks. Foremost among them are the frequent flights to makeshift landing areas, operations in marginal weather and often at night, and a workload that is complicated by time pressure and sensitivity to the medical needs of their passengers.◆

Terry Brown, M.D, M.P.H., and Matthew McNamara, first officer, Canadair Regional Jet CL-65, contributed to the research and preparation of this report.

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ASRS acknowledges that its data have certain limitations. ASRS Directline (December 1998) said, "Reporters to ASRS may introduce biases that result from a greater tendency to report serious events than minor ones; from organizational and geographic influences; and from many other factors. All of these potential influences reduce the confidence that can be attached to statistical findings based on ASRS data. However, the proportions of consistently reported incidents to ASRS, such as altitude deviations, have been remarkably stable over many years. Therefore, users of ASRS may presume that incident reports drawn from a time interval of several or more years will reflect patterns that are broadly representative of the total universe of aviation-safety incidents of that type."

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#### About the Author

Patrick R. Veillette, Ph.D., a professional pilot with more than 13,000 flight hours, is a Boeing 727 first officer for a U.S. air carrier. He formerly flew emergency medical services fixed-wing operations, aerial fire fighting operations and charter-aircraft flight operations; investigated failure modes, weaknesses and performance capabilities of aircraft involved in accidents and was an accident investigator for the U.S. Department of Agriculture. Veillette received a bachelor's degree in aeronautical engineering from the U.S. Air Force Academy and a doctorate in civil engineering from the University of Utah and studied accident investigation on the undergraduate level and the graduate level. He has conducted numerous research projects on flight deck automation and human error in high-risk environments. Veillette has an air transport pilot certificate and is a former U.S. Federal Aviation Administration designated pilot examiner.

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Date	Location	Helicopter Type	Helicopter Damage	Injuries
Jan. 8, 1987	Pollockville, North Carolina	Bell 206L-1	destroyed	4 fatal
hat he was going and was going do	teorological conditions (VMC), the conduct an emergency landi wn. Radar contact and radio cor evealed a high-velocity impact w	ng. The flight nurse said on the heat t	n the hospital radio frequer licopter struck terrain in a n	ncy that the helicopter was on fin ose-down right bank and burne
<sup>-</sup> eb. 6, 1987	Sioux Falls, South Dakota	Agusta A109	none	2 uninjured
	ght in night VMC, excessive oil t and returned the helicopter to th			-engine helicopter. The pilot sh
April 27, 1987	Pittsburgh, Pennsylvania	Bolkow BO 105S	none	1 uninjured
	er was being repositioned in nigh nce technicians found one meta			
May 15, 1987	Phoenix, Arizona	MBB BK 117A-3	minor	3 uninjured
	ut down the helicopter engine in opter's main rotors to strike the		pter flew overhead. The oth	er helicopter's downwash cause
May 30, 1987	Austin, Texas	Bell 206L-3	none	4 uninjured
The helicopter's e he fuel control ha	ngine temperature exceeded lim d malfunctioned.	hits, and the pilot conducte	ed a successful autorotatior	n in day VMC. The report said th
June 5, 1987	Choteau, Montana	Bell 206L-1	destroyed	4 fatal
hat, while being f	uck terrain while being flown to lown at treetop level up a 7,000 igh gross weight, at a high dens	D-foot mountain slope, the	e helicopter suddenly yawe	
lune 7, 1987	Bay City, Michigan	MBB BO 105 CBS	destroyed	2 fatal, 1 minor
	ed a steep downwind turn at lo stigation showed that the righ			
July 8, 1987	Cupertino, California	MBB BK 117A-3	substantial	3 uninjured
seen the wires an	uck wires on final approach in ni d had not realized that there had nis descent for landing and said cle.	d been a collision until gro	und personnel told him. The	e pilot had made numerous orbi
July 22, 1987	Louisville, Colorado	Aerospatiale SA 315	minor	1 uninjured
The pilot was land	ding the helicopter in night VMC shut down, the rotor struck a ro	at the scene of an accid	lent and trying to avoid cor	,
Sept. 1, 1987	Charleston, West Virginia	MBB BK 117A-3	none	5 uninjured
	nt in night VMC, the no. 2 engir landing. An inspection found tha			power light illuminated. The pil
Dec. 10, 1987	Little Rock, Arkansas	Bell 206L-3	destroyed	2 minor
pressure was low, from outside the a	s consumed by fire while parked and two respiratory therapists ircraft. The attendants indicated on. One therapist remembered t	efilled the system, which that, after the connection	is serviced through a rear b was made and the valve wa	baggage compartment accessib
Dec. 24, 1987	St. Louis, Missouri	Bell 206L	none	3 uninjured
	ay instrument meteorological co ger, but the windscreen remaine			d fogging. The pilot activated th
April 1, 1988	Springfield, Missouri	Bell 206L-1	substantial	3 serious
periods of IMC. W	a weather briefing before the hile en route to a motor vehicle a tion and failed to maintain airci	accident, the pilot flew into	o fog and turned on the nigh	t-scanner light, then experience

Date	Location	Helicopter Type	Helicopter Damage	Injuries
April 2, 1988	Silver Plume, Colorado	Aerospatiale SA 316B	substantial	4 uninjured
				ng unit failed because of fatigue. anding, the nose landing gear and
April 9, 1988	Sioux Falls, South Dakota	Agusta A109A	substantial	3 uninjured
landing wheel. The	yaw continued, and the left land	ding gear collapsed. An inve	estigation showed that th	e helicopter touched down on one e no. 3 hangar bearing had failed ings showed evidence of lack of
April 17, 1988	Cajon, California	Aerospatiale AS 355F	destroyed	2 fatal, 1 serious
then struck a retai		veral trees and plunged into		ters) above a road. The helicopter er-deep) ravine. The only survivor
May 12, 1988	Reidsville, North Carolina	Aerospatiale AS 355	substantial	4 uninjured
tail-rotor drive sha separation of a sp	aft then separated at the tail-roto ar-reinforcement pad had been	or gearbox. The fatigue fail noted during an overhaul i	ure had developed over nspection. The operator	the composite-material spar. The about 500 flight hours. Bonding said in maintenance records that s, the tail rotor was left in service.
May 14, 1988	Montegut, Louisiana	MBB BO 105C	minor	3 uninjured
The pilot was una	ware that the helicopter had strue	ck a telephone wire during	takeoff in day VMC. One	rotor blade was gouged.
June 18, 1988	Saint Joseph, Michigan	Aerospatiale SA 365N	minor	3 uninjured
The helicopter stru	uck a light pole during a night VN	IC landing in a parking lot.		
Dec. 18, 1988	Linwood, Kansas	Bell 206L-1	substantial	4 minor
inspection. The he				I and descended to make a visual orotation was attempted, and the
Dec. 22, 1988	Cape Girardeau, Missouri	Bell 206L-1	destroyed	3 fatal, 1 serious
IMC, the pilot navi	gated to the airport on the instrun He said that, while flying inbound	nent landing system (ILS) c	ourse to determine whet	at the destination hospital. In night her the airport lighting would help go. The helicopter struck a power
Jan. 8, 1989	Park City, Utah	Bell 206B III	substantial	1 uninjured
snow. The pilot add performed a hover	led collective pitch and attempted	to hover. As the helicopter le wn, the tail boom entered de	eft the ground, an uncom eep snow, and the aircraf	copter settled backward into loose manded right spin began. The pilot t came to rest nose-high. The pilot I deceleration into the snow.
Feb. 13, 1989	Tyler, Texas	MBB BK 117A-1	destroyed	3 fatal
visibility of 0.25 m U.S. Federal Aviati	le to one mile (402 meters to 1,6 on Administration flight service s	ension power lines during a 10 meters) and rain, fog an tation and knew of the cond	a night flight. Weather wa d thunderstorms. The pil itions. The pilot did not co	s IMC, with low-overcast ceilings, ot obtained three briefings from a omply with hospital procedures for ent training during his one-month
March 26, 1989	Bear Valley, California	Aerospatiale AS 355F	substantial	3 uninjured
to the left. The pile engines and cond	ot applied right pedal and lowere ucted an autorotation. Before land	ed the nose to begin a go-a ding, loose snow was blown	around, but the yaw inte up, and whiteout conditi	/MC, the helicopter began to yaw nsified. The pilot shut down both ons occurred. During touchdown, outh-southwest at five knots to 10
April 9, 1989	Houston, Texas	MBB BK 117A-4	destroyed	3 serious
observed people	near the landing zone and advis	ed the dispatcher. During	a vertical takeoff, the he	pared to take off in night IMC, he elicopter encountered turbulence. Ar began an uncontrolled spin and

Date	Location	Helicopter Type	Helicopter Damage	Injuries
June 1, 1989	Big Timber, Montana	Bell 206L III	destroyed	4 fatal
he was being disp terrain at high sp	atched. He was told about terra eed in a slight nose-low, right	in conditions. The helicop bank. The pilot recently	ter lifted off quickly and wa was hired; his previous jo	r. Nine minutes later, he said that as flown across a hill, then struck bb involved day VFR flights of a d was found of area familiarization
July 1, 1989	Des Moines, Iowa	Bell 222U	none	5 uninjured
En route in night V contact with the co		lic system failed. The pilo	t diverted to Des Moines. A	hydraulic line had chafed through
July 6, 1989	Chiefland, Florida	Bell 206L-1	substantial	3 uninjured
engine section. The		on into a field. An inspec	tion of the turbine section	when a noise was heard from the revealed that it was "locked up."
July 6, 1989	Lubbock, Texas	Aerospatiale AS 355F1	minor	3 uninjured
The helicopter pill forced landing.	ot was responding to an emerge	ency in day VMC when th	ne helicopter struck four po	ower lines. The pilot conducted a
July 24, 1989	Seattle, Washington	Agusta A109	minor	3 uninjured
The helicopter set tail rotor.	tled back onto the heliport becau	ise of low-rotor rpm and st	ruck a fence in day VMC. A	n inspection found damage to the
Aug. 27, 1989	Blanchard, Idaho	Aerospatiale AS 350D	destroyed	4 fatal
problem with the p contact was lost.	patient and requested police assi	istance when they arrived mile (1.6-kilometer) area,	at the hospital. The pilot transition with evidence of an in-flight	nshot wound. The pilot reported a ansmitted an expletive, and radar at breakup and main-rotor contact led to the in-flight breakup.
Sept. 9, 1989 V	Vhite Plains, Maryland	Bell 206B III	substantial	1 serious, 3 minor, 2 uninjured
but climbed no hig	gher than wires until the helicop climbed over the wires and desc	ter was about 20 feet (six	meters) from the wires. T	the helicopter west across a field hen the helicopter nosed up to a ng his climb during a night takeoff
Nov. 2, 1989	St. Paul, Minnesota	Bell 206L III	substantial	3 uninjured
12 minutes of fuel Abeam the destination	remaining. Because the flight to	the home base would tal	ke about six minutes, he de	estimated that the helicopter had ecided to return without refueling. tion at about 50 feet AGL, and the
March 6, 1990	Benton, Alabama	MBB BO 105S	substantial	4 uninjured
on the same route struck a wire above	e on which he had arrived. Abou	t 538 feet (164 meters) ea and struck the main-rotor	ast of the takeoff site and a	eparted in night VMC, flying back about 40 feet AGL, the helicopter he helicopter straight ahead. The
March 23, 1990	Jackson, Mississippi	Bell 206L-3	minor	3 uninjured
During takeoff from	m an accident site in day VMC, th	he helicopter struck a wire	e. The helicopter then was	landed safely.
Nov. 2, 1990	Knoxville, Tennessee	Bell 412	substantial	4 uninjured
landing zone for o along the departu	bstructions, and ground personr	nel inspected the area. No rtly after takeoff, the helic	obstructions were observe	archlight was used to inspect the ed. After landing, the pilot walked ng across the highway. The wires
Nov. 10, 1990	Denver, Colorado	Aerospatiale SA 316	minor	3 uninjured
,	edal jammed when a snap ring			t yawed right, and the right pedal
Dec. 9, 1990	Cape Girardeau, Missouri	Bell 206L-1	minor	3 uninjured
	•			e cowling had separated from the

Date	Location	Helicopter Type	Helicopter Damage	Injuries
lan. 26, 1991	Sonestown, Pennsylvania	MBB BK 117B-1	destroyed	4 fatal
	ng a direct course in night IMC fr f a hill. A witness said that there		er hospital. The helicopter s	truck terrain 80 feet (24 meters
- eb. 10, 1991	Valdez, Alaska	MBB BO 105 CBS	substantial	1 minor, 3 uninjured
	after takeoff, one engine lost po umps before takeoff, as required			e water. The pilot had not turne
May 30, 1991	Draper, Utah	Bell 222U	minor	3 uninjured
	as landed on a road in night VMC damaged the tail rotor.	2. Emergency vehicles pro	vided landing lights for the	rescue operation. The helicopte
June 8, 1991	Houston, Texas	MBB BK 117A-4	none	3 uninjured
After an engine b	earing failed in flight in day VMC	C, the helicopter was lande	ed in a field.	
Oct. 28, 1991	Billings, Montana	Aerospatiale AS 355F	1 substantial	3 uninjured
engine cowl doo	flight was curtailed, the flight or r had separated, and the main- em. The manufacturer had issu	rotor blades were damag	ed. The crew previously ha	ad told company personnel of
Nov. 27, 1991	Bridgeport, California	Aerospatiale SA 316B	destroyed	4 fatal
the helicopter's p clockwise, then th	night VMC, the pilot interrupted a osition. He did not explain the na ne helicopter veered to the west, letermined reasons.	ture of the emergency. W	tnesses said that the helico	opter's fuselage rotated counter
Dec. 9, 1991	DeRuyter, New York	MBB BO 105 CBS	substantial	3 fatal
turn of 60 degree	as cruising at 2,700 feet (700 fee es. The helicopter struck terrain in th overcast clouds. Interviews an avigation.	n a skids-level, nose-dowr	n pitch attitude. The accider	nt occurred in night IMC over a
Dec. 29, 1991	Bedford, Michigan	Aerospatiale AS 365	minor	4 uninjured
	as being landed in day, VMC at the rotor system and tail-rotor shro			the rotor blades caused a plasti
March 4, 1992	Fort Grant, Arizona	Aerospatiale AS 350	destroyed	2 fatal, 1 serious
was terminated, a	ay VMC, ATC told the pilot that th and the crew continued the flight. pilot said that the helicopter was	The surviving crewmemb		
March 4, 1992	Arlington, Texas	Bell 222U	minor	4 uninjured
	stem-failure light illuminated duri e landing, the helicopter struck a			nary low-speed run-on landing i
May 29, 1992	Winnsboro, South Carolina	Aerospatiale AS 350	substantial	3 serious
	t, while en route to a roadside er duct a 180-degree turn to fly out			
June 7, 1992	Mariposa, California	Bell 222	destroyed	1 minor
engine temperati	ht had been terminated because ure increased quickly, and the pi on of the right engine revealed ext	lot shut down the right er	igine. The helicopter desce	nded, struck a tree, then struc
June 15, 1992	Fort Bragg, North Carolina	Aerospatiale AS 355F	substantial	4 uninjured
revealed that the engine-start fuel	ed the engine-fire light and smol oil-supply line for the bearing w valve, located above the engine e vibration. Inspection showed t	as blocked with carbon ar starter/generator, began l	nd that the rear bearing in t eaking fuel because of a lo	g at a nearby airport. Inspection the no. 3 module had failed. The ss of torque on connecting bolt

Date	Location	Helicopter Type	Helicopter Damage	Injuries
June 20, 1992	Middletown, Connecticut	MBB BK 117	destroyed	1 fatal, 3 serious
said that the nigl the landing area	ht was dark and fog was forming	g. The pilot had not made a s emergency vehicles with	reconnaissance flight and h red and blue flashing lights	uth of the landing area. Witnesses had not said that he had identified . Neither the pilot nor the hospita
June 28, 1992	Scipio, Utah	Bell 222UT	substantial	3 uninjured
degrees, activati Later, the pilot of	ing the emergency locator trans	smitter (ELT). The pilot felt s and a zero reading on the	feedback through the cont gauge. A post-flight inspec	ose pitched up rapidly to about 20 rols and landed to reset the ELT tion showed that the transmissior
Sept. 2, 1992	Bayfield, Colorado	Bell 206L-3	substantial	3 serious
	e helicopter descended rapidly			eet, loss of tail-rotor effectiveness a performance-limited portion o
Sept. 19, 1992	Phoenix, Arizona	MBB BK 117B-1	minor	3 uninjured
The aircraft bega landing resulted.		g in day VMC. The pilot no	pticed the $N_2$ (engine high-p	pressure rotor) unwinding. A hard
Dec. 11, 1992	Aguila, Arizona	MBB BK 117B-1	minor	1 uninjured
In day VMC, a m the ambulance.	edical ambulance was driven u	nder the helicopter to unloa	ad a patient. The helicopter	rotor blade struck the antenna or
Jan. 31, 1993	Chino, California	Bell 412	minor	5 uninjured
	night VMC, the helicopter struck to another helicopter.	an electrical power line. T	he pilot conducted a preca	utionary landing, and the patient
May 27, 1993	Cameron, Missouri	Aerospatiale AS 350E	destroyed	2 fatal, 2 serious
helicopter struck		d that the wind was strong a	and gusty from the south. Th	a clattering and a horn alarm. The ne engine had lost power because
June 6, 1993	Saint Mary's, Pennsylvania	Aerospatiale SA 365	minor	4 uninjured
The helicopter b		e left after engine start, th		night VMC. The pilot returned the
June 20, 1993	West Monroe, Louisiana	Bell 206L-3	substantial	3 minor
a patient who ha		dent. The weather was rep		nterstate highway after picking up , with four miles (6.5 kilometers
Nov. 19, 1993	Portland, Maine	Bell 206L-1	destroyed	3 fatal, 1 serious
The pilot departe pounds (91 kilog pilot encountere ditched the helio	ed on a night flight with 310 pou rams to 100 kilograms) per hour d IMC and a 40-knot to 60-kno	nds (141 kilograms) of fuel and that the 97-nautical m t head wind. As the pilot v niles (11 kilometers) east	. He said that fuel consumpt ile (180-kilometer) flight nor vas being vectored to the a	ion was about 200 pounds to 22 mally took less than one hour. The irport, the engine lost power. He ny operations manual says, "The
Feb. 1, 1994	Caro, Michigan	MBB BO 105S	minor	2 uninjured
The hospital rec site. Before toucl site while asses	ently had designated part of a c hdown in night IMC, the pilot was sing the alternate site. The roto	ircular driveway area for he s told to land elsewhere. He rs blew snow, which partia	elicopter landings; this flight e established a hover about ally obscured the pilot's view	was to be the first to use the new 10 feet AGL at the original landin w, and a paramedic crewmembe ck a light assembly, damaging the
March 4, 1994	Indianapolis, Indiana	MBB BK 117A-3	minor	1 uninjured

Date	Location	Helicopter Type	Helicopter Damage	Injuries
April 22, 1994	Bluefield, Virginia	Bell 412	destroyed	4 fatal
did not intercep	old to maintain 7,000 feet until es t the localizer and that the last re nt site was on a mountain 7.5 mil by fog.	corded position was about	five miles (eight kilometers)	southwest of the airport at 4,10
lune 23, 1994	Amarillo, Texas	Aerospatiale AS 350B	minor	4 uninjured
The helicopter s he main rotors.	struck a wire during takeoff from a	a highway in day VMC. The p	ilot landed the helicopter in	nmediately. Damage was found o
luly 9, 1994	Granite, Colorado	Aerospatiale AS 350B	destroyed	2 fatal, 3 minor
2,200 feet with on the mountain	was dispatched in day VMC to p a 35-degree slope. Ground reso slope to allow them to load the p d the helicopter tumbled 800 fee	cue personnel said that the patient on the downhill side.	pilot told them that he woul As the helicopter hovered a	ld place the helicopter's right ski
ug. 9, 1994	Stateline, Nevada	Aerospatiale SA 316B	substantial	3 minor
7,400 feet), low	was en route in dark-night VMC t vered the collective and descend anded hard. Density altitude was	ed vertically. A rapid rate of		
Aug. 19, 1994	Albert Lea, Minnesota	Bell 230	substantial	4 uninjured
o maintain aligi ne revolutions onduct a runn	, a hangar and an untied airplane nment on a track of 175 degrees. per minute (rpm) dropped, the ing landing to a grassy area ne op of the cabin. Winds were fron	When the pilot adjusted the helicopter made an unusua ext to the intended landing	e collective to slow the rate of al noise and the airframe s site. The helicopter landed	of descent and the rate of closur huddered. The pilot attempted t
lug. 30, 1994 The pilot contin	Fitchburg, Wisconsin ued flight into deteriorating day I	Bell 206L-1 MC conditions, and the heli	minor icopter struck an object.	4 uninjured
lov. 22, 1994	Lincoln, Nebraska	MBB BK 117	none	4 uninjured
fter the chip-d	etector light illuminated in flight i	n day VMC, the pilot landed	the helicopter at the near	est airport.
ec. 1, 1994	Ann Arbor, Michigan	Agusta A109	destroyed	3 fatal
The helicopter I nbound sing lispatcher his in hat neither eng	had been airborne in day VMC fr lle-engine landing, please." He i ntended landing position and, at ine was operating; no mechanica rotor indicated that rpm was low	or two minutes when the pil mmediately canceled the r yout 25 seconds later, said t I reason for the loss of engin	lot requested landing permi request and said, "I'm goin that impact with terrain was	ission, saying, "I'd like to procee g down at this time." He told th a imminent. Investigation reveale
ec. 13, 1994	Topeka, Kansas	Bell 206L-1	none	3 uninjured
he helicopter of anding in a field	experienced rotor problems and d.	hydraulic problems after d	eparture in night VMC. The	e pilot conducted a precautional
ec. 20, 1994	Pittsburgh, Pennsylvania	Aerospatiale AS 355	none	4 uninjured
he pilot heard ecured prior to	a loud bang en route in night VM ) flight.	IC and diverted. The cargo	doors had separated from t	he helicopter. The doors were no
larch 7, 1995	Portland, Oregon	Bell 230	substantial	2 uninjured
he tail area and erimeter fence	hat, while landing in day VMC at t I severe vibrations. He rolled both a. The pilot said that the fence h gap, resulting in contact betwee	throttles off and completed ad an approximate four-inc	a landing from a low hover. h (10-centimeter) gap at m	The tail rotor had struck a helipo
pril 10, 1995	Glastonbury, Connecticut	MBB MK 117A-1	none	4 uninjured
uel bladder fro approach, the fl	ile practicing confined-area land m an unseen object. Everyone o ight nurse reported fuel on the b ndshield. The pilot landed the he	on board smelled fuel. The ack window and smoke trail	pilot began a shallow appr ling the helicopter. During th	oach to an open field. During th

	Location	Helicopter Type	Helicopter Damage	Injuries
May 31, 1995	Lost Hills, California	Eurocopter AS 355F1	substantial	3 uninjured
a patient. Fire dep the approach from instruments to co	partment personnel had illumin n 300 feet. He said that he be	ated a landing site with two f came spatially disoriented a nd low airspeed. The helicop	ire trucks. The pilot said that and lost visual reference witter pitched nose-down, but	ground-reference lights to pick up the flew over the area and began th the ground while looking at his the pilot stabilized the helicopte rain.
June 21, 1995	Des Moines, Iowa	Bell 222UT	substantial	3 uninjured
engine-out indicat pilot said that the	tor light illuminated. The pilot r	educed the throttle to flight ght illuminated, and he disc	idle and turned the helicop charged the fire-extinguish	It they heard a pop, and the no. ter toward the airport to land. The er bottle. The pilot conducted an
July 12, 1995	Fordland, Missouri	Bell 206L-1	none	3 uninjured
During an emerge an off-field landing		erry flight in day VMC, the be	ndix shaft failed, causing a l	oss of torque. The pilot conducted
Aug. 7, 1995	Montgomery, Alabama	Bell UH-1H	substantial	6 uninjured
The pilot was con	ducting an approach in day VI	MC when the main-rotor black	des contacted trees.	
Aug. 26, 1995	Pittsford, New York	Bell 206L-1	none	3 uninjured
The chip-detector by ground ambula		ght in day VMC, and the pilo	t diverted to the nearest air	port. The patient was transporte
Aug. 27, 1995	Oklahoma City, Oklahoma	Bell 206L-1	substantial	4 uninjured
and applied forwa (27 meters). The o	rd cyclic in an attempt to regain company reported a low-side of	n rpm and airspeed. The heli governor failure as the caus	copter touched down in an i e of the power loss.	r over the trees, lowered the pitc uneven field and skidded 30 yard
$C_{00} + 11 + 100E$	Window Weekington			
	Winslow, Washington	Agusta A109A II	destroyed	3 fatal
Witnesses said th struck the water a	nat the helicopter was flying lo and sank. Some witnesses sa	ow over the ground, and ove	er water in night IMC towar	d a nearby island. The helicopte
	nat the helicopter was flying lo and sank. Some witnesses sa	ow over the ground, and ove	er water in night IMC towar	d a nearby island. The helicopte
Witnesses said the struck the water a sound from the er Sept. 14, 1995 The pilot said that severe vibrations, and smelled smol meters) to a stop, revealed that the c ower housing rev	hat the helicopter was flying lo and sank. Some witnesses sa ngines. Houston, Texas t, during cruise flight at 800 fee After determining that a dual e ke. The pilot began an autorot When the nurse opened the Irive shaft for the left engine had	MBB BO 105C MBB BO 105C et in day VMC, he heard an u ingine failure had occurred, t tation to a shopping mall pa door, smoke billowed into th d separated from the transmis	destroyed destroyed nusual whine from the engine he pilot observed the no. 1 of rking lot. The helicopter to ne cabin from the tunnel ar ssion-input-shaft flange. Exa	d a nearby island. The helicoptent, but others reported a popping
Witnesses said th struck the water a sound from the er Sept. 14, 1995 The pilot said that severe vibrations, and smelled smol meters) to a stop, revealed that the c lower housing rev	hat the helicopter was flying lo and sank. Some witnesses sa ngines. Houston, Texas t, during cruise flight at 800 fee After determining that a dual e ke. The pilot began an autorot When the nurse opened the trive shaft for the left engine had realed debris partially blocking	MBB BO 105C MBB BO 105C et in day VMC, he heard an u ingine failure had occurred, t tation to a shopping mall pa door, smoke billowed into th d separated from the transmis	destroyed destroyed nusual whine from the engine he pilot observed the no. 1 of rking lot. The helicopter to ne cabin from the tunnel ar ssion-input-shaft flange. Exa	d a nearby island. The helicoptent, but others reported a poppin 3 uninjured ines and then a loud snap and fe engine-fire warning light illuminat uched down and slid 200 feet (6 ea. Examination of the helicoptent mination of the main-transmission
Witnesses said the struck the water a sound from the er Sept. 14, 1995 The pilot said that severe vibrations. and smelled smol meters) to a stop revealed that the c lower housing rev damage and forei Sept. 20, 1995 At the time of dej accident scene 25 decided to termina	hat the helicopter was flying lo and sank. Some witnesses sa ngines. Houston, Texas t, during cruise flight at 800 fee After determining that a dual e ke. The pilot began an autorot When the nurse opened the Irive shaft for the left engine had realed debris partially blocking gn object damage. Ware, Illinois parture, the ceiling was 1,900 5 miles (40 kilometers) away. T ate the flight. He initiated a left	MBB BO 105C MBB BO 105C et in day VMC, he heard an un ingine failure had occurred, t tation to a shopping mall pa door, smoke billowed into th d separated from the transmis the oil channel for the left s Bell 206L the pilot said that, after the n turn and encountered IMC.	er water in night IMC towar d normal before the acciden destroyed nusual whine from the engi he pilot observed the no. 1 e rking lot. The helicopter tou the cabin from the tunnel ar soin-input-shaft flange. Exa side input pinion. Both engi substantial miles (6.4 kilometers). The ight takeoff, the helicopter The pilot said that he tried to	d a nearby island. The helicoptent, but others reported a popping 3 uninjured ines and then a loud snap and fe engine-fire warning light illuminat uched down and slid 200 feet (6 ea. Examination of the helicoptent mination of the main-transmission nes experienced internal thermat
Witnesses said the struck the water a sound from the er Sept. 14, 1995 The pilot said that severe vibrations. and smelled smol meters) to a stop revealed that the c lower housing rev damage and forei Sept. 20, 1995 At the time of dej accident scene 25 decided to termina he was unable to	hat the helicopter was flying lo and sank. Some witnesses sa ngines. Houston, Texas t, during cruise flight at 800 fee After determining that a dual e ke. The pilot began an autorot When the nurse opened the Irive shaft for the left engine had realed debris partially blocking gn object damage. Ware, Illinois parture, the ceiling was 1,900 5 miles (40 kilometers) away. T ate the flight. He initiated a left	MBB BO 105C MBB BO 105C et in day VMC, he heard an un ingine failure had occurred, t tation to a shopping mall pa door, smoke billowed into th d separated from the transmis the oil channel for the left s Bell 206L the pilot said that, after the n turn and encountered IMC.	er water in night IMC towar d normal before the acciden destroyed nusual whine from the engi he pilot observed the no. 1 e rking lot. The helicopter tou the cabin from the tunnel ar soin-input-shaft flange. Exa side input pinion. Both engi substantial miles (6.4 kilometers). The ight takeoff, the helicopter The pilot said that he tried to	d a nearby island. The helicoptent, but others reported a poppin 3 uninjured ines and then a loud snap and fe engine-fire warning light illuminat uched down and slid 200 feet (6 ea. Examination of the helicopte umination of the main-transmissio nes experienced internal thermat 2 minor, 1 uninjured e destination was an automobile flew through small clouds, and h o transition to instruments but that
Witnesses said the struck the water a sound from the er Sept. 14, 1995 The pilot said that severe vibrations. and smelled smol meters) to a stop revealed that the c lower housing rev damage and forei Sept. 20, 1995 At the time of dep accident scene 25 decided to termina he was unable to Oct. 29, 1995 The helicopter wa	hat the helicopter was flying lo and sank. Some witnesses sa ingines. Houston, Texas t, during cruise flight at 800 fee After determining that a dual e ke. The pilot began an autorot When the nurse opened the trive shaft for the left engine had realed debris partially blocking gn object damage. Ware, Illinois parture, the ceiling was 1,900 5 miles (40 kilometers) away. T ate the flight. He initiated a left make the transition before he l Tampa, Florida	MBB BO 105C MBB BO 105C et in day VMC, he heard an uningine failure had occurred, t iation to a shopping mall pa door, smoke billowed into the diseparated from the transmiss the oil channel for the left st Bell 206L Defeet and visibility was four the pilot said that, after the n turn and encountered IMC. Iost control of the helicopter. MBB BO 105A	er water in night IMC towar d normal before the acciden destroyed nusual whine from the engi- he pilot observed the no. 1 e rking lot. The helicopter tou- he cabin from the tunnel ar ssion-input-shaft flange. Exa side input pinion. Both engi- substantial miles (6.4 kilometers). The ight takeoff, the helicopter The pilot said that he tried to The pilot was unable to reg- minor	d a nearby island. The helicoptent, but others reported a poppin 3 uninjured ines and then a loud snap and ferent uched down and slid 200 feet (6 ea. Examination of the helicopter umination of the main-transmission nes experienced internal therma 2 minor, 1 uninjured e destination was an automobile flew through small clouds, and h o transition to instruments but that jain control before ground impace
Witnesses said the struck the water a sound from the er Sept. 14, 1995 The pilot said that severe vibrations. and smelled smol meters) to a stop revealed that the of lower housing rev damage and forei Sept. 20, 1995 At the time of dej accident scene 25 decided to termina he was unable to Oct. 29, 1995 The helicopter was supply-fuel-tank h	hat the helicopter was flying lo and sank. Some witnesses sa ingines. Houston, Texas t, during cruise flight at 800 fee After determining that a dual e ke. The pilot began an autorot When the nurse opened the Irive shaft for the left engine had realed debris partially blocking gn object damage. Ware, Illinois parture, the ceiling was 1,900 5 miles (40 kilometers) away. T ate the flight. He initiated a left make the transition before he l Tampa, Florida ts on approach to a hospital he	MBB BO 105C MBB BO 105C et in day VMC, he heard an uningine failure had occurred, t iation to a shopping mall pa door, smoke billowed into the diseparated from the transmiss the oil channel for the left st Bell 206L Defeet and visibility was four the pilot said that, after the n turn and encountered IMC. Iost control of the helicopter. MBB BO 105A	er water in night IMC towar d normal before the acciden destroyed nusual whine from the engi- he pilot observed the no. 1 e rking lot. The helicopter tou- he cabin from the tunnel ar ssion-input-shaft flange. Exa side input pinion. Both engi- substantial miles (6.4 kilometers). The ight takeoff, the helicopter The pilot said that he tried to The pilot was unable to reg- minor	d a nearby island. The helicoptent, but others reported a poppin 3 uninjured ines and then a loud snap and ferent uched down and slid 200 feet (6 ea. Examination of the helicopter unination of the main-transmission nes experienced internal therma 2 minor, 1 uninjured e destination was an automobile flew through small clouds, and h to transition to instruments but that jain control before ground impact 2 uninjured
Witnesses said the struck the water a sound from the er Sept. 14, 1995 The pilot said that severe vibrations. and smelled smol meters) to a stop. revealed that the c ower housing rev damage and forei Sept. 20, 1995 At the time of dej accident scene 25 decided to termina he was unable to Oct. 29, 1995 The helicopter was supply-fuel-tank h	hat the helicopter was flying Ic and sank. Some witnesses sa ingines. Houston, Texas t, during cruise flight at 800 fee After determining that a dual e ke. The pilot began an autorot When the nurse opened the live shaft for the left engine had realed debris partially blocking gn object damage. Ware, Illinois parture, the ceiling was 1,900 5 miles (40 kilometers) away. T ate the flight. He initiated a left make the transition before he l Tampa, Florida as on approach to a hospital he tose clamp had failed. Carlsbad, Texas	MBB BO 105C MBB BO 105C et in day VMC, he heard an uningine failure had occurred, t tation to a shopping mall pa door, smoke billowed into the d separated from the transmis the oil channel for the left st Bell 206L 9 feet and visibility was four the pilot said that, after the n turn and encountered IMC. NBB BO 105A elipad in night VMC when the Aerospatiale AS 365	er water in night IMC towar d normal before the acciden destroyed nusual whine from the engi he pilot observed the no. 1 e rking lot. The helicopter tou he cabin from the tunnel ar ssion-input-shaft flange. Exa side input pinion. Both engi substantial miles (6.4 kilometers). The ight takeoff, the helicopter The pilot said that he tried to The pilot was unable to reg minor e pilot smelled fuel. A post-	d a nearby island. The helicoptent, but others reported a poppin 3 uninjured ines and then a loud snap and ferengine-fire warning light illumination do the helicopter uched down and slid 200 feet (6 ea. Examination of the helicopter umination of the main-transmission nes experienced internal thermation 2 minor, 1 uninjured e destination was an automobile flew through small clouds, and hor pain control before ground impaction 2 uninjured flight investigation revealed that 3 uninjured
Witnesses said the struck the water a sound from the er Sept. 14, 1995 The pilot said that severe vibrations. and smelled smol meters) to a stop revealed that the c lower housing rev damage and forei Sept. 20, 1995 At the time of dej accident scene 25 decided to termina he was unable to Oct. 29, 1995 The helicopter was supply-fuel-tank h	hat the helicopter was flying Ic and sank. Some witnesses sa ingines. Houston, Texas t, during cruise flight at 800 fee After determining that a dual e ke. The pilot began an autorot When the nurse opened the live shaft for the left engine had realed debris partially blocking gn object damage. Ware, Illinois parture, the ceiling was 1,900 5 miles (40 kilometers) away. T ate the flight. He initiated a left make the transition before he l Tampa, Florida as on approach to a hospital he tose clamp had failed. Carlsbad, Texas	MBB BO 105C MBB BO 105C et in day VMC, he heard an uningine failure had occurred, t tation to a shopping mall pa door, smoke billowed into the d separated from the transmis the oil channel for the left st Bell 206L 9 feet and visibility was four the pilot said that, after the n turn and encountered IMC. NBB BO 105A elipad in night VMC when the Aerospatiale AS 365	er water in night IMC towar d normal before the acciden destroyed nusual whine from the engi he pilot observed the no. 1 e rking lot. The helicopter tou he cabin from the tunnel ar ssion-input-shaft flange. Exa side input pinion. Both engi substantial miles (6.4 kilometers). The ight takeoff, the helicopter The pilot said that he tried to The pilot was unable to reg minor e pilot smelled fuel. A post-	d a nearby island. The helicoptent, but others reported a poppin 3 uninjured ines and then a loud snap and ferengine-fire warning light illuminat uched down and slid 200 feet (6 ea. Examination of the helicopter umination of the main-transmission nes experienced internal thermat 2 minor, 1 uninjured e destination was an automobile flew through small clouds, and h o transition to instruments but that yain control before ground impac 2 uninjured flight investigation revealed that

Date	Location	Helicopter Type	Helicopter Damage	Injuries
Feb. 19, 1996	Surprise, Arizona	MBB BO 105C	minor	3 uninjured
	empted to land in night VMC to p ad that there were no wires.	vick up an accident victim	, the helicopter tail rotor str	uck a wire. A police officer on the
March 25, 1996	Springtown, Texas	Bell 222U	minor	3 uninjured
-	mpting to land the helicopter on	a highway in day VMC w	hen the main rotors struck a	•
May 14, 1996	Oklahoma City, Oklahoma	Bell 206L-1	none	1 uninjured
	•	conduct two precaution	ary landings in day VMC. N	lo foreign material was found o
June 12, 1996	Pittsburgh, Pennsylvania	MBB BK 117B-1	minor	3 uninjured
neared the landing		heels of the skids touch fi		oach angle, and as the helicopte ot found damage to the tail stinge
lune 13, 1996	Madison, South Dakota	Bell 206L-1	none	3 uninjured
	ed to service. On the next flight, in			ht check was conducted and the d. The pilot made a precautionar
June 21, 1996	Cleveland, Ohio	Sikorsky S-76	none	3 uninjured
The no. 2 engine fa drive seal was lea	ailed during flight in day VMC. Th king.	e pilot diverted the helico	pter to the nearest airport a	nd landed. The starter generator
luly 28, 1996	Oceanside, California	Bell 222U	minor	3 uninjured
n day VMC, the m	nain-rotor blades struck the uppe	er deflector of the helicop	ter's wire-strike protection s	system.
Dct. 20, 1996	Rockwall, Texas	Bell 222U	minor	4 uninjured
An unauthorized v	whicle struck the tail stinger at a	n on-scene operation wh	ile the helicopter was being	prepared for takeoff in day VMC
Nov. 7, 1996	Jersey Shore, Pennsylvania	Aerospatiale SA 365	minor	3 uninjured
	ay VMC to an approved landing a rotor blade and the upper fen			gine cowling separated from th a worn latch.
Nov. 13, 1996	Rock Rapids, Iowa	Bell 222U	minor	5 uninjured
	t in night VMC at 2,500 feet, the I was made into a farm field.	eft engine failed. The righ	t engine would not produce	sufficient power to continue fligh
Nov. 22, 1996	Tampa, Florida	MBB BO-105-A	minor	3 uninjured
	off in day VMC, the no. 2 engine first-stage turbine wheel had fa		ne engine separated from t	he helicopter. The helicopter wa
Dec. 12, 1996	Penn Yan, New York	MBB BO 105 CBS	destroyed	3 fatal
his report on time a rear of the helicop 1.1 miles (1.8 kilor	and distance to the destination. T ter's center console. Two minute neters) northwest of the departu justy. The area was near rising t	he distance was to be ob s later, the helicopter stru re point. Witnesses said	tained from a global position ick terrain in a secluded wo that no horizon was discern	r was airborne and to stand by for ing system (GPS) receiver on the oded area on rising ground abou able in the night darkness. Wind ad at 1,740 feet, with cloud cove
Feb. 20, 1997	Medina, Ohio	Sikorsky S-76	none	2 uninjured
	ase into the yellow arc. The engin			o flight idle, and the oil pressur cautionary landing was conducte
March 5, 1997	Washington, Pennsylvania	Aerospatiale AS 355	substantial	1 minor
began a takeoff to it began to roll left.	reposition the helicopter to the h The pilot attempted to correct fo	nospital helipad. The helic r a perceived crosswind,	copter was in a three-foot to but the left roll continued. Th	er completing the flight, the pilc four-foot hover in day VMC whe he helicopter struck the ground o and did not meet manufacturer

Date	Location	Helicopter Type	Helicopter Damage	Injuries
March 14, 1997	Lena, Louisiana	MBB BO 105S	destroyed	1 fatal, 1 serious
The medical crewr the pilot say an ex The company ope	nember said that he felt "a shudde pletive and felt the helicopter turn rations manual says that the cros	r, like the shudder as the heli left as he saw sparks overhe s-country VFR minimum cei	copter decelerates through ead and felt Plexiglas hit him ling at night is 1,000 feet an	ot slowed the helicopter to 70 knots effective translational lift." He hear n. The helicopter struck the ground nd minimum visibility is three mile as about two miles (3.2 kilometers)
April 30, 1997	Kane, Pennsylvania	MBB BK 117A-1	substantial	4 uninjured
The helicopter str from the west/sou 50 feet AGL, the r slow the rate of c	uck the ground during landing at thwest. Because of obstacles, the relicopter shuddered with the app escent; instead, the rate of desc	pilot descended vertically to lication of additional power. ent increased, and the pilo	the helipad. As the pilot at The helicopter yawed right t said, "We're settling in, g	winds of three knots to five knot tempted to stop the descent rate a . Additional collective pitch did no uys." At touchdown, all remaining stopped after turning 180 degrees
May 9, 1997	Reno, Nevada	MDD MD-900	minor	3 uninjured
	ed that the adjustable collective			r hovered nose-low. A post-fligh ice bulletin and an airworthines
June 4, 1997	Clay, New York	Bell 206L-1	minor	2 uninjured
The helicopter cu	t a cable-television wire while be	ing flown in day VMC.		
Aug. 19, 1997	Florence, South Carolina	MBB BK 117A-3	minor	1 uninjured
n day VMC, the a	aircraft struck a bird, which shatt	ered the aft greenhouse af	ter penetrating the windsc	reen.
Aug. 26, 1997	Hawley, Minnesota	Bell 222U	minor	2 uninjured
	uck a wire in day VMC while on a -around. The pilot did not know w d.			
Sept. 15, 1997	Salt Lake City, Utah	Bell 206L-3	minor	4 uninjured
In day VMC, while returned the helic	the helicopter was lifting off from opter to the pad.	n a helipad in a right turn, th	e tail rotor struck a parked	ambulance. The pilot immediatel
Dec. 14, 1997	Littleton, Colorado	Bell 407	destroyed	4 fatal
takeoff in night V		ne pilot began a right turn.	The helicopter struck pow	clockwise before landing. After a ver lines. Company landing-zon GL before turning.
Jan. 11, 1998	Sandy, Utah	Bell 222UT	destroyed	4 fatal
departed the hos the pilot to advis landing zone in b	pital, but there were gusty winds a him that hospital weather con	and snow when the helico ditions had deteriorated. A en turned and disappeared	pter arrived at the landing sheriff's deputy said that	s not falling when the helicopte zone. The dispatcher telephone t the helicopter took off from the , a deputy heard "a slight muffle
April 25, 1998	Wellsboro, Pennsylvania	Aerospatiale SA 365	minor	3 uninjured
The belly panel s	eparated from the helicopter dur	ing flight in day VMC. The	panel was never found.	
May 10, 1998	Jackson, Ohio	MBB BK 117A-1	minor	4 uninjured
n night VMC, the	left-side engine cover separated	d from the aircraft and strue	ck the rotor blades.	
May 24, 1998	Springdale, Arkansas	Bell 206L-3	substantial	3 serious
descended into a rotor) and the N <sub>2</sub> ; shafts determined resulted in softer	helicopter lifted off in day VMC parking lot, landed hard and rolle shafts had separated. Coke depo d that coke buildup consistent w hing and failure of the shafts. Th px; that failure resulted in a partia	ed onto its right side. Disase osits were found on the insi ith reduced oil flow led to ne report said that mainte	sembly of the engine revea de diameter of the N <sub>2</sub> shaft friction between the shafts nance personnel failed to	led that both the N, (low-pressur Metallurgical examination of th s. The friction produced heat tha
June 5, 1998	La Gloria, Texas	Eurocopter AS 350BA	destroyed	3 fatal
The helicopter wa about two miles f	as being flown in dark-night IMC	to pick up a traffic-accide helicopter struck trees whi	nt victim. The aircraft was le in a left turn, with a nose	observed on radar at 1,800 feet e-low attitude. A witness said that

Date	Location	Helicopter Type	Helicopter Damage	Injuries
luly 29, 1998	Tranquility, California	Bell 222B	substantial	3 uninjured
anding site before		wn, the helicopter was eng		ilot made three high orbits of the was climbing the helicopter out
Aug. 20, 1998	Spencer, Iowa	Bell 222	destroyed	3 fatal
o 3,000 feet. Whil revealed that the	e in cruise descent, the aircraf	t experienced an in-flight b ads were causing wear on	reak-up. Examination of the	d on a direct course at 2,500 fe swash plate outer-ring assemb vash plate ring. The maintenanc
Aug. 28, 1998	Topeka, Kansas	MBB BK 117	substantial	3 uninjured
bilot said that ther oscillations. The h separated during t	e was a severe bump when the elicopter rotated to the right, d flight, damaging the main-rotor	e helicopter was about two escended and struck terrai blades and resulting in an	miles from the airport, follow n. An investigation showed imbalance and in the loss of	tallation of a new no. 1 engine. The wed by a bang, a yaw and viole that the no. 1 engine cowling has f the tail-rotor gear box. A service porated on the accident helicopte
lov. 29, 1998	Idaho City, Idaho	MDD MD-900	substantial	4 uninjured
				n. Before landing, the pilot aske
he direction of tal of the canyon. At a aw a bright white	keoff. The pilot observed no obs about 150 feet, the pilot rotated	structions except trees and I the helicopter forward and c unmarked transmission lir	then conducted a vertical ta d at approximately 20 knots nes. Because of risks in atte	shlight to check for obstructions akeoff because of the narrownes , the crew heard a loud noise ar mpting to land again at the scen
ec. 13, 1998	San Angelo, Texas	Aerospatiale AS 350B	A substantial	2 uninjured
accident flight in n normal takeoff. Telicopter on its so nommand said th	ight VMC, a newly hired pilot co The helicopter rolled left, and the skids before the helicopter sto	onducted a hydraulic-syste ne main rotors struck the g opped. The new-hire pilot	m-off landing, then the pilot round. The pilot rolled the e said that he saw the hydra	a hydraulics-off maneuver. On the -in-command took the controls for ngine throttles off and righted the ulic light flicker, and the pilot-in owed that the hydraulic-drive-be
eb. 12, 1999	Toledo, Ohio	Aerospatiale AS 355	substantial	3 serious
ilot suggested th vith only a basic rea. Between 300 bout 60 feet AGL	at he climb and execute a very instrument package — the acc 0 feet AGL and 75 feet AGL, the	n-high-frequency omnidired vident pilot rejected that or be helicopter entered IMC. T foot tree. The pilot applied	tional radio (VOR) approac btion. Instead, the pilot initia he pilot declared an emerge aft cyclic, and the helicopte	eing across the ramp. A compar h, but — without an autopilot ar tted a descent to land in an ope ency and continued to descend. A er impacted the tree, continued
eb. 13, 1999	Hockley, Texas	Eurocopter BK 117B-	1 substantial	5 uninjured
ower lines parall leparting helicopt The accident pilot	el to the road. After the patient er. He watched the helicopter l	s were loaded, the pilot of ift up and drift toward the p e observed "trash blowing	the other helicopter conduc power lines, where the main around" and "the sun shi	oach, the accident pilot observe ted a "safety walk-around" for th -rotor blades contacted the wire ning directly into the windscreen t to the road.
pril 4, 1999	Indian Springs, Nevada	MBB BO 105	destroyed	3 fatal
reezing rain that t 200 feet. Visibi	urned to wet snow and freezing	g sleet. The helicopter was ) feet when witnesses hea	seen using its spotlight to fol rd the sound of the impact.	e weather had deteriorated, wi llow the highway at about 150 fe The aircraft was not certified f
pril 11, 1999	Sarasota, Florida	MBB BK 117	substantial	3 uninjured
or landing traffic, t	hen asked the pilot if he could s	see the landing traffic. The p	pilot moved the helicopter to	he controller asked the pilot to ho the east looking for traffic. He the I the tail rotor contacted a hanga
1ay 15, 1999	Rockton, Illinois	Bell 222	substantial	3 uninjured
The pilot flew the approach angle of	helicopter to a temporary lan	iding zone in a freshly plo ergency vehicles and a gro	wed field for a landing in r	hight VMC. The pilot oriented hands. The helicopter landed har

Date	Location	Helicopter Type	Helicopter Damage	Injuries
June 14, 1999	Jackson, Kentucky	Sikorsky S-76A	destroyed	4 fatal
and subsequent	transmissions were not answei	ed. Visibility was reported	d as less than 0.25 mile	ort altitude, but this transmission (403 meters). Another witness r struck rising terrain on a tree-
July 17, 1999	Fresno, Texas	MBB BK-117	destroyed	3 fatal
kilometers) from the when pieces "shot	ne hospital. Witnesses said that	the helicopter was being flo er. The helicopter descende	own parallel to a highway	struck terrain about 40 miles (64 and appeared to begin a left turn behind trees and struck terrain. All
Aug. 10, 1999	Cape Girardeau, Missouri	Bell 206L	substantial	3 uninjured
	eft and pitched down at the edge			MC from a hospital helipad. The opter on a street, and the tail boom
Sept. 10, 1999	Kenansville, Florida	MBB BO 105	substantial	3 serious
the emergency ve pilot applied collect	hicles and descended from 1,00	0 feet to 700 feet to fly over out the helicopter continued	r the accident scene. Abo I to descend. The helicop	und fog en route but saw lights of ut 300 feet above the ground, the ter struck trees and rolled onto its
Nov. 17, 1999	Neihart, Montana	Bell 206L-1	substantial	4 uninjured
trees, he turned t building speed an The pilot applied	he nose of the helicopter 45 de d altitude. After the helicopter m left pedal, but that did not stop t	grees to 50 degrees to the oved about 20 feet to 30 fee he rotation. The pilot appli	e left, hovered to an ope et, the pilot felt the tail of ed cyclic control to retur	5 knots. He said that, because of n area and departed downslope, the helicopter abruptly rotate left. n to the landing zone. During the shion the landing. The helicopter
Jan. 2, 2000	Kalispel, Montana	Bell 206L-3	minor	2 uninjured
	pilot began a takeoff from a lanc rotor blade struck a tree.	ling zone after picking up a	a victim of a skiing accid	ent. Minor damage to the tip cap
Feb. 26, 2000	Knoxville, Tennessee	Bell 412	substantial	3 uninjured
attempted to repo the tail rotor struc	osition the helicopter. He was look k a tree. The pilot reduced colle	oking out the left side of the ctive, and the helicopter of	he helicopter at wires wh came to rest on the skids	e of the terrain was steep, so he en he turned the tail to the right; s. After contact, the tail rotor and caused further damage to the
March 10, 2000	Near Dalhart, Texas	Eurocopter BO 105	destroyed	4 fatal
The helicopter was distress, was tran observed patchy fe was late arriving,	sferred. The helicopter took off foot foot of the steer o	w at a landing site in a farm or the 120-mile (193-kilome .4 millimeters) of ice had fo	field, where the patient, v eter) return flight about 0 rmed on vehicle mirrors a	vho was suffering from respiratory 600 in dark-night IMC. Witnesses nd antennas. When the helicopter oserved wreckage in a field about
April 14, 2000	St. Paul, Minnesota	Bell 222	substantial	2 uninjured
The helicopter wa he felt two thuds helicopter pitched any flight controls	s being flown in day VMC to a do in the cyclic control in the aft d into a severe nose-high attitude. except the pedals. The helicopte He realized that the helicopter w	wntown airport after a patie irection. He turned for a d The cyclic stick "harded ov er climbed and dived, and tl	ent drop-off. The pilot said ownwind traffic-pattern e rer" to the full-aft position, he cyclic moved on its ow	I that about halfway to the airport, intry. At 800 feet to 900 feet, the and the pilot was unable to move m. The pilot struggled to keep the bedal and placed the helicopter on
April 25, 2000	St. Petersburg, Florida	MBB BK 117	destroyed	3 fatal
After transporting operating base. The more direct, route	a patient to a medical center, t ne pilot flew a newly established	he helicopter was being fl route, developed in respor ter was being flown at abo	own in day VMC on an on the set to noise complaints from the set of the set o	eight-minute flight to the hospital rom residents along the previous, collided with a radio transmission

	Location	Helicopter Type	Helicopter Damage	Injuries
The pilot was comp	Cincinnati, Ohio	Eurocopter BK 117A-4	substantial	1 serious
ight wind from the s a loud noise from t hrust and closed th	oleting his sixth flight of the night ard. He initiated his approach to outhwest. The pilot said that, afte he rear, the left rudder pedal pus le power levers. The helicopter str hught on a crossbar attached to th	t in VMC. He had refueled a the west side landing area r the helicopter crossed the shed rearward and the nose ruck the ground upright with	and was returning the hel from the southwest and ol edge of the landing area ar e moved to the right. The p both engines running. Exa	copter to the hospital without the oserved the windsock indicating and was almost in a hover, he hear bilot recognized a loss of tail-roto mination of the windsock revealed
July 16, 2000	Allen, Texas	MBB BK 117A-3	substantial	3 uninjured
anding location. W	ground effect during an approa hen the pilot began a pedal turr pilot lowered the collective and l	n to face EMS units behind		
July 24, 2000	Sumner, Georgia	Eurocopter AS 350B	destroyed	3 fatal
established radio c	s being flown in night VMC back ontact with the dispatcher and r rrive at its final destination, a se ck trees.	eported a GPS location. No	o further transmissions we	ere received from the pilot. Whe
July 28, 2000	Minneapolis, Minnesota	Bell 222U	substantial	1 uninjured
	pter lifted off from a hospital hel shut down the engines.	ipad in VMC for a repositio	ning flight, and the tail rot	or struck a light. The pilot lande
Oct. 14, 2000	Grand Canyon, Arizona	Bell 206L-1	substantial	1 minor
nelicopter rotated	s departing in day VMC from an to the right at 80 feet AGL to 1 and descended into trees.			
Oct. 16, 2000	Burlington, North Carolina	Aerospatiale AS 355	destroyed	1 fatal
center in night VMC found no excessive crew performed a g The helicopter mad	sion had been installed after over c, the main-transmission oil-press e oil leaks and disconnected the round run and hover check, then de a steady drone and a low-vel that the gears in the combiner g	sure warning light illuminate e wire from the transmissic departed. Witnesses heard ocity thumping noise. The f	d. The pilot landed the heli on-oil-pressure switch; the d the helicopter flying at w helicopter struck trees, ar	copter. A maintenance technicia e warning light extinguished. Th hat appeared to be a low altitude id a fire erupted. A post-accider
Nov. 13, 2000	Parumph, Nevada	MBB BO 105	substantial	3 uninjured
with headlights from the site. While on the personnel. When the around at a low alti	been flown in night VMC to a lan m an ambulance and told the hel ne downwind leg for landing, the ne helicopter was on short final, tude. He observed power lines in d the ground, and the helicopter	licopter crew by radio that t pilot observed a car movin the pilot observed that the his peripheral vision and a	here was no wind and that ng toward the site and tolo car had stopped in the la	t there were no wires obstructin I the flight nurse to inform groun anding site. The pilot began a go
Dec. 18, 2000	West Mifflin, Pennsylvania	Aerospatiale SA 365	substantial	2 serious, 1 uninjured
ilight check, the fift oss of tail-rotor cor with up-and-down and the main fusel	undergoing a 500-hour inspecti th one, was being conducted in htrol. About 10 attempts were manose oscillations and impacted t age broke in half. A post-flight in he actuating bell crank.	day VMC when a maintena ide to land. The pilot then at the ground. The tail boom a	ance technician heard a b ttempted a running landing and main-rotor system bro	ang, and the pilot experienced g. The helicopter began a left spi ike away from the main fuselage
Dec. 22, 2000	Wilcox, Arizona	Bell 206L-3	substantial	3 minor
	parted from a medical center af en he detected an aftertaste from	n something he had tried to	o drink about two hours ea	rlier. About five minutes from the
uncomfortable whe base hospital, nau errain was below airport landing. Ab	sea, sweating and cramps sudo him. The airport was at his 12 o out 20 feet above the touchdov right. The main-rotor blades con	'clock position at five miles vn point, the pilot doubled	s, so he decided to fly the over because of severe	ere rather than to attempt an of cramping. This moved the cycli
uncomfortable whe base hospital, nau errain was below airport landing. Ab forward and to the	him. The airport was at his 12 o out 20 feet above the touchdow right. The main-rotor blades con	'clock position at five miles vn point, the pilot doubled	s, so he decided to fly the over because of severe	ere rather than to attempt an of cramping. This moved the cycli

## Data Show That More Than Half of EMS Airplane Accidents Occur During Approach and Landing

From 1983 through 2000, 42 accidents occurred involving U.S. commercial EMS airplanes; of these, 23 occurred during approach and landing.

Patrick R. Veillette, Ph.D.

Airplanes, as well as helicopters, are used for the rapid transportation of critically ill patients to major trauma centers and the time-critical transportation of human organs and blood.

Emergency medical services (EMS) airplane operations involve many of the same challenges presented by EMS helicopter operations: Pilots often fly into remote locations surrounded by mountainous terrain, using limited navigational aids and instrument approach aids. Landings sometimes are made on unimproved surfaces, during adverse weather and/or in darkness.

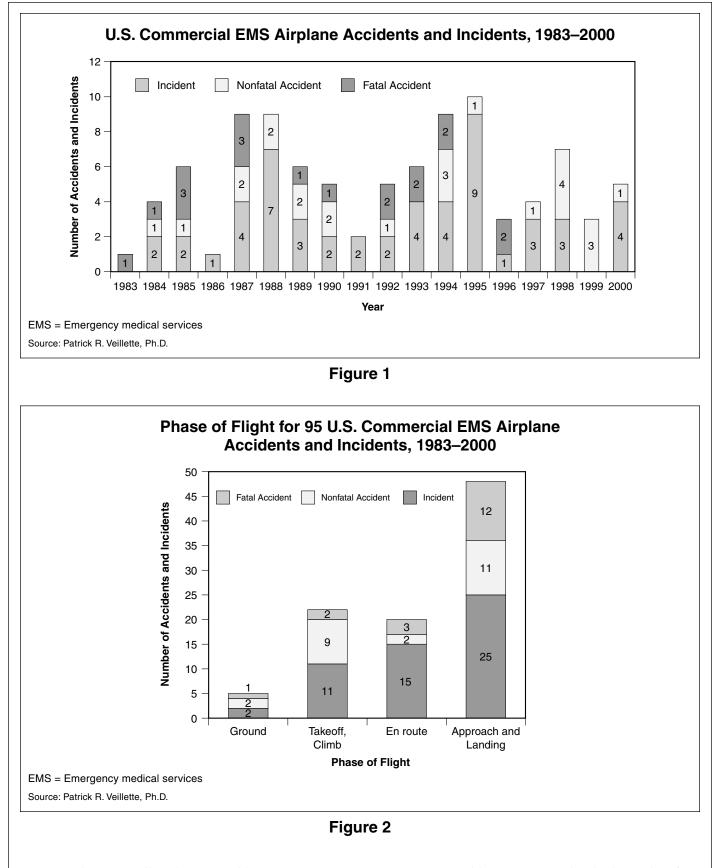
From 1983 to 2000, there were 42 accidents and 53 incidents involving U.S.-registered airplanes on EMS flights within the United States. The 42 accidents included 18 fatal accidents and six serious-injury accidents. Of 153 occupants of the aircraft, 54 people were killed, 11 people were seriously injured, and 12 people received minor injuries. Nineteen aircraft were destroyed, and 20 aircraft received substantial damage (Figure 1, page 40).

To identify accident trends, the author conducted an extensive 2001 study of EMS accidents, including an analysis of U.S. National Transportation Safety Board (NTSB) reports on the

42 accidents and U.S. Federal Aviation Administration (FAA) reports on the 53 incidents (see Appendix, page 48). The study also included an analysis of 103 U.S. National Aeronautics and Space Administration Aviation Safety Reporting System (ASRS) reports on EMS airplane operations from January 1988 through December 1999; direct observation of 278 commercial EMS airplane flights for 12 months in 1998, including 122 flights as a crewmember; interviews with accident investigators, witnesses, EMS pilots, flight physicians, flight nurses and flight paramedics; on-site inspections of 50 accident sites or incident sites; and examinations of operating specifications and operating manuals.<sup>1</sup>

The study found that:

- Twenty-three of the 42 accidents (55 percent) occurred during approach and landing (Figure 2, page 40);
- Eleven accidents (26 percent) occurred during takeoff;
- Mountainous terrain was a contributing factor in 25 accidents (60 percent), including 11 fatal accidents. Sixty-one percent of all fatal accidents involved mountainous terrain;



- Darkness contributed to 20 accidents (48 percent), including eight fatal accidents. Forty-four percent of all fatal accidents involved darkness (Table 1, page 41);
- Twenty accidents (48 percent) involved operations from adverse runway surfaces, including surfaces that were contaminated by snow or rain and those that were occupied by uncontrolled grazing animals; and,

	Lightii	ng Condi	Table 1           Lighting Conditions During U.S. Commercial EMS Airplane Accidents and Incidents, 1983–2000	ing U.S. Co	ommercia	Table 1 al EMS Air	plane Acc	idents ar	nd Inciden	its, 1983–2	2000	
		Ground			Takeoff			En route		Appre	Approach and Landing	ding
	All Accidents	All Fatal Accidents Incidents	Incidents	All Accidents	All Fatal Accidents Accidents	Incidents	All Accidents	All Fatal Accidents Accidents Incidents	Incidents	All Accidents	Fatal Accidents	Incidents
Daylight	1			e	-	9			10	9	0	17
Darkness	-	I	0	5	-	5	0	-	ъ	12	9	8
Unspecified	0	-	I	က	I	I	С	N	I	ъ	4	I
Total	e	-	2	Ħ	2	Ħ	ъ	e	15	23	12	25

 Adverse weather (heavy snow, heavy rain or thunderstorms) contributed to 13 of the 42 accidents (31 percent), including 12 fatal accidents. Two-thirds of all fatal accidents occurred in adverse weather.

Among the 53 incidents, 35 incidents resulted in minor damage to the aircraft, and 18 incidents resulted in no damage. None of the 195 occupants was injured.

Twenty-three of the 42 accidents (55 percent), including 12 of the 18 fatal accidents (67 percent), and 25 incidents occurred during approach and landing. The 12 fatal accidents resulted in 37 fatalities (69 percent of all fatalities in EMS airplane accidents). Human error was involved in 20 of the 23 approach-and-landing accidents (ALAs [87 percent]) and in 14 of the 25 approach-and-landing incidents (56 percent) (Figure 3, page 42).

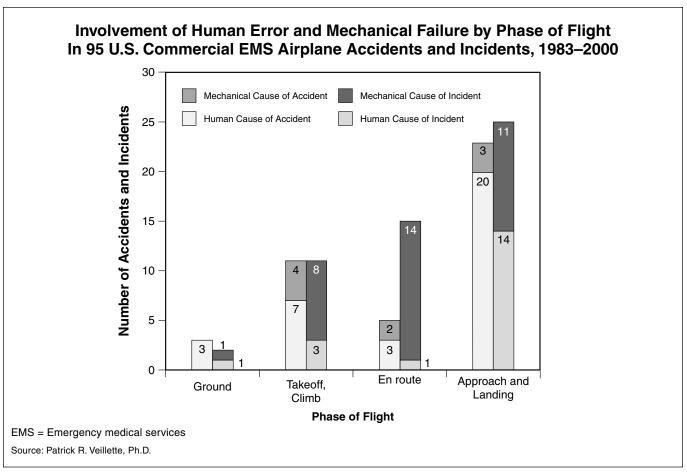
Mountainous terrain contributed to 13 ALAs and five approachand-landing incidents. Darkness contributed to 12 ALAs and eight approach-and-landing incidents. Adverse runway conditions contributed to 11 ALAs and eight approach-andlanding incidents. (One airstrip was the scene of four ALAs and one landing incident.) Instrument meteorological conditions (IMC) contributed to 10 ALAs and four approachand-landing incidents, and adverse weather contributed to nine ALAs (all of them fatal) and seven approach-and-landing incidents (Table 2, page 43).

In nine of the 11 ALAs and six of the eight approach-andlanding incidents that involved adverse runway conditions, the runway surface was contaminated by rain or snow; in each instance, the conditions were reported inaccurately to the EMS pilot. Six accidents and five incidents involving adverse runway conditions occurred in darkness. Five ALAs involved inadequate runway lighting or airport lighting; five other ALAs involved uncontrolled wildlife grazing on marginally lighted, unimproved runways.

Mechanical failure caused three ALAs and 11 approach-andlanding incidents (Table 3, page 44). Nine approach-andlanding incidents involved failure of the landing-gear systems.

Eleven accidents, including two fatal accidents, and 11 incidents occurred during takeoff and initial climb. The two fatal accidents resulted in five fatalities. Seven of the takeoff accidents and three takeoff incidents resulted from human error.

Mechanical failures caused four takeoff accidents and eight takeoff incidents. Engine malfunctions occurred in three of the four takeoff accidents and three of the eight takeoff incidents. (Two takeoff accidents and two takeoff incidents were caused by engine failures during takeoff.) One takeoff accident and three takeoff incidents were caused by landinggear malfunctions. Two incidents occurred because of flight





control problems encountered during takeoff; both occurred after maintenance.

Other factors contributing to the takeoff accidents included mountainous terrain (seven accidents), marginal runway conditions (five accidents) and darkness (five accidents).

Five accidents and 15 incidents occurred during cruise. Two cruise accidents and 14 cruise incidents were caused by mechanical failures; all but one were without injury. The injury accident was complicated by darkness, IMC, adverse weather and mountainous terrain; three of those aboard the airplane received serious injuries, one received minor injuries, and one was uninjured. Twelve of the mechanical incidents occurred in visual meteorological conditions, and 10 occurred in daylight and were not complicated by mountainous terrain. One significant factor that helped minimize injury and damage during the cruise events involving mechanical failure was the absence of human error in resolving the mechanical problem. Sufficient time, sufficient altitude, daylight and good weather provided a lowerworkload environment that helped the crew resolve the problem.

Three accidents and two incidents occurred during ground operations. One accident and two incidents involved the aircraft striking taxi lights with their propellers. Some factors affecting pilot decision making are common to all aspects of fixed-wing aviation, such as conducting operations in adverse weather, but the unique aspects of EMS operations (including concern about the patient's condition and especially demanding communication requirements) also have an effect on the pilot decision-making process.

Seventy-five of the 103 ASRS reports (73 percent) discussed non-adherence to U.S. Federal Aviation Regulations (FARs); of these, 60 percent involved noncompliance with air traffic control (ATC) clearances, usually deviations from altitude assignments or heading assignments. Sixteen reports involved near-midair collisions, and seven reports involved runway incursions.

The following report about an event that occurred during night IMC in a mountainous region provides some insight into EMS operations:

During the flight, the medical crew was very worried about the patient's condition. The flight crew had to initiate radio calls and relay messages between the hospital and the medical crew. As the flight progressed, the medical crew became increasingly distressed. There was a lot of noise as the blood-covered doctor yelled

	Weath	ter Condi	Table 2           Weather Conditions During U.S. Commercial EMS Airplane Accidents and Incidents, 1983–2000	ing U.S. C	ommercia	Table 2 al EMS Air	plane Acc	sidents ar	nd Inciden	ıts, 1983–2	2000	
		Ground			Takeoff			En route		Appro	Approach and Landing	Iding
	AII Accidents	All Fatal Accidents Incidents	Incidents	All Accidents	All Fatal Accidents Accidents Incidents	Incidents	All Accidents	All Fatal Accidents Accidents Incidents	Incidents	All Accidents	Fatal Accidents	Incidents
VMC	-	I	0	2	-	10	I	I	12	1	0	21
MC, MVFR	I	I	I	N	-	-	N	-	ო	10	8	4
Jnspecified	N	-	I	N	I	I	ო	N	I	N	0	I
Total	ო	۲	2	11	0	11	S	ო	15	23	12	25

instructions to the nurses. This was distracting to the flight crew. Approximately 20 minutes prior to landing, our destination [airport] went below landing minimums. The first officer was taking care of all ATC communications, and I was talking to the company and the hospital on the other radio. The first officer told me that we were cleared from FL 210 [Flight Level 210] to 14,000 feet. We began descent and accomplished the in-range checklist. Passing FL 180, we set altimeters to 29.89. The radio traffic became very busy now, as we tried to coordinate our plans with the hospital. A nurse came forward to the cockpit to discuss options with the hospital. Our destination was then changed from Anchorage to Elmendorf AFB [Air Force Base]. The first officer then copied the Elmendorf ATIS [automatic terminal information system], while I requested permission to land from base operations. We leveled at 14,000 feet, and I asked the first officer to read the ATIS to me. When the first officer read the local altimeter setting as 28.89, I asked him to recheck the ATIS while I listened. I reset my altimeter and climbed back up to 14,000 feet. I asked the first officer to notify ATC of our intentions and to turn off all non-ATC communication radios. We proceeded to Elmendorf and landed.

Post flight: When I asked the first officer how he could have missed the low altimeter setting, he replied the ATIS lacked the normal phraseology. Contributing factors: Fatigue — the first officer was out of his normal sleep cycle. He was filling in while the full-time night ... first officer was on time off. We had flown several nights before, and the first officer said that he hadn't done well with all of the schedule swapping. I also had not slept more than several hours in the previous day or so. Although we were legal to fly, as the FARs are concerned, we were fatigued. Phraseology - I learned in a conversation later that day with an ATC controller that the use of the phrase "altimeter low low" is no longer required with reporting abnormally low altimeter settings. I believe that, if the first officer had heard "low low," this altitude deviation would not have happened. Aircraft configuration — The communication radios used by the medical team are in the cockpit. The medical crewmember must kneel between the pilot seats, talk on a hand mike and listen on the overhead speaker. This system works quite well normally, but during times of high cockpit workload, it can be very distracting. We, of course, only allow this kind of communication to go on above 10,000 feet. There is no cockpit door to isolate the flight crew from the noise and chaos that happens in the back of the airplane during a medical emergency.<sup>2</sup>

Fifty ASRS reports involved distractions (Figure 4, page 44). Of these, 15 were a result of mechanical malfunctions, 14 were a result of a patient's condition; nine reports involved distractions caused by rapidly changing weather; five

continued on page 45

## Table 3Mechanical Failures Involved in U.S. Commercial EMS AirplaneAccidents and Incidents, 1983–2000

	Taxi	Takeoff	En route	Approach and Landing
Engine				
Incidents	-	3	7	1
Nonfatal accidents	-	3	1	_
Fatal accidents	-	-	-	-
Landing gear, brakes, tires				
Incidents	-	3	_	9
Nonfatal accidents	-	1	-	1
Fatal accidents	-	-	-	-
Flight control				
Incidents	-	2	_	_
Nonfatal accidents	-	-	1	_
Fatal accidents	-	-	-	-
Door, door seals				
Incidents	_	_	3	_
Nonfatal accidents	-	-	-	_
Fatal accidents	-	-	-	-
Other				
Incidents	1	_	4	1
Nonfatal accidents	-	-	-	1
Fatal accidents	_	_	_	1

Human Error Factors Cited In U.S. Commercial EMS Airplane Events Reported to NASA ASRS 1988–1999 Distraction 50 **Communication Difficulty** 24 19 **Crew Resource Management** Time Pressure, Urgency 14 Fatigue, Scheduling 12 0 5 10 15 20 25 30 35 40 45 50 Number of Occurrences Note: Some reports involved more than one contributing factor. EMS = Emergency medical services NASA ASRS = U.S. National Aeronautics and Space Administration Aviation Safety Reporting System Source: Patrick R. Veillette, Ph.D. Figure 4

involved monitoring multiple radio frequencies; four involved monitoring other traffic; and three involved navigational programming duties.

In 18 of the 42 accidents, a patient was on board; 14 of those accidents involved faulty pilot decision making.

The following ASRS report describes how a patient's condition influenced the decision-making process:

The flight was conducted using the "Lifeguard" designation. The forecasted weather indicated visibility on the order of 1/2-[mile] to 1/4-mile [805 meters to 403 meters] in fog. Prior to departing en route to Salt Lake [City, Utah], I made checks of the weather trends, current surface analysis and forecasts for the area. The updates indicated that the RVR [runway visual range] at Salt Lake was fluctuating between 1,800 feet and 3,500 feet [549 meters and 1,068 meters] and holding to those values, with en route weather being clear. Approximately 30 minutes out, I was advised by the medical team in the aircraft that the patient was in a time-critical condition and that an undelayed arrival into Salt Lake was urgently needed. I advised Salt Lake Center of patient status. Upon being vectored for the ILS [instrument landing system] 34L approach, the reported RVR was at 800 feet [244 meters], 800 feet, and 600 feet [183 meters]. I advised ATC that I would need to "take a look" at the approach, with the following factors in mind: 1) FARs provide for deviations from the minimums to meet emergency requirements. 2) The ILS 34L approach is certified for Category III operations and was fully functional, to include attendant lighting and markings. 3) I was confident in my ability to safely conduct the approach. 4) I was fully prepared to make a missed approach, regardless of any medical problem if adequate forward visibility for landing and rollout was not available. 5) The aircraft was equipped with a fully functioning flight director system and a radar altimeter. 6) I have well in excess of 100 hours PIC [pilot-incommand] time in type. The approach and landing were accomplished without incident or problem.3

ATC Handbook 7110.65 states that aircraft with Lifeguard call signs will be given priority handling. Thirteen ASRS reports involved problems with obtaining priority handling from ATC and deviations from ATC clearances to expedite the transfer of patients.

In seven ASRS reports, a patient's condition had worsened so much that the pilots chose to operate from closed airports in violation of FARs. In six instances, pilots conducted takeoffs or landings below the prescribed minimums — actions that can result in enforcement action from FAA.

One recommendation from the 1988 NTSB study of commercial EMS helicopter safety was to develop procedures

to isolate flight operation decisions from medical decisions.<sup>4</sup> A 1995 NASA study that analyzed EMS reports submitted to ASRS between 1986 and 1991 found that EMS pilots continued to indicate that there was a lack of isolation from medical decisions. The study found that isolation may not be a realistic goal when a pilot observes the medical staff's anxiety and expressions of urgency, both in speech and nonverbal signs.<sup>5</sup>

Critical information regarding runway conditions was not transmitted to pilots in 14 accidents — nine involving contaminated runway surface conditions and five involving uncontrolled wildlife grazing on marginally lighted and unimproved runways.

Twenty-four ASRS reports involved communication problems, including 20 instances in which pilots did not comply with ATC clearances and deviated from assigned altitudes or assigned courses.

Seven ASRS reports involved problems obtaining accurate weather information.

Nineteen reports involved problems with crew resource management. Thirteen reports described situations involving an adverse cockpit authority gradient (a situation that can exist when a relatively inexperienced captain is paired with a more experienced co-pilot or when a captain's experience, stature and authority far exceed the experience, stature and authority of a relatively new co-pilot.)

One pilot discussed such a problem in this ASRS report:

I was dispatched to fly a Lifeguard trip. First, I am a new employee with the company, type rated as a captain Part 135, riding as a first officer with the company chief pilot. The chief pilot takes care of all flight planning and filing of plan without any discussion with myself. Later I found that the captain is filing as ... [having area navigation (RNAV) capability] when the aircraft ... [has no RNAV]. Therefore, we cannot accept any clearance direct via RNAV. I found this out only after we had accepted several clearances and were unable to comply, sometimes missing our waypoint by as much as 30 nm [nautical miles (56 kilometers)]. Second, about 250 nm [463 kilometers] from our destination airport, center stated a clearance to descend to FL 370. At this point, the captain took the radio away and refused to descend, based on the idea that we were a Learjet and should receive better handling from ATC. Some 50 miles [93 kilometers] later and [after] about 15 minutes of arguing with ATC, [the captain] finally complied. My situation is this: I mentioned to the captain about the aircraft not having an RNAV and told him that the flight plan was a violation. I also mentioned and asked him not to argue with ATC and please don't [refuse to] comply with their request unless there is an emergency. His reply to this is that he is the captain,

I am the co-pilot, and not to concern myself with his job. To me, this completely defeats the purpose of a two-pilot crew. I am in a serious situation here, being a new hire and flying with the chief pilot. As a young pilot, I have run into this experience several times in my aviation career. I feel that more attention should be given to the training of the captain-first officer as a team and not a student-instructor relationship.<sup>6</sup>

Fourteen ASRS reports involved time pressure caused by rapid flight preparation, which is characteristic of EMS operations, including the two reports from which these excerpts were taken:

Failed to remove rudder lock during preflight. Recognized situation after liftoff from Runway 32. Requested to return for landing on Runway 6L. Accomplished normal landing without incident. Cause: Medevac [medical evacuation] flight that was rushed to get off the ground. Preflight inspection was incomplete.<sup>7</sup>

Flying on a Lifeguard flight, we took off on Runway 35L. After takeoff, the tower asked us if we had a takeoff clearance, and we said that we thought so. ... I'm not positive whether or not we had a takeoff clearance. Contributing factors include the urgency of a Lifeguard flight.<sup>8</sup>

The extent to which rapid flight preparation — and the accompanying sense of urgency — was involved in accidents is unknown, but six NTSB accident reports involving EMS airplane operations included indications of rapid flight preparation and a sense of urgency.

Pilots of EMS airplanes and EMS helicopters experience the same effects from 24-hour operations and rotating shifts. Twelve ASRS EMS airplane reports involved problems with pilot fatigue and crew scheduling.

Figure 5 and Figure 6 show the time of day at which some accidents and incidents occurred. The greatest concentrations of incidents (28 incidents, or 54 percent) occurred during the two consecutive four-hour periods (1001 to 1800 hours) that comprise the typical workday. The greatest concentration of accidents (five accidents, or 28 percent) however, occurred during the single four-hour period from 0201 local time to 0600 local time.

Darkness contributed to 20 accidents (48 percent) and 20 incidents (38 percent). Twelve ALAs, eight approach-and-landing incidents, five takeoff accidents and five takeoff incidents occurred in darkness. Twenty-four ASRS reports (23 percent) also involved events that occurred in darkness.

Table 2 (page 43) shows the frequency of IMC accidents in various phases of flight. Fourteen accidents (33 percent) and eight incidents (15 percent) occurred in IMC. Ten

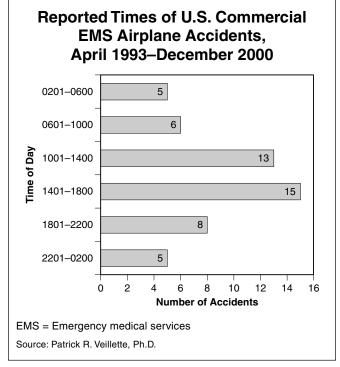
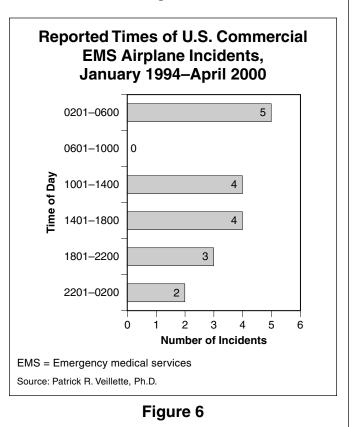


Figure 5



IMC-related accidents (24 percent of all accidents), including eight fatal accidents (44 percent of all fatal accidents), occurred during approach and landing. Twenty-nine ASRS reports (28 percent) involved events that occurred in IMC. Improper execution of instrument approach procedures was involved in seven accidents, all of them fatal. Six of those accidents occurred in mountainous terrain. Five of the seven accident aircraft were flown by single-pilot crews; two aircraft had two-pilot crews. Five of these accidents occurred in IMC, and five accidents occurred in darkness. Three of the aircraft were powered by turboprop engines, two had reciprocating engines, and two had turbojet engines.

EMS airplane pilots who submitted reports to ASRS from 1988 through 1999 had an average of 3,696 flight hours; pilots involved in incidents in the FAA incident database from 1984 through 2000 had an average of 3,219 flight hours. Three NTSB accident reports indicated that pilot experience was a contributing factor to the accident.

Thirteen ASRS reports involved problems with low experience and qualifications of the second-in-command, necessitating some form of intervention and extra guidance from the pilotin-command and causing a distraction within the cockpit.

Of the 103 EMS airplane reports submitted to ASRS, 41 reports (40 percent) involved turbojet aircraft, 32 reports (31 percent) involved turboprop aircraft, and 30 reports (29 percent) involved reciprocating-engine aircraft. Fifty-seven of the aircraft (55 percent) were operated with two-pilot crews. Of 53 EMS airplane incidents in the FAA database, eight incidents (15 percent) involved turbojet aircraft, 17 incidents (32 percent) involved turboprop aircraft, and 28 incidents (53 percent) involved reciprocating-engine aircraft. Thirty-seven incident aircraft (70 percent) were operated by single-pilot crews. Of 42 accidents in the NTSB accident database, four accidents (10 percent) involved turbojet-powered aircraft, 16 accidents (38 percent) involved turboprop-powered aircraft, and 22 accidents (52 percent) involved reciprocating-engine aircraft. Thirty-four accident aircraft (81 percent) were operated by single-pilot crews.♦

Maj. Stephen E. Wood, U.S. Air Force Reserve; Phyllis Upchurch, chief pilot, Air Med, and captain, Learjet, Citation, IA Jet; and Matthew McNamara, first officer, Canadair Regional Jet CL-65, contributed to the research and preparation of this report.

## About the Author

Patrick R. Veillette, Ph.D., a professional pilot with more than 13,000 flight hours, is a Boeing 727 first officer for a U.S. air carrier. He formerly flew emergency medical services fixed-wing operations, aerial fire fighting operations and charter-aircraft flight operations; investigated failure modes, weaknesses and performance capabilities of aircraft involved in accidents and was an accident investigator for the U.S. Department of Agriculture. Veillette received a bachelor's degree in aeronautical engineering from the U.S. Air Force Academy and a doctorate in civil engineering from the University of Utah and studied accident investigation on the undergraduate level and the graduate level. He has conducted numerous research projects on flight deck automation and human error in highrisk environments. Veillette has an air transport pilot certificate and is a former U.S. Federal Aviation Administration designated pilot examiner.

## **Notes and References**

1. The NASA ASRS is a confidential incident-reporting system. The ASRS Program Overview said, "Pilots, air traffic controllers, flight attendants, mechanics, ground personnel and others involved in aviation operations submit reports to the ASRS when they are involved in, or observe, an incident or situation in which aviation safety was compromised. ... ASRS de-identifies reports before entering them into the incident database. All personal and organizational names are removed. Dates, times, and related information, which could be used to infer an identify, are either generalized or eliminated."

ASRS acknowledges that its data have certain limitations. ASRS Directline (December 1998) said, "Reporters to ASRS may introduce biases that result from a greater tendency to report serious events than minor ones; from organizational and geographic influences; and from many other factors. All of these potential influences reduce the confidence that can be attached to statistical findings based on ASRS data. However, the proportions of consistently reported incidents to ASRS, such as altitude deviations, have been remarkably stable over many years. Therefore, users of ASRS may presume that incident reports drawn from a time interval of several or more years will reflect patterns that are broadly representative of the total universe of aviation-safety incidents of that type."

- 2. NASA ASRS. Report no. 226133. November 1992.
- 3. NASA ASRS. Report no. 200718. January 1992.
- U.S. National Transportation Safety Board. Commercial Emergency Medical Service Helicopter Operations. NTSB/SS-88/01. Washington, D.C., U.S., 1988.
- 5. Connell, L.J.; Reynard, W.D. "Incident Reports Highlight Hazards in EMS Helicopter Operations." *Helicopter Safety* Volume 21(July–August 1995).
- 6. NASA ASRS. Report no. 273825. June 1994.
- 7. NASA ASRS. Report no. 241366. May 1993.
- 8. NASA ASRS. Report no. 163015. November 1990.

Date	Location	Airplane Type	Airplane Damage	Injuries
Dec. 9, 1983	Put-in-Bay, Ohio	Cessna 207	substantial	4 fatal
medical assista airplane that sa	ree medical personnel took off in the unce to a heart patient. No record of id, "We are in it." Witnesses said that t . The airplane was found along the pl	a weather briefing was there was patchy fog in th	found. Sheriff's personn	el received a radio call from the
Jan. 18, 1984	Honolulu, Hawaii	Cessna 402	minor	2 uninjured
n day visual m	eteorological conditions (VMC), the pi	lot inadvertently retracte	d the landing gear on the	e landing rollout.
- eb. 16, 1984	San Carlos, California	Cessna 414	minor	4 uninjured
The engine sur	ged during the takeoff roll in night VM	C. The right tire failed aft	er the pilot rejected the t	akeoff.
March 30, 1984	Parkersburg, West Virginia	Piper PA-34-200T	none	2 fatal, 2 uninjured
The pilot condu the grass and st	cted an unscheduled landing because opped. Rescue personnel found the pil y resuscitation to the patient. Both the	ot collapsed over the con	of the patient. The airpla trols because of a heart a	ne was turned off the taxiway int
Nov. 5, 1984	Denver, Colorado	Piper PA-34-200T	substantial	5 uninjured
vehicle driver d	axiing the airplane when a small truck id not see the airplane, the pilot stopp 00 feet (183 meters) away and proceed tion lights on.	ed. The truck driver said	I that he stopped before	crossing the taxiway, saw only a
<sup>-</sup> eb. 9, 1985	Central Point, Oregon	Gulfstream 680F	destroyed	4 fatal
aid, "Gonna hi and the airplan nalfunction or f	ed loss of power from both engines du t it." Witnesses saw the airplane glidin e pitched up and rolled left to an inve uel system malfunction. The airplane th the same pilot. After about 1 1/2 mi	g, with wings level, towa rted position. The aircrat had experienced a power	rd the runway. The sound ft exploded on impact. Ar r loss after descending fro	of an engine revving was hear n investigation showed no engin
April 19, 1985	Tuba City, Arizona	Cessna U206G	destroyed	6 fatal
	ons were described as marginal for th descended into desert terrain 10 mile			as being returned to the departur
Aug. 28, 1985	Seattle, Washington	Cessna 414	minor	2 uninjured
	e crew was unable to extend the left-n caught in the wheel well because of a		ot landed the airplane wit	h the landing gear up. The landin
Oct. 10, 1985	St. Joseph, Missouri	Cessna 414	none	3 uninjured
	ight in day VMC, the occupants heard t shut down the engine and conducte			pressure and oil pressure in on
Oct. 22, 1985	Juneau, Alaska	Learjet 24D	destroyed	4 fatal
meteorological navigation (VOI descending thre ound. There wa	airport to pick up a patient, the pilot v conditions (IMC). The pilot should hav RTAC), intercepted the localizer and tr bugh 9,500 feet. The airplane impact is evidence that both navigation device id been left inadvertently in the "hold"	re flown southeast toward racked the localizer inbound a mountainside at 3,5 as were set to the localize	d the very-high-frequency and. The pilot reported the 500 feet MSL. No pre-imper frequency, but the dista	y omnidirectional radio-tactical a at the airplane was inbound whil bact mechanical malfunction wa nce-measuring equipment (DME
Dec. 12, 1985	Omaha, Nebraska	Piper PA-32-300	substantial	2 serious, 2 minor
selected an ope eeth on the cra	as climbing through 2,800 feet when en field for a forced landing, but the ai unkshaft drive gear. A medical patient seats struck the back of the right-from	rplane collided with trees who was being transport	s during the approach. A ted on an unapproved litt	n inspection revealed four broke
uly 25, 1986	Louisville, Kentucky	Beech BE58	minor	3 uninjured
During landing anding.	in day VMC, the propeller blades struc	ck the runway. The pilot a	pplied power, extended t	he landing gear, and made a sa
eb. 20, 1987	Flagstaff, Arizona	Cessna 441	destroyed	2 fatal
with his avionics Radar vectors airplane struck t	as being flown in snow in night IMC to s and decided to conduct a missed app were being provided when he said, "\ terrain seven miles (11 kilometers) from ok off before the problem was corrected	proach. During the missed We have a big problem I in the airport. On the previo	d approach, he said that h here." Radio contact and bus flight, the co-pilot's att	ne lost the inverter, then the gyros radar contact were lost, and th itude indicator had malfunctioned

Date	Location	Airplane Type	Airplane Damage	Injuries
March 13, 1987	Chinle, Arizona	Cessna 414	substantial	1 minor, 2 uninjured
side of the lights		realized that the airplane was		pproach in night VMC to the wron way, he initiated a go-around. Th
March 27, 1987	Eagle, Colorado	Learjet 24	destroyed	3 fatal
DA approach in clear ahead." The	night VMC. The last radio con	tact occurred when the crew	replied, "We're eight [min	ontroller cleared the aircraft for a nutes] to 10 [minutes] out, and it a pilot was believed to have bee
lune 21, 1987	Bridgeport, California	Rockwell 690	destroyed	2 fatal
adjacent to the a airport. About on neading away fro occurred. Witnes	irport. Witnesses saw the airpl e mile (1.6 kilometers) from th om the only ground reference li	lane fly over the town and the e runway, the aircraft pitched u ghts and was over a reflective bise and propeller noise before	airport at pattern altitude up, rolled inverted and div body of water near the b	-reference lights were in the tow e, then fly over a lake north of th ved into the lake. The aircraft wa base-turn point when the acciden n the fourth night of his shift cyc
luly 15, 1987	Honolulu, Hawaii	Cessna 414	minor	4 uninjured
	for landing in day VMC, an ur			ension was used. The nose gea
luly 28, 1987	Cuba, New Mexico	Cessna 414	substantial	3 uninjured
airplane on the h damage was min	ighway, but during the landing	roll, the underside of the right Later, two U.S. Federal Aviation	wing struck a road-marke n Administration (FAA) ins	ay for landing. The pilot landed ther post. The pilot believed that the spectors re-examined the aircra damage.
ug. 24, 1987	Cedar City, Utah	Piper PA-34-200T	none	5 uninjured
	d coming from the engine cowl arms were replaced.	ing and the airplane was flow	n in day VMC back to the	e departure airport. Cylinder pus
Oct. 27, 1987	Fresno, California	Mitsubishi MU-2B	none	5 uninjured
	landing gear failed to extend for a broken wire at the gear-dow		v extension system was u	ised to lower the landing gear. A
Oct. 29, 1987	Chinle, Arizona	Cessna T210	minor	2 uninjured
n day VMC, the unway and struc		irplane on the wet dirt runway	y because of inadequate	braking. The airplane ran off th
<sup>=</sup> eb. 18, 1988	Fresno, California	Piper PA-31-350	none	3 uninjured
The airplane enc a precautionary l		ring flight in day IMC. The turbu	lence increased the patie	ent's pain, and the pilot conducte
March 20, 1988	Palo Alto, California	Gulfstream 690A	minor	5 uninjured
n day VMC, the	airplane struck a kite flown by	a child in the final approach pa	ath to the runway.	
pril 5, 1988	St. Paul, Minnesota	Beech B90	substantial	5 uninjured
The airplane was nard pitch-over. switch showed th emperature cycl	s being flown in visual condition The pilot recovered control of at the switch stuck in the close	ns above a solid cloud layer ar the airplane, and the flight co d or actuated position and did pelow freezing and back again.	not return to the "center of The cabin heater had fail	damage during an uncommande 1. Testing of the pilot's wheel-tri off" position. This occurred durir led with an outside temperature
April 21, 1988	Flagstaff, Arizona	Cessna 340	minor	3 uninjured
The pilot attempt	<b>0</b>		ns. The left-main landing	gear hit deep snow, causing th
<i>I</i> lay 2, 1988	Atlanta, Georgia	Beech 55	substantial	4 uninjured
The pilot was cor	•	ure in VMC when the entry doo	or opened. The pilot lost di	rectional control, and the airplar
lune 16, 1988	Nashville, Tennessee	Cessna 500	none	6 uninjured
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Date	Location	Airplane Type	Airplane Damage	Injuries
June 17, 1988	West Palm Beach, Florida	Learjet 24	minor	3 uninjured
(1,120 meters) de	ot the runway centerline during landing own the 7,991-foot (2,437-meter) runwa 0 feet (nine meters) off the end of the r	ay and landed long with		
July 29, 1988	Pittsburgh, Pennsylvania	Cessna 550	none	8 uninjured
During a flight in The door seal ha	night IMC, the crew heard a loud noise. d failed.	The cabin pressure deci	reased, and the pilots c	onducted an emergency descent
Nov. 25, 1988	Salt Lake City, Utah	Mitsubishi MU-2	none	4 uninjured
The airplane lost	rudder effectiveness after rotation. The	e pilot recovered control	and conducted a safe	emergency landing in night VMC
Jan. 30, 1989	Lander, Wyoming	Beech E90	substantial	5 uninjured
The pilot said the Casper, Wyoming	f roll in night VMC, the pilot saw two dee at he felt a "moderate" impact and, aft g, where the airport had longer runway restigation revealed parts of the left-ma	ter determining that the s. After burning off exce	left-main landing gear ss fuel, the pilot made	had been damaged, diverted to an intentional wheels-up landing
May 23, 1989	Denver, Colorado	Beech E90	minor	4 uninjured
	a landed in night VMC after the pilot ob	served a gear-unsafe in	dication.	-
May 31, 1989	Tuba City, Arizona	Beech E90	substantial	4 uninjured
As the airplane	It security personnel told him that there touched down, two horses crossed the pilot rejected the go-around. The left-r d quickly.	e runway. The pilot atte	mpted to go around, t	out the airplane collided with the
July 26, 1989	Springdale, Arkansas	Beech BE90	minor	4 uninjured
	night VMC, the pilot failed to check that acted. The amber (landing-gear-up) ligh			
Aug. 8, 1989	Las Vegas, Nevada	Cessna 414	minor	3 uninjured
	a landed in heavy rain in night VMC. Whi are discovered on the windshield and p		g taxied, the windshield	d broke. Pits caused by the impac
Aug. 21, 1989	Gold Beach, Oregon	Beech C90	destroyed	3 fatal
cloud bases at 20 the centerline ar	the airplane circle twice before the app 00 feet to 300 feet. The airplane was ob of on a one-mile final. The left-bank a with a parked vehicle 150 feet (46 met	served emerging from thingle increased to near	ne fog in a steep left tur 90 degrees when the	n and descending rapidly, right c airplane's nose dipped, and th
Feb. 9, 1990	Rapid City, South Dakota	Mitsubishi MU-2	destroyed	1 fatal, 2 serious, 2 minor
airline transport p struck terrain in a	nat after takeoff, the airplane entered a bilot) said that the airplane reached an a nose-down attitude, left of the runwa thered. The pilot failed to maintain ade	altitude of 75 feet to 100 ly. A coupling shaft had	) feet and appeared to s failed in the left engine	slow and enter a roll. The airplane e because of fatigue, and the lef
April 1, 1990	Boulder, Colorado	Cessna 421C	destroyed	2 fatal, 1 serious
included findings	s observed flying erratically at a low al of massive traumatic injuries sustained jumping out of the airplane over the mo	d during a struggle. The	accident report said th	at the patient apparently planned
April 30, 1990	Scottsdale, Arizona	Cessna 421	minor	3 uninjured
	eceive a nose-gear-down light when ap nance technicians found a disconnecte		VMC. The aircraft was	landed with the nose landing gea
July 15, 1990	Benton Harbor, Michigan	Learjet 24D	substantial	2 uninjured
approach and en approach lights v	being flown in night IMC to pick up hum herged from clouds at about 1,100 feet, vere on full bright and affected his nigh to stop the aircraft before running off th	, with about six miles (10 nt vision. He was unable	) kilometers) visibility. H	le reported that the high-intensit
Dec. 6, 1990	Miami, Florida	Learjet 25B	none	4 uninjured
One engine flam	ed out during flight in day VMC.			
April 9, 1991	Beckley, West Virginia	Beech BE200	none	4 uninjured
The fire-warning	light on the right engine illuminated dur	ing climb in night IMC. TI	he pilot shut down the e	engine and returned to the airport

Date	Location	Airplane Type	Airplane Damage	Injuries
Feb. 13, 1992	Glenwood Springs, Colorado	Swearingen SA-26AT	destroyed	3 serious, 1 minor, 1 uninjure
airport in the mou turned the airplan	d the right propeller after reporting "a bi ntainous terrain during dark-night conditi e to the left and re-entered IMC, and the tended landing was 272 degrees. Thick	ons in a snowstorm, but a airplane struck a mounta	Ifter a weather briefing, I inside on a magnetic he	he decided to return to his base. H ading of 092 degrees. The heading
Feb. 18, 1992	Oklahoma City, Oklahoma	Mitsubishi MU-2B	minor	3 uninjured
	ter window separated and struck a proput of the propeller feathered.	peller during a flight in da	ay VMC, and the right e	ngine flamed out. The pilot land
March 5, 1992	Freeland, Michigan	Cessna 414	destroyed	3 fatal
pilot refused the o told air traffic con	patient and his gear in the aircraft, the a offer to have a maintenance technician trol (ATC) that the elevator was jamme airplane struck terrain.	inspect the damage and	I said, "This has happe	ned before." After takeoff, the pi
July 11, 1992	Appleton, Wisconsin	Cessna 177RG	minor	2 uninjured
n day VMC, the a	airplane was landed with the landing g	ear retracted. The landir	ng-gear-unsafe warning	g horn was inoperative.
Dec. 29, 1992	Sioux Falls, South Dakota	Beech BE90	minor	3 uninjured
Гhe cabin door op	pened during flight in day IMC and sepa	rated from the aircraft. Th	e cabin-door-open-ann	unciator light bulb was burned o
Dec. 31, 1992	Herlong, California	Rockwell 690B	destroyed	2 fatal
obtained a weath anged from plus	ke up while flying in an area where sta ner briefing before departure. Pilots fly 60 knots to minus 40 knots. An in-fligh cident, the weather service issued a sig	ying in the general area nt weather advisory for o	ad been observed. No reported airspeed var occasional moderate tu	iations to their cruise speed th rbulence was in effect. About or
an. 30, 1993	Honolulu, Hawaii	Cessna 414	minor	2 uninjured
	s heard from the landing gear after tal ng. The nose gear collapsed during th		ose landing gear stuc	k at a 45-degree angle. The pil
March 26, 1993	St. Paul, Minnesota	Cessna 404	none	4 uninjured
	began to run rough during a flight in n kshaft was broken in two places.	ight VMC. The pilot secu	red the engine and ret	urned to the departure airport f
April 6, 1993	Casper, Wyoming	Mitsubishi MU-2	destroyed	4 fatal
until it descended	ared for an ILS approach in night IMC. F d below radar coverage. The airplane s ation of the accident site was 5,800 fee	struck the top of a ridge	along the localizer cer	nterline before reaching the out
July 1, 1993	San Angelo, Texas	Gulfstream 681		2 uninjured, 2 minor
During cruise flig eceived minor in	ht in night VMC, the co-pilot's windov juries.	v failed. The pilot diverte	ed to an en route airpo	ort and landed. Two flight nurs
Aug. 7, 1993	Augusta, Georgia	Beech C90	destroyed	4 fatal
encountered conv the final approact	ared for an ILS approach to the destinat vective activity. The tower radar placed n course, and ATC questioned the pilot meters) northeast of the airport and 0.5 a.	the aircraft's position 0.2 . The pilot said that he wa	25 mile to 0.5 mile (0.4 as on the localizer. The	kilometer to 0.8 kilometer) east airplane collided with trees abo
Aug. 7, 1993	Honolulu, Hawaii	Cessna 414	minor	3 uninjured
he door-warning	g light illuminated during climb in night	VMC. The door then fell	from the aircraft.	
larch 12, 1994	Phoenix, Arizona	Rockwell 681	substantial	5 uninjured
used the emerge anding, resulting at least 24 years o	night VMC, the pilot moved the landing incy-gear-extension system, but both in damage to the lower fuselage. No h old. A hydraulic leak was found in a met of corrosion pitting.	main-landing gear exter	nded only partially. The in the system's lines, w	e main-landing gear collapsed on hich were original equipment an
April 7, 1994	Elizabethton, Tennessee	Piper PA-31-350	destroyed	2 fatal
The destination a sight. The airport reported that the	airport was uncontrolled and had no in reported visual flight rules (VFR) condi- top third of the mountain was obscured plane did not arrive at the destination.	strument approaches. Tl tions, but rising mountair I by clouds. After cancelir	he pilot cancelled IFR nous terrain existed to th ng IFR, no subsequent	he northeast, and local authoriti radio calls were received from t

Date	Location	Airplane Type	Airplane Damage	Injuries
July 19, 1994	Taft, California	Cessna 414	destroyed	1 fatal, 3 serious
bercent uphill gra back-taxied on F between four kno o avoid rising te	ade and is restricted to landings Runway 25 and proceeded to ta ots and 15 knots, and the tempe	only. After landing, the airplan ake off uphill with the airplan rature was about 100 degrees sted the ground, and the airpla	ne was refueled and the p ne near its maximum gro s; density altitude was 3,2	nd on Runway 25, which has a 2 patient was put on board. The pil oss weight. There was a tail wil 200 feet. The pilot began a left tu ps were found fully extended. Th
July 21, 1994	Cozad, Nebraska	Beech C90	none	4 uninjured
	day VMC to the destination air g the backup gear-extension sy			r. They returned to their base an circuit breaker was defective.
July 29, 1994	Polacca, Arizona	Cessna 421	substantial	5 uninjured
that the aircraft flo and he may have	pated more than usual, and he su	uspected that there was a tail w ter touchdown, the braking acti	vind. Upon touchdown, a b on was less than normal b	22. After turning final, he observed bird struck the co-pilot's windshie because of the roughness of tar are be turn back to the runway.
Aug. 15, 1994	Eugene, Oregon	Cessna 414	minor	3 uninjured
One engine ran ı the engine case.		C. The pilot feathered the pro	peller and landed. A pisto	on connecting rod had penetrate
Nov. 15, 1994	Dallas, Texas	Mitsubishi MU-2B	minor	5 uninjured
	k large birds on final approach then landed the airplane.	in day IMC. The windshield w	was broken. The pilot cor	nducted a go-around to check the
Dec. 14, 1994	Chinle, Arizona	Cessna 421C	substantial	5 uninjured
slid sideways and		ng a barbed-wire fence. Neith	er the pilot nor the mainte	nd moved to the right. The airpla enance technician on board hea ecause of overloading.
Jan. 31, 1995	Chinle, Arizona	Cessna 421	substantial	3 uninjured
however, the pilo the airplane enc runway and ran i	t observed that the runway felt s ountered a dip in the runway a	softer than usual. About 400 fe and became slightly airborne. gh the runway surface appea	eet to 500 feet (122 meter The pilot lost control of	recent snowstorm. On touchdow rs to 153 meters) after touchdow the airplane, which departed to rt or powder about one inch to tw
Feb. 9, 1995	Ainsworth, Nebraska	Beech BE90	none	5 uninjured
	ccurred in the no. 1 engine, follow pilot conducted a normal desce			ration. An emergency was declar ure of the gas-turbine section.
March 1, 1995	Valentine, Nebraska	Beech BE200	none	7 uninjured
While in cruise f approach and laı		had an engine failure. The p	pilot returned to the dep	parture airport for a single-engi
March 28, 1995	San Antonio, Texas	Cessna 421	none	3 uninjured
	n day VMC, the right engine ran o. 1 cylinder of the right engine.		emergency and returned	for landing. A fuel-injector line h
June 3, 1995	Susanville, California	Cessna 421	minor	4 uninjured
During landing ir malfunction.	night VMC, the aircraft veere	d off the runway and into sof	ft dirt. The propeller hit a	a sign. The pilot reported a bra
Aug. 21, 1995	Truth or Consequences, New	Mexico Cessna 421C	minor	4 minor
The aircraft struc	k terrain during an attempted ta	akeoff in day VMC.		
Aug. 21, 1995	Mesa, Arizona	Learjet 23	minor	5 uninjured
he airplane was	s landed long and fast in day VN	IC and ran off the runway int	o mud. The engines inge	sted mud.
Aug. 27, 1995	Chinle, Arizona	Cessna 421C	substantial	2 uninjured
obstacles on the landing. The win windsock indicat aircraft traveled	approach end of Runway 35. W dsock was not illuminated, and ed a strong tail wind. The pilot a	Vitnesses said the winds were the airport was unattended. applied maximum braking, bu bugh a ditch and collided with	e southerly at about 30 k The pilot said that, after t the airplane ran off the	ght landing on Runway 17 to ave nots when the pilot attempted to touchdown, he observed that to departure end of the runway. The ts. An investigation found that to

Date	Location	Airplane Type	Airplane Damage	Injuries
Sept. 11, 1995	Ontario, California	Piper PA-31	minor	3 uninjured
	perienced an engine fire en route in ni d cracked, and exhaust burned through		rted the airplane and	landed safely. The no. 6 cylinder
Nov. 17, 1995	Delta, Utah	Cessna 441	none	5 uninjured
The pilot reported propeller governed	d surging of the left engine until he retai or was replaced.	ded the power lever. He	diverted in day VMC to	the nearest en route airport. The
Jan. 8, 1996	Spokane, Washington	Cessna 401	destroyed	3 fatal, 1 serious
and timely transp marker. The airsp fpm. About one m The airplane stru	ated weather briefing, the pilot express port of the dying patient. During an ILS beed decreased from 153 knots to 100 hile from the runway and at 500 feet AGL lick a pole, flew into a building and burn a instrument flying during recent training	approach, the airplane knots while the vertical s ., the aircraft abruptly tur ed. The pilot lacked expe	was well above the gli peed increased from 7 ned left of the localizer erience in conducting a	deslope until close to the middle 11 feet per minute (fpm) to 1,250 course and gradually descended.
Jan. 31, 1996	Flagstaff, Arizona	Beech E90	destroyed	3 fatal
where he manua obtained an IFR of the published of	climb in day IMC, the pilot observed a lly extended the landing gear with safe- clearance for an ILS approach, which in course and no procedure turn. The aircra of the final approach course.	gear indications. The flig cluded an eastbound pro	ht department asked th cedure turn. Radar dat	e pilot to return to base. The pilot a showed an outbound track west
Aug. 15, 1996	Anchorage, Alaska	Piper PA-31-310	none	4 uninjured
the operator's m	night VMC, the pilot selected landing-ge anual, slowed the aircraft to 104 knots e pilot that the landing gear was down.	and tried unsuccessfu	lly to hand-pump the	
April 14, 1997	Minneapolis, Minnesota	Piper PA-31-325	none	2 uninjured
and-locked indica	elected the landing-gear down during an ation. The pilot cycled the gear several ti damage. Inspection showed that the left- ting rod end.	mes, then selected the g	ear up and flew to his h	ome airport, where he landed the
June 13, 1997	San Antonio, Texas	Cessna 421	none	3 uninjured
	hed down at rotation in day VMC. The p cables were crossed.	ilot rejected the takeoff,	and the airplane overra	an the runway. Inspection showed
Aug. 31, 1997	Albuquerque, New Mexico	Beech E90	substantial	4 uninjured
in the down posit landing roll, the r	b in day VMC, the right-main landing g ion. The pilot secured the right engine, ight-main landing gear collapsed. The ri g-gear retraction/extension torque tube	feathered the right prop ght wing suffered bucklin	eller and conducted a p g damage during the in	precautionary landing. During the
Oct. 24, 1997	Portland, Maine	Learjet 24	minor	5 uninjured
tire or both right-	e accelerated past 80 knots during the t main landing-gear tires had failed. The eft into grass, struck a runway light and	takeoff was rejected. Th	e pilot experienced a lo	
Jan. 8, 1998	Phoenix, Arizona	Jetstream 3101	substantial	6 uninjured
east side of a cov	e pilot taxied the airplane to the ramp to vered parking structure. The airplane's ta e vertical stabilizer. The pilot continued	ail hit the top of the parki	ng structure, which seri	ously damaged the top three feet
Jan. 29, 1998	Chinle, Arizona	Piper PA-34-200T	substantial	2 uninjured
but that the runwa left to avoid hittir struck the horse to steer away from	at he overflew the airport at 500 feet AG ay lights and the aircraft landing lights w ng a horse. As he straightened the airp with the left wing just left of the engine a m the fence, the airplane skidded to the fore the airplane stopped.	ere illuminated. During th lane, a second horse an about 70 knots, then he	ne landing roll, the pilot opeared about 50 feet aded toward an airport	moved the airplane slightly to the (15 meters) ahead. The airplane boundary fence. As the pilot tried
Feb. 23, 1998	Corpus Christi, Texas	Cessna 401	minor	5 uninjured
The airplane was both propellers.	a landed in night VMC with the landing g	ear retracted, sustaining	damage to the main-la	nding-gear doors, wing flaps and

		States, 1900-20	· · · ·	
Date	Location	Airplane Type	Airplane Damage	Injuries
The pilot said tha was about two m snow would not b the takeoff roll be	Show Low, Arizona t his windshield was partially covered iles (three kilometers), and the runway e a factor once he began the takeoff ro gan; the right wheel departed the pave arted the runway, and the nose landing	/ was wet with some acc oll. Inspection showed tha ement about 500 feet to	umulation of snow and It airplane was lined up 750 feet (153 meters to	slush. The pilot believed that the with the runway-edge lines when
March 18, 1998	Denver, Colorado	Learjet 25	minor	2 uninjured
The airplane land	led with a crosswind in day IMC, skidde	ed off the side of the ice-o	covered and snow-cove	ered runway and stopped in snow.
April 1, 1998	Chinle, Arizona	Cessna 421	minor	3 uninjured
	traveling too fast and landed a greater ne departure end of the runway.	distance than usual from	the runway threshold c	luring a night landing in VMC. The
Oct. 18, 1998	Eagle Pass, Texas	Cessna 421	destroyed	5 minor
shown was 15 mi The vertical spee	ne airplane in dark-night conditions and les from the runway. After takeoff, the p d indicator indicated an 800 fpm desc by fire. A review of Doppler weather r	pilot began a 10-degree t ent. The descent continu	urn at 1,500 feet, and t led until the tail struck t	he airplane suddenly descended.
Jan. 18, 1999	Clovis, New Mexico	Beech E90	none	3 uninjured
up. The pilot main drooping wire be	n day VMC, the control yoke moved to ntained control by use of power and ele neath the pilot's seat. The insulation a d been burned through and had separ	evator trim and conducted around the elevator-dowr	d a normal landing. Pos n cable had chafed and	t-incident examination revealed a d had caused a short circuit. The
July 1, 1999	Polacca, Arizona	Cessna 421B	substantial	1 minor
the auxiliary fuel pumps. He said the	on a positioning flight in day VMC after tank, and the left engine stopped. The hat he did not feather the left propeller b realized that the airplane could not be	pilot attempted to restore because he hoped to reg	e power by switching fu	el tanks and turning on the boost
Aug. 27, 1999	Glennallen, Alaska	Learjet 35	substantial	4 uninjured
retarded the throt an excessive des said was "firm" bu found a three-foo	bach in day IMC, to descend and to ali tles and applied nose-down elevator. A cent rate was noted. The captain took of it within acceptable limits. He said that t by four-inch (91-centimeter by 10-cen ing panel adjacent to the left wing-tip fu	is the airplane crossed th control of the airplane an the initial touchdown wa ntimeter) scrape on the lo	e runway threshold, the d applied full power to s made on the left-main	e airspeed decreased rapidly, and cushion the touchdown, which he n landing gear. Ground personnel
Jan. 28, 2000	Phoenix, Arizona	Beech BE90	minor	4 uninjured
The pilot landed taxiway turn lines	the airplane in night VMC and was to leading off the runway. As the pilot tur mistakenly thought it was a post light	rned back onto the taxiwa	ay, he saw a white runv	e high-speed exit. There were no vay-edge light in the center of the
Feb 16, 2000	Springfield, Missouri	Cessna 340	minor	4 uninjured
The pilot conduct failed.	ed an emergency landing in day VMC	after the right engine los	t power. Investigation re	evealed that the turbocharger had
April 19, 2000	Hyannis, Massachusetts	Learjet 35	minor	6 uninjured
the runway, and approaching the	airplane on an ILS approach in light r the pilot deployed the spoilers, applie runway-end lights and steered left to a he grass. The outboard tires of both m	ed braking and began to void them. At about 60 kr	slow the airplane. Th	e crew observed that they were
Nov. 11, 2000	Phoenix, Arizona	Cessna 441	minor	3 uninjured
right propeller co	takeoff in night VMC, believing that th ontacted a runway-edge light. The tak blade of the right propeller was damage	eoff was rejected, and t	the airplane was return	e. At 10 knots forward speed, the ned to operations. An inspection
Dec. 13, 2000	Pensacola, Florida	Cessna 421B	substantial	4 uninjured
	would not retract after takeoff in night \ r separated, and the aircraft stopped i			ft and ran off the runway. The left-
Source: Patrick R. V	/eillette, Ph.D., from reports by the U.S. Nat	ional Transportation Safety	Board and the U.S. Federa	al Aviation Administration.

## **Aviation Statistics**

## Accident Rates Decrease Among U.S. Commuter Airlines in 2000

Preliminary data compiled by the U.S. National Transportation Safety Board for 2000 show that the accident rates for on-demand operations increased.

#### FSF Editorial Staff

Preliminary statistics compiled by the U.S. National Transportation Safety Board (NTSB) show that, from 1999 to 2000, the accident rates among commuter<sup>1</sup> aircraft operated under U.S. Federal Aviation Regulations (FARs) Part 135 generally decreased and that the accident rates among on-demand<sup>2</sup> aircraft operated under FARs Part 135 increased.<sup>3</sup>

Table 1 (page 56) shows that commuter aircraft in 2000 were involved in 12 accidents, including one fatal accident in which five people were killed.

(The fatal accident occurred Sept. 18, 2000, in Nuiqsut, Alaska. The NTSB preliminary accident report said that a Piper PA-31T3 operated by Cape Smythe Air Service was being operated under visual flight rules in visual meteorological conditions on a scheduled flight to Nuiqsut from Deadhorse, Alaska. Witnesses said that the twin-turboprop airplane touched down on the runway with the landing gear retracted. "The belly pod lightly scraped the runway for about 40 feet [12 meters], but the airplane transitioned to a climb," the report said. The landing gear was extended while the airplane climbed to about 100 feet to 150 feet [31 meters to 46 meters] above the ground. The airplane then began a descending left turn and struck the ground. The pilot and four passengers were killed.<sup>4</sup>)

The preliminary statistics for 2000 show that 975,000 departures were conducted and 550,000 hours were flown by commuter aircraft. The total-accident rates were 1.231 per 100,000 departures and 2.182 per 100,000 flight hours. The fatal-accident rates were 0.103 per 100,000 departures and 0.182 per 100,000 flight hours.

In 1999, commuter aircraft were involved in 13 accidents, including five fatal accidents in which 12 people were killed. That year, 841,040 departures were conducted and 452,031 hours were flown by commuter aircraft. The total-accident rates were 1.546 per 100,000 departures and 2.876 per 100,000 flight hours. The fatal-accident rates were 0.595 per 100,000 departures and 1.106 per 100,000 flight hours.

Preliminary data for 2000 show that on-demand aircraft were involved in 80 accidents, including 22 fatal accidents in which 71 people were killed.

Table 2 (page 57) shows that 2,430,000 hours were flown by on-demand aircraft in 2000. The total-accident rate was 3.29 per 100,000 flight hours. The fatal-accident rate was 0.91 per 100,000 flight hours.

In 1999, on-demand aircraft were involved in 73 accidents, including 12 fatal accidents in which 38 people were killed. That year, 2,260,000 hours were flown by on-demand aircraft. The total-accident rate was 3.23 per 100,000 hours. The fatal-accident rate was 0.53 per 100,000 flight hours.♦

## **Notes and References**

 Before March 20, 1997, commuter operations were conducted under U.S. Federal Aviation Regulations (FARs) Part 135 in aircraft with 30 or fewer passenger seats and with a maximum payload capacity of 7,500 pounds (3,402 kilograms) or less. Beginning March 20, 1997, commuter operations have been conducted under Part 135 in non-turbojet airplanes with fewer than 10 passenger seats and in rotorcraft; scheduled service in turbojet airplanes and in other airplanes with 10 or more passenger seats have been conducted under FARs Part 121. The U.S. Federal Aviation Administration (FAA) defines a *commuter operation* as any scheduled operation consisting of "at least five round trips per week on at least one route between two or more points according to the published flight schedules."

2. The FAA defines an *on-demand* operation as: a publiccharter flight conducted in an aircraft with 30 or fewer passenger seats and a maximum payload capacity of 7,500 pounds or less; a scheduled passenger-carrying operation consisting of "less than five round trips per week on at least one route between two or more points according to the published flight schedules" conducted in a non-turbojet airplane with fewer than 10 passenger seats and a maximum payload capacity of 7,500 pounds or less, or in a rotorcraft; or an all-cargo operation conducted in an airplane with a maximum payload capacity of 7,500 pounds or less, or in a rotorcraft.

- 3. U.S. National Transportation Safety Board (NTSB) SB-01-07, March 16, 2001.
- 4. NTSB Accident/Incident Database report no. ANC00MA125.

	Accidents		Conducted Un Fatalities				Accidents per 100,000 Flight Hours		Accidents per 100,000 Departures	
Year	All	Fatal	Total	Aboard	Flight Hours	Departures	All	Fatal	All	Fatal
1982	26	5	14	14	1,299,748	2,026,691	2.000	0.385	1.283	0.247
1983	16	2	11	10	1,510,908	2,328,430	1.059	0.132	0.687	0.086
1984	22	7	48	46	1,745,762	2,676,590	1.260	0.401	0.822	0.262
1985	18	7	37	36	1,737,106	2,561,463	1.036	0.403	0.703	0.273
1986	14	2	4	4	1,724,586	2,798,811	0.812	0.116	0.500	0.071
1987	33	10	59	57	1,946,349	2,809,918	1.695	0.514	1.174	0.356
1988	18	2	21	21	2,092,689	2,909,005	0.860	0.096	0.619	0.069
1989	19	5	31	31	2,240,555	2,818,520	0.848	0.223	0.674	0.177
1990	15	4	7	5	2,341,760	3,160,089	0.641	0.171	0.475	0.127
1991	23	8	99	77	2,291,581	2,820,440	1.004	0.349	0.815	0.284
1992	23	7	21	21	2,335,349	3,114,932	0.942	0.300	0.706	0.225
1993	16	4	24	23	2,638,347	3,601,902	0.606	0.152	0.444	0.111
1994	10	3	25	25	2,784,129	3,581,189	0.359	0.108	0.279	0.084
1995	12	2	9	9	2,627,866	3,220,262	0.457	0.076	0.373	0.062
1996	11	1	14	12	2,756,755	3,515,040	0.399	0.036	0.313	0.028
1997	16	5	46	46	982,764	1,394,096	1.628	0.509	1.148	0.359
1998	8	0	0	0	353,735	707,071	2.262	-	1.131	-
1999	13	5	12	12	452,031	841,040	2.876	1.106	1.546	0.595
2000**	12	1	5	5	550,000	975,000	2.182	0.182	1.231	0.103

Table d

\* Before March 20, 1997, commuter operations were conducted under U.S. Federal Aviation Regulations (FARs) Part 135 in aircraft with 30 or fewer passenger seats and with a maximum payload capacity of 7,500 pounds (3,402 kilograms) or less. Beginning March 20, 1997, commuter operations have been conducted under Part 135 in non-turbojet airplanes with fewer than 10 passenger seats and in rotorcraft; scheduled service in turbojet airplanes and in other airplanes with 10 or more passenger seats have been conducted under FARs Part 121. The U.S. Federal Aviation Administration defines a *commuter operation* as any scheduled operation consisting of "at least five round trips per week on at least one route between two or more points according to the published flight schedules."

\*\* Data for 2000 are preliminary.

Source: U.S. National Transportation Safety Board

# Table 2Accidents Among Aircraft in On-demand\* OperationsConducted Under U.S. FARs Part 135, 1982–2000

Year	Accidents		Fatalities			Accidents per 100,000 Flight Hours	
	All	Fatal	Total	Aboard	Flight Hours	All	Fatal
1982	132	31	72	72	3,008,000	4.39	1.03
1983	142	27	62	57	2,378,000	5.97	1.14
1984	146	23	52	52	2,843,000	5.14	0.81
1985	157	35	76	75	2,570,000	6.11	1.36
1986	118	31	65	61	2,690,000	4.39	1.15
1987	96	30	65	63	2,657,000	3.61	1.13
1988	102	28	59	55	2,632,000	3.88	1.06
1989	110	25	83	81	3,020,000	3.64	0.83
1990	107	29	51	49	2,249,000	4.76	1.29
1991	88	28	78	74	2,241,000	3.93	1.25
1992	76	24	68	65	1,967,000	3.86	1.22
1993	69	19	42	42	1,659,000	4.16	1.15
1994	85	26	63	62	1,854,000	4.58	1.40
1995	75	24	52	52	1,707,000	4.39	1.41
1996	90	29	63	63	2,029,000	4.44	1.43
1997	82	15	39	39	2,250,000	3.64	0.67
1998	77	17	45	41	2,751,000	2.80	0.62
1999	73	12	38	38	2,260,000	3.23	0.53
2000**	80	22	71	68	2,430,000	3.29	0.91

\* The U.S. Federal Aviation Administration defines an on-demand operation as: a public-charter flight conducted in an aircraft with 30 or fewer passenger seats and a maximum payload capacity of 7,500 pounds (3,402 kilograms) or less; a scheduled passenger-carrying operation consisting of "less than five round trips per week on at least one route between two or more points according to the published flight schedules" conducted in a non-turbojet airplane with fewer than 10 passenger seats and a maximum payload capacity of 7,500 pounds or less, or in a rotorcraft; or an all-cargo operation conducted in an airplane with a maximum payload capacity of 7,500 pounds or less, or in a rotorcraft.

\*\* Data for 2000 are preliminary.

FARs = Federal Aviation Regulations

Source: U.S. National Transportation Safety Board

## Publications Received at FSF Jerry Lederer Aviation Safety Library

## Circular Describes Methods of Showing Compliance With Turbine Engine Bird-ingestion Standards

Requirements described in the FAA document reflect the results of an analysis of bird-strike risks.

FSF Library Staff

## **Advisory Circulars**

*Bird Ingestion Certification Standards*. U.S. Federal Aviation Administration (FAA) Advisory Circular (AC) 33.76-1. Jan. 19, 2001. 8 pp. Available through GPO.\*

The AC provides guidance and acceptable methods for demonstrating compliance with U.S. Federal Aviation Regulations Part 33.76 type certification standards for turbine engine ingestion of large birds and small birds. Test requirements described in the AC reflect recent analysis of the risks presented to turbine aircraft by bird strikes.

Announcement of Availability: FAA-S-8081-5D, Airline Transport Pilot and Aircraft Type Rating Practical Test Standards for Airplane. U.S. Federal Aviation Administration (FAA) Advisory Circular (AC) 61-122D. Feb. 9, 2001. 4 pp. Availability.\*\*

The AC announces the availability of the practical test standards and provides information on obtaining paper copies and electronic copies of the standards. Flight instructors and applicants may find it helpful to have copies of the test standards for test preparation, because the standards are used by FAA inspectors and pilot examiners when conducting practical tests. [This AC cancels AC 61-122C, Announcement of Availability: FAA-S-8081-5C, Airline Transport Pilot and Aircraft Type Rating Practical Test Standards for Airplane with Change 1, dated March 1, 1999.]

*Crew Resource Management Training*. U.S. Federal Aviation Administration (FAA) Advisory Circular (AC) 120-51D. Feb. 8, 2001. 28 pp. Available through GPO.\*

This AC provides guidelines for developing, implementing, reinforcing and assessing crew resource management (CRM) training programs. CRM involves the effective use of all available resources: human, hardware and information. CRM includes flight crewmembers, flight attendants, maintenance personnel and other personnel, such as air traffic controllers, who routinely work with the cockpit crew and are involved in flight safety decisions. CRM training focuses on situational awareness, communication skills, teamwork, task allocation and decision making within a comprehensive framework of standard operating procedures. The guidelines in this AC primarily apply to U.S. Federal Aviation Regulations Part 121 operators who are required to provide training for pilots, flight attendants and aircraft dispatchers. Nevertheless, other operators may also find the guidelines useful in addressing human performance issues. [This AC cancels AC 120-51C, *Crew Resource Management Training*, dated Oct. 30, 1998.]

## Reports

ATSB Survey of Licenced Aircraft Maintenance Engineers in Australia. Australian Transport Safety Bureau. February 2001. 28 pp. Figures, tables, annexes, references.

About 12 percent of airline accidents worldwide involve aircraft maintenance errors. Australian Transport Safety Bureau (ATSB) data show that 4.5 percent of Australian aircraft accidents involve maintenance deficiencies.

As part of its ongoing safety programs, ATSB conducted a survey of licensed aircraft maintenance engineers in Australia to identify safety issues in maintenance — with an emphasis on human factors or human aspects of the job — that were potential risks to the safety of aircraft or the safety of maintenance workers. More than 95 percent of occurrences identified by survey respondents involved human factors. The human factors included job pressure, equipment deficiencies, training inadequacies, fatigue, communication and coordination, and inadequately documented or inadequately designed procedures. (An analysis of survey results and preliminary survey conclusions was published in the Flight Safety Foundation *Aviation Mechanics Bulletin*, November–December 2000. The ATSB report contains air safety recommendations resulting from the survey.)

The report includes the following recommendations to the Australian Civil Aviation Safety Authority and maintenance organizations:

- Whenever possible (to reduce the risk of repetition of a maintenance error), the same task on each element of a critical, multiple redundant system on an aircraft should not be performed during the same maintenance visit, regardless of whether the aircraft is being maintained in accordance with extended-range twinengine operations requirements;
- Duty limits and work schedules should be managed to reduce fatigue on the job;
- Maintenance personnel should receive appropriate recurrent training and human factors training;
- Clear error-reporting policies should be introduced and used as positive safety indicators, and staff should

be encouraged to report incidents related to human error;

- Maintenance personnel should receive regular feedback on maintenance incidents; and,
- Appropriate equipment, tooling and spares should be available and adequately maintained.

Aviation Competition: Regional Jet Service Yet to Reach Many Small Communities. U.S. General Accounting Office (GAO). February 2001. GAO-01-344. 53 pp. Figures, tables, appendixes. Available through GAO.\*\*\*

The GAO, which conducts research for the U.S. Congress, examined data for U.S. regional jet (RJ) operations of major network carriers from January 1997 through October 2000. (For purposes of this report, RJs are defined as newer aircraft that have been placed into service since 1993 and are designed to seat up to 70 passengers.) The GAO analysis shows that major airlines provide RJ service to 157 U.S. cities, 8 percent of which have populations of less than 100,000. Seventy-five percent of the cities served have populations greater than 250,000. Air carriers use RJs, in part, to expand into new markets, but mostly to supplement mainline jet service in existing markets. While the number of RJs in operation has increased significantly, 60 percent of RJ service is to pre-existing markets.

The GAO said that although specialists agree that RJs have added to the congestion and delays encountered by the U.S. air traffic system and by airports, there is little agreement or conclusive evidence about the extent of the contributions of RJs to those problems.

*How to Commercialize Air Traffic Control*. Poole, Robert W. Jr.; Butler, Viggo. Reason Public Policy Institute, Reason Foundation. Policy study 278. February 2001. 49 pp. Tables, references.

In the United States, debate continues on problems involving the U.S. air traffic control (ATC) system, flight delays, passenger dissatisfaction and lost economic productivity. The Reason Public Policy Institute (RPPI) (a nonpartisan policy research organization based in California, U.S.) is among advocates of the commercialization of the ATC system. In this report, RPPI discussed details of its plan for a new ATC system for the United States. Drawing upon experiences of countries that have "corporatized" their ATC systems - including Australia, Canada, Germany and the United Kingdom - the RPPI plan recommends "the shifting of ATC out of the Federal Aviation Administration and into a new, nonprofit corporation that would operate the system like a business." The plan would establish a board of directors composed of aviation stakeholders, would shift ATC funding from general revenues to user fees and would separate ATC services from regulatory oversight and safety oversight. The report discusses structural, governance, funding and human resources aspects of these changes.

Implementing Flight 2005 — Raising the Flight Level: Initiatives and Measures 2001–2003. Transport Canada. January 2001. Document TP 13712. 16 pp.

In 1999, Transport Canada developed a new civil aviation safety framework, Flight 2005, to guide its focus on aviation safety for a five-year period. Key goals of Flight 2005 are to continue improvements in aviation safety and to increase public confidence in Canada's civil aviation programs.

"Actions are aimed at enhancing safety data use, deploying resources to areas of highest risk, introducing safetymanagement systems, consistently assessing human factors, and continuing and enhancing open communications," the report said.

The report identifies specific initiatives for each of the actions and related performance measures.

*Vision 2050: An Integrated National Transportation System.* U.S. Federal Transportation Advisory Group (FTAG). February 2001. Charts. 22 pp. Available from U.S. Federal Aviation Administration (FAA) and U.S. National Aeronautics and Space Administration (NASA).\*\*\*\*

FTAG operates under the auspices of the FAA Research, Engineering and Development Advisory Committee and the NASA AeroSpace Technology Advisory Committee. FTAG and its bipartisan member organizations advise the U.S. government and the transportation community on transportation-related issues. This report describes FTAG's proposal for a national transportation system to move people and objects safely, economically and on time and identifies technology, concepts and research that would enable the United States to implement the proposal. The report said that the system would not depend on foreign energy and would be "environmentally compatible."

*CAA Safety Plan 2001–2002*. Civil Aviation Authority of New Zealand. November 2000. Appendix. 18 pp.

While preparing the safety plan, the New Zealand Civil Aviation Authority (CAA) identified external factors that could influence significantly or change the aviation industry during the next 15 years. CAA also identified areas in which accident rates could be reduced to meet safety goals by 2005. The report discusses the problems and safety indicators, corrective actions and safety-improvement goals for each problem. The report also discusses issues such as aircraft collisions with objects (including wire strikes and bird strikes), controlled flight into terrain, fuel management, aircraft icing management, inadequate CAA/client relationships, industry skill shortages and inadequate industry safety culture.

*Index to FAA Office of Aviation Medicine Reports: 1961 Through 2000.* Collins, William E.; Wayda, Michael E. U.S. Federal Aviation Administration (FAA) Office of Aviation Medicine (OAM). DOT/FAA/AM-01/1. January 2001. 83 pp. Figures. Available through NTIS.\*\*\*\*\*

This report is a compilation of indexes to all FAA aviation medicine reports published from 1961 through 2000. Reports are indexed chronologically, alphabetically by author or co-author and alphabetically by subject. The reports include those issued by the Civil Aeromedical Research Center, Civil Aeromedical Research Institute (CARI), Civil Aeromedical Institute (CAMI) and FAA OAM. The report includes a foreword titled "Some Historical Observations of CARI/CAMI 1960–1984," and an article titled "A Brief History of OAM Research Funding, Staffing and Technical Report Production."

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## **Accident/Incident Briefs**

## **Engine Ice Blamed for BAE 146 Power Loss**

The airplane was flown between storm cells at Flight Level 260, and then an uncommanded thrust reduction occurred in the no. 4 engine.

FSF Editorial Staff

The following information provides an awareness of problems through which such occurrences may be prevented in the future. Accident/incident briefs are based on preliminary information from government agencies, aviation organizations, press information and other sources. This information may not be entirely accurate.



## **Loss of Power Prompts Flight Diversion**

BAE Systems 146. No damage. No injuries.

The airplane was in cruise flight at Flight Level (FL) 280 (28,000 feet) during a night flight in France when a thin layer of clouds was encountered. The flight crew received clearance from air traffic control (ATC) to climb to FL 310, and there they observed on the weather-radar display a line of cumulonimbus clouds about 50 nautical miles (93 kilometers) in front of the airplane. When the clouds were 20 nautical miles

(37 kilometers) away, the flight crew requested a descent to FL 260 to fly between two storm cells.

The crew selected engine anti-ice. While flying between the storm cells, the crew observed moisture but no ice on the wings, windshield or windshield wipers. No ice was identified by the ice detector.

After the airplane had passed the storm cells, the fan speed of the no. 4 engine (a Lycoming ALF-502R) decreased and the turbine gas temperature (TGT) increased. The crew shut down the no. 4 engine, completed the rollback emergency check list, transmitted a "pan, pan" urgency call to ATC and diverted to an en route airport.

A rollback – a particular type of uncommanded thrust reduction – is observed as a slow reduction in the high-pressure spool speed  $(N_1)$  associated with an increase in TGT and a failure of the engine to respond to movement of the thrust lever.

An investigation found that the rollback on the no. 4 engine was caused by an accumulation of ice on the engine-core supercharger exit guide vanes.

The incident report by the U.K. Civil Aviation Authority (U.K. CAA) said that, at the time of the incident, "a modification (30437A) was [in effect] to improve the anti-icing efficiency and [was] in the process of fleet embodiment. In the interim ... an operational limitation was introduced for aircraft with

unmodified ALF-502R engines by the issue of a temporary revision to the aircraft flight manual."

The temporary revision said that, to prevent uncommanded thrust reduction, flight was prohibited in known icing conditions or forecast icing conditions above 26,000 feet. The revision said that a forecast of clouds, including cumulonimbus, must be considered a forecast of icing conditions.

The morning of the flight, the significant weather chart for Europe called for "occasional" cumulonimbus clouds at FL 100 to FL 360. The crew had flown through the area earlier without encountering clouds.

The report said, "The aircraft manufacturer's interpretation of the flight manual temporary revision in the context of this weather forecast was that the entire flight should be conducted at or below 26,000 feet.

"The operator's interpretation was that the portion of the flight not affected by known or forecast icing conditions could be conducted above 26,000 feet. The operator also believed that the weather experienced by the crew on the outbound sector gave grounds for re-assessing the forecast."

After the incident, the temporary revision was changed to say that, until the modification was made, "a forecast of *any* cloud, including cumulonimbus activity, must be regarded by the crew as a forecast of icing conditions."

The CAA told the manufacturer to review risks associated with rollback until all engines were modified.

## Wheel Separates From Airplane During Landing Roll

#### Fokker 100. Minor damage. No injuries.

The airplane was being landed at an airport in Australia when the crew and passengers felt a severe vibration from the leftmain landing gear as the brakes were applied during the landing roll. The flight crew stopped the airplane on the runway and conducted an inspection, which revealed that the left-main outboard wheel was missing.

The wheel was found on the runway. A fracture analysis showed that the wheel had failed because of a fatigue crack that began at the surface of the metal in a repaired section of the axle-hub-to-wheel-web transition. The report said that the surface had been shot-peened with a process of lower intensity than the shot-peening used during manufacturing, and the reduction in the intensity made fatigue cracks more likely to occur under normal loading conditions.

The manner in which the fatigue crack spread was consistent with the sideways flexing of the wheel web, which would have occurred as wheels were rotated for a crosswind landing or while turning on the ground. The flight crew said that there was a 15-knot crosswind during landing and that similar crosswinds were common at the airport where the incident occurred.



## Fatigue Crack Cited in Collapse of Landing Gear

Fairchild SA227-AC Metro III. Substantial damage. No injuries.

Visual meteorological conditions prevailed for the afternoon training flight from an airport in New Zealand. The training session included several takeoffs and landings, and on the third circuit, the pilots (the check-and-training captain and the pilot receiving command training) said that the approach appeared normal until about the last 50 feet, when the descent rate increased.

They described the landing as firmer than normal and firmer than they had expected. The airplane veered to the left and the pilot in training was unable to maintain directional control. The captain ordered a go-around, and the left-main landing gear was observed hanging at about a 45-degree angle. The airplane was flown to another airport with longer runways, where the captain landed the airplane with the landing gear retracted. (In the retracted position, the left undercarriage wheels protruded below the engine nacelle about 45 degrees below the normal position.) The airplane slid off the runway onto grass, then turned about 170 degrees and stopped.

An investigation found no evidence that the airplane had been subjected to a hard landing. The accident report said that the undercarriage failure was caused by a fatigue crack in the leftundercarriage outboard lower drag brace. The fatigue crack began in a recess that had been machined to accommodate a grease fitting near the attachment point to the undercarriage leg. The fatigue crack could not be detected during normal maintenance procedures.

After the accident, the manufacturer issued service bulletins saying that dye penetrant should be used to check the mainlanding-gear drag-brace links for cracks, a New Zealand Civil Aviation Authority airworthiness directive was issued requiring the inspections, and the manufacturer said that drag braces would be manufactured from a more durable material.

## Cracked Windshield Prompts In-flight Emergency

Dassault Falcon 50. Minor damage. No injuries.

As the airplane climbed through 28,200 feet after a night departure from an airport in Scotland, the flight crew heard a bang and observed that the captain's windshield had shattered. The crew asked air traffic control (ATC) for an immediate descent and completed the "cracked windshield pane" checklist. ATC assigned the airplane the emergency transponder code of 7700, and the crew flew the airplane to the departure airport, where they conducted a normal landing. The windshield remained intact.



## Ice in Fuel Blamed for Loss of Power

Beech King Air 200C. No damage. No injuries.

Night visual meteorological conditions prevailed for the emergency medical services flight in Sweden. The airplane was in cruise flight at Flight Level 190 (19,000 feet) when the flight crew observed that fuel flow to the left engine was oscillating and that engine thrust was decreasing. The engine then stopped.

The flight crew tried unsuccessfully to restart the engine and decided to continue to the destination airport. They then observed that fuel flow to the right engine was oscillating and that engine thrust was decreasing. They declared an emergency and requested landing clearance at a closer airport.

"During the flight there, it was possible to restart the left engine, but only limited thrust was obtained," the report said. "After touchdown, the pilots obtained normal reverse thrust from both engines."

An investigation revealed that, before the flight, the airplane had been refueled and parked in a warm hangar for about 12 hours. Fuel was not drained before the first flight of the day. After the incident, fuel samples revealed "a fairly small amount" of water in the fuel system. The report said that the incident probably occurred after water in the fuel froze during flight in an area where outside air temperatures were about minus 40 degrees Celsius (minus 40 degrees Fahrenheit), "temporarily causing a pressure drop or a blockage in the engine fuel system."

## Faulty Actuator Prevents Landing Gear From Locking in Place

#### Cessna Citation V. No damage. No injuries.

As the airplane approached an airport in Canada, the flight crew observed that the right-main landing gear down-andlocked indicator did not illuminate. The crew suspected that the airplane had a hydraulic problem and used the backup pneumatic system to lower the landing gear. They then conducted a normal landing and taxied clear of the runway to shut down the airplane for an inspection.

Visual inspection of the landing-gear actuator showed that the right-main landing gear was not locked in place. The actuator was replaced, the defective part was returned to the manufacturer, and the airplane was returned to service.



## Parachutist Breaks Arm During Jump, Lands on Hangar Roof

Douglas DC-3. No damage. One minor injury.

The aircraft was being flown during a Remembrance Day ceremony to drop poppy petals over a war memorial in England. On similar flights in previous years, some nonpilot crewmembers had parachuted from the airplane as they returned to the departure airport. On this occasion, although the three nonpilot crewmembers wore parachutes, a parachute jump appeared to be unlikely because only one crewmember was fit and qualified for parachuting, because there were clouds 600 feet above ground level (AGL) at the airport and because surface winds were gusting to 30 knots.

Nevertheless, after the petal-drop, weather conditions improved, and the crewmember said that he would jump if the airplane could be flown directly into the 17-knot southwesterly wind and if the airplane could be flown below clouds at least 1,500 feet AGL. The flight crew told air traffic control (ATC) that they wanted to "come overhead at 1,500 feet and throw one of our passengers out," the accident report said. "The ATC controllers had received no prior notification of a parachute drop, and, because of the informal nature of the request, they initially regarded it as a joke."

ATC told the flight crew that the only air traffic was a landing helicopter and "if you want to, carry on with the detail." The crew slowed the airplane and prepared for the parachutist to jump. As he jumped, the parachutist struck part of the aircraft and broke his left arm.

"His descent immediately became violently unstable, and he fought to regain stability before releasing his parachute," the report said.

The parachute was deployed about 200 feet to 300 feet AGL, but the "low deployment height and unstable descent made a full deployment impossible, and [the parachutist] landed on his back on a hangar roof with the parachute partially deployed."

His ribs and some internal organs were injured by the impact.

"From the ground, the ATC controllers noticed 'a bundle' falling from the aircraft. ... On questioning the flight crew, the controllers were advised that a parachutist had indeed left the aircraft, but, because the bundle ... had appeared small, the controllers continued to believe that they were the victims of a practical joke. Some time later, the controllers noticed the fire and rescue crews proceeding toward the hangars, but it was not until some 20 minutes later that the controllers became fully aware of the nature of the incident."

Investigation revealed that the airplane's groundspeed when the parachutist jumped was 89 knots (plus or minus five knots), and winds were from the southwest at 25 knots; indicated airspeed (IAS) was 107 knots. The certificate of airworthiness said that, during a parachute drop, the airplane should be flown at 75 knots IAS (plus or minus five knots). The British Parachuting Association said that although higher aircraft speeds sometimes assist the parachutist, they also increase the chances of the parachutist striking the airplane during the jump.

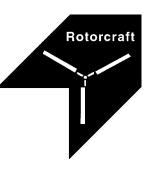
The airplane was used both for parachute jumping and for chemical spraying and was equipped with a spray bar beneath the fuselage. The parachutist was aware of the spray bar and was certain that he did not strike the spray bar during his jump. During the investigation, the U.K. Air Accidents Investigation Branch recommended that the U.K. Civil Aviation Authority clarify whether parachuting can be undertaken in DC-3 aircraft with spray bars installed.

## **Airplane Strikes Trees During Approach**

Cessna 340A. Minor damage. No injuries.

Night instrument meteorological conditions prevailed as the pilot conducted an instrument approach to an airport in Denmark. During the approach, the airplane contacted trees. The pilot conducted a climb, followed by a normal landing.

Inspection of the airplane revealed substantial damage to the horizontal stabilizer and minor damage to the bottom of the fuselage.



## Faulty Fuel-control Unit Blamed for Power Loss

Sikorsky S-76A. No damage. No injuries.

The helicopter was being flown on an instrument flight plan in Canada when the no. 1 engine experienced a substantial power loss. The flight crew attempted a restart, then shut down the engine and continued to the destination airport, 10 miles (16 kilometers) away for a normal single-engine landing. Maintenance personnel said that the engine fuelcontrol unit had not supplied enough fuel to maintain normal cruise power.

## Helicopter Lifts Off Without Pilot

Hiller UH-12E. Helicopter destroyed. No injuries.

Visual meteorological conditions prevailed for the afternoon aerial application flight in the United States. The pilot said that he conducted a precautionary landing because the application equipment was not functioning properly.

The report said, "As he deplaned the rotorcraft, it lifted off without him, attained an altitude of about 600 feet AGL and crashed."◆

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